



安全理事会

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安全理事会第 1540(2004)号决议 所设委员会

2021 年 3 月 23 日挪威常驻联合国代表团给委员会主席的普通照会

挪威常驻代表团谨此转递关于挪威执行安全理事会第 1540(2004)号决议情况和执行第 1673(2006)、1810(2008)、1977(2011)、2055(2012)和 2325(2016)号决议的不扩散义务情况的国家最新汇总表(见附件)。



2021 年 3 月 23 日挪威常驻联合国代表团给委员会主席的普通照会的附件

挪威执行安全理事会第 1540(2004)号决议情况报告

挪威谨此转递关于挪威执行安全理事会第 1540(2004)号决议情况和执行第 1673(2006)、1810(2008)、1977(2011)、2055(2012)和 2325(2016)号决议的不扩散义务情况的国家最新汇总表(见附文)。¹

挪威认为，安全理事会第 1540(2004)号决议是国际不扩散体系至关重要的组成部分。安理会在该决议中规定各国义务采取和实施有效措施，建立国内管制，以防止核生化武器及其运载工具的扩散，包括对相关材料建立适当管制。该决议还鼓励国际对话与合作，以应对扩散所带来的威胁。

非国家行为体构成的威胁日益增多。只要这一威胁是跨国的，就必须通过国际合作来应对。加强和遵守有关准则和规则，促进全球稳定、安全与发展，是全球社会的共同责任。挪威仍然积极倡导普及和加强旨在防止核生化武器扩散的多边制度，如《核不扩散条约》、《禁止化学武器公约》和《生物武器公约》。

联合国所有会员国的全面执行至关重要。挪威寻求与所有国家和有关各方合作，加强努力，促进普遍执行第 1540(2004)号决议。

自从文件 S/AC.44/2004/(02)/31 和 S/AC.44/2004/(02)/31/Add.1 载入我们的报告以来，挪威已批准下列国际文书：

- 《〈核材料实物保护公约〉修正案》
- 《制止核恐怖主义行为国际公约》
- 《〈制止危及海上航行安全非法行为公约〉2005 年议定书》
- 《〈制止危及大陆架固定平台安全非法行为议定书〉2005 年议定书》
- 《制止与国际民用航空有关的非法行为的公约》

国家更新的一般立法包括以下内容：

- 《2005 年刑法》(2015 年生效)
- 《2019 年国家保安法》
- 《2012 年引渡法》(关于根据逮捕令逮捕刑事犯罪人员以及向挪威移交这些人员和挪威移交的这些人员的法令)

¹ 经安全理事会第 1540(2004)号决议所设委员会同意，本汇总表仅以来件所用语文分发且未经正式编辑。

附文

Implementation matrix

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions [1540 \(2004\)](#), [1673 \(2006\)](#), [1810 \(2008\)](#), [1977 \(2011\)](#), [2055 \(2012\)](#) and [2325 \(2016\)](#). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution [1540 \(2004\)](#) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

State:	Kingdom of Norway
Date of Report:	28 October 2004
Dates of Additional Reports:	12 October 2005
Date of Approval:	

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP 10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 5 February 1969	
2	Nuclear Weapons Free Zone/Protocol(s)		
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 20 February 2014	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 15 August 1985	
5	2005 Amendment to the CPPNM	Deposit 20 August 2009	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 15 July 1999	
7	Chemical Weapons Convention (CWC)	Deposit 7 April 1994	
8	Biological Weapons Convention (BWC)	Deposit 1 August 1973	
9	Geneva Protocol of 1925	Deposit 27 July 1932	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 20 September 1999	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 15 July 2002	
12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	Deposit 30 September 2013	
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	Deposit 30 September 2013	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments		
16	International Atomic Energy Agency (IAEA)	Since 10 June 1957	
17	Directly relevant Arrangements	Wassenaar Arrangement (WA)	

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.	Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
18 Statement on non-provision of WMD and related materials to non-State actors	Australia Group (AG) Zangger Committee (ZC) Nuclear Suppliers Group (NSG) Missile Technology Control Regime (MTCR) Proliferation Security Initiative (PSI) Global Initiative to Combat Nuclear Terrorism (GICNT) Norwegian Government's Nuclear Action Plan Hague Code of Conduct (HCoC)G7 Global Partnership Against the Spread of Weapons and Materials of Mass Destruction (GP)	
19 Membership in relevant international, regional or sub-regional organisations	States reports that it is fully committed to preventing any form of support to non-State actors that seek WMD and their means of delivery World Customs Organization (WCO) International Criminal Police Organization (INTERPOL) International Civil Aviation Organization (ICAO)International Maritime Organization (IMO) Inter-Parliamentary Union (IPU) International Partnership against Impunity for the Use of Chemical Weapons (PICIAC) Organization for Economic Cooperation and Development (OECD) Nuclear Energy Agency (NEA) World Health Organization (WHO) World Organisation for Animal Health (OIE) Organization for Security and Co-operation in Europe (OSCE) Financial Action Task Force (FATF) Egmont Group	

II. OP 2 – Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework				Enforcement and civil/criminal penalties				Remarks
		X/?			Source document of national implementation law	X/?			Source document	
		NW	CW	BW		NW	CW	BW		
1	Manufacture	X	X	X	NW/BW/CW: Penal Code, as amended 26 November 2019, Art. 142 NW: Nuclear Energy Act of 1972, as amended, Sections 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	X	X	X	NW/BW/CW: Penal Code, as amended 26.11.2019, Art. 142 NW: Nuclear Energy Act of 1972, as amended, Section 55 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	
2	Acquire	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 142 NW: Nuclear Energy Act of 1972, as amended, Sections 4, 5 and 51 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 142 NW: Nuclear Energy 2 Act of 1972, as amended, Section 55 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	
3	Possess	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 142 NW: Nuclear Energy Act of 1972, as amended, Sections 4, 5 and 51 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 142 NW: Nuclear Energy Act of 1972, as amended, Section 55 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework				Enforcement and civil/criminal penalties				Remarks
		X/?			Source document of national implementation law	X /?			Source document	
		NW	CW	BW		NW	CW	BW		
4	develop	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 142 (seeks to gain) NW: Nuclear Energy Act of 1972, as amended, Sections 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 142 (seeks to gain) NW: Nuclear Energy Act of 1972, as amended, Section 55 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	
5	transport	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 142 NW: Nuclear Energy Act of 1972, as amended, Section 51 (Control of the peaceful utilisation of nuclear energy)	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 142 NW: Nuclear Energy Act of 1972, as amended, Section 55	
6	transfer	X	X		NW: Nuclear Energy Act of 1972, as amended, Sections 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy) Penal Code, as amended, Art. 142 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	X	X		NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code, as amended, Art. 142 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	
7	use	X	X	X	NW/BW/CW: Penal Code, as amended, Arts. 107, 141, 142 NW: Nuclear Energy Act of 1972, as amended, Sections 4, 5 and 51 (Control of the peaceful utilisation of nuclear energy)	X	X	X	NW/BW/CW: Penal Code, as amended, Arts. 107, 141, 142 NW: Nuclear Energy Act of 1972, as amended, Section 55	

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework				Enforcement and civil/criminal penalties				Remarks
		X/?			Source document of national implementation law	X/?			Source document	
		NW	CW	BW		NW	CW	BW		
					CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1				CW: Act relating No. 10 of 6 May 1994 to the Implementation of the Chemical Weapons Convention, Section 5	
8	attempt to engage in abovementioned activities	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 16	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 16	
9	participate as an accomplice in abovementioned activities	X	X	X	NW/BW/CW: Penal Code, as amended, Art. 133 with 142 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	X	X	X	NW/BW/CW: Penal Code, as amended, Arts. 135, 136, 136a with 142 NW: Nuclear Energy Act of 1972, as amended, Section 55 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	
10	assist in abovementioned activities	X	X	X	NW/BW/CW: Penal Code, as amended, Arts. 135, 136, 136a with 142 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1	X	X	X	NW/BW/CW: Penal Code, as amended, Arts. 135, 136, 136a with 142 NW: Nuclear Energy Activities Act of 1978, as amended, Section 55 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5	
11	finance abovementioned activities	X	X	X	NW/CW/BW: Penal Code, as amended, Art. 135 with 142 Act of 6 March 2009 No. 11 relating to measures to combat money laundering and the	X	X	X	NW/CW/BW: Penal Code, as amended, Art. 135 with 142 National Authority for Investigation and Prosecution of Economic and Environmental	

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement	National legal framework				Enforcement and civil/criminal penalties				Remarks
	X/?			Source document of national implementation law	X/?			Source document	
	NW	CW	BW		NW	CW	BW		
				financing of terrorism, etc. (Money Laundering Act, MLA) Regulations concerning measures to combat money laundering and the financing of terrorism, etc. (Money Laundering Regulations, MLR)				Crime - The Money Laundering Unit (ØKOKRIM/ EFE - Enheten for finansiell etterretning) Money Laundering Regulations 2009	
12 abovementioned activities related to means of delivery ¹	X	X	?	NW: Penal Code, as amended, Art. 142 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 1 BW: Penal Code of 1902, as amended 2005, Sections 147a and 153a	X	X	?	NW: Penal Code, as amended, Art. 142 CW: Act No. 10 of 6 May 1994 relating to the Implementation of the Chemical Weapons Convention, Section 5 Penal Code of 1902, as amended 2005, Section 153: toxins only BW: Penal Code of 1902, as amended 2005, Sections 147a and 153a	

¹. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) – Account for/Secure/Physically protect NW, CW and BW, including Related Materials²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X/?			Source document	X/?			Source document	
		NW	CW	BW*		NW	CW	BW		
1	Measures to account for production	X	X	X	NW: INFCIRC/177 in force 1 March 1972 Additional Protocol in force 16 May 2000 Nuclear Energy Act of 1972, as amended, Sections 4 and 5 Regulations No. 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods (regulate the control and accountancy of nuclear material as required in the Additional Protocol to the Safeguards Agreement between Norway and IAEA) CW: Regulations relating to the implementation of the CWC, of 16 May 1997 (Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substance and the Fire services (Fire and Explosions Act)) (Regulation relating to the handling of hazardous substances, 2009 No. 1142 Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations) 2004, No. 922) BW: Regulation No 903 of 12 September 1996 on import, transport and handling of materials infectious to humans	X	X		NW: Nuclear Energy Act of 1972, as amended, section 55 Penal Code as amended 26.11.2019, Art. 142 Regulations No. 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods, section 16 CW: Act No. 10 of 06 May 1994 relating to the implementation of the CWC Act No 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Hazardous Substances and the Fire services (Fire and Explosions Act), Sections 33-35 and 42 Regulation relating to the handling of hazardous substances, 2009 No. 1142, Section 24 Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations) 2004 No. 922, Sections 7-5, cf. Act No. 79 of 11 June 1976 relating to the Control of Products and Consumer Services, Section 12	

	Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials	National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X/?			Source document	X/?			Source document	
		NW	CW	BW*		NW	CW	BW		
2	Measures to account for use	X	X	X	NW: INFCIRC/177 Additional Protocol in force 16 May 2000 (INFIRC/177/Add. 1) Nuclear Energy Act of 1972, as amended, Section 5 Regulations No. 433 of 12 May 2000 on possession, transfer and transportation of nuclear material and dual-use goods CW: Regulations relating to the implementation of the CWC, of 16 May 1997 Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act). Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations) 2004, No. 922 BW: Act relating to control of communicable diseases, Sections 4 and 6 Decree No. 144 of 1990, as amended by Decree No. 74 of 2004 and Decree No. 819 of 2008 (reporting on Class A, B, and C diseases classified by the Veterinary Act)	X	X	X	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code as amended 26.11.2019, Art. 142 Regulations No. 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods, Section 16 CW: Act No. 10 of 06 May 1994 relating to the implementation of the CWC Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act), Sections 33-35 and 42 Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations) 2004 No. 92, Sections 7-5, cf. Act No. 79 of 11 June 1976 relating to the Control of Products and Consumer Services, Section 12. BW: Act relating to control of communicable diseases, Sections 4 and 6 Decree No. 144 of 1990, as amended by Decree No. 74 of 2004 and Decree No. 819 of 2008 (reporting on Class A, B, and C diseases classified by the Veterinary Act)	
3	Measures to account for storage	X	X	X	NW: INFCIRC/177 Additional Protocol in force 16 May 2000 (INFIRC/177/Add. 1) Nuclear Energy Act of 1972, Section 5	X	X		NW: Nuclear Energy Act of 1972, as amended, Section 16 Regulations No. 433 of 12 May 2000 on	

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials				National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
X/?				X/?								
NW	CW	BW*	Source document	NW	CW	BW	Source document					
			Regulations No 433 of 12 May 2000 on possession, transfer and transport of nuclear material and dual-use goods CW: Regulations relating to the implementation of the CWC, of 16 May 1997 Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act) Regulation relating to the handling of hazardous substances, 2009 No. 1142 BW: Regulation No 903 of 12 September 1996 on import, transport and handling of materials infectious to humans				possession, transfer and transport of nuclear material and dual-use goods, Section 16 CW: Act No. 10 of 06 May 1994 relating to the implementation of the CWC Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act), Sections 33-35 and 42 Regulation relating to the handling of hazardous substances, 2009 No. 1142, Sections 12 and 24					
4	Measures to account for transport	X	X	X	NW/CW/BW: Regulations No. 384 of 1 April 2009 on Land Transport Regulations No. 1331 of 1 December 2006 on the Transport of Dangerous Goods by Road and Rail (implements International Regulations for Carriage of Dangerous Goods by Road (ADR) and Rail (RID)) Regulations No 41 of 11 January 2003 on the Transport of dangerous Goods by Air. NW: Nuclear Energy Act of 1972, as amended, Section 5 Regulations No. 433 of 12 May 2000 on possession, transfer and transportation of nuclear material and dual-use goods	X	X	X	NW/CW/BW: Regulations No. 384 of 1 April 2009 on Land Transport Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail The Maritime Directorate of the Ministry of Foreign Affairs (issuing regulations on the maritime transport of dangerous goods) The Directorate for Fire and Explosion Prevention (competent authority for the transport of dangerous goods by road and rail) NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code as amended 26.11.2019, Art. 142 Regulations No. 433 of 12 May 2000 on			

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials				National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
X/?				X/?								
NW	CW	BW*	Source document	NW	CW	BW	Source document					
			CW: Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail				possession, transfer and transport of nuclear material and dual-use goods, Section 16					
							CW: Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail, Sections 6, 30 and 35.					
5	Measures to secure production	X	X	X	NW: Nuclear Energy Act of 1972, as amended Regulations 1809 of 1984 on the physical protection of nuclear materials and nuclear facilities		X	X	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code, as amended, 26.11.2019, Art. 142			
					CW: Act No. 20 of 14 June 2002 relating to the Prevention of Fire, Explosion and Accidents involving Hazardous Substances and the Fire Services (Fire and Explosion Act), Sections 6, 19 and 20 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness				CW: Fire and Explosion Act, Section 42 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Sections 7, 11 and 15			
					BW: Regulation no 903 of 12 September 1996 on import, transport and handling of materials infectious to humans							
6	Measures to secure use	X	X		NW: Nuclear Energy Act of 1972, as amended Regulations 1809 of 1984 on the physical protection of nuclear materials and nuclear facilities		X	X	NW: Nuclear Energy Act of 1972, as amended, Section 55 Penal Code as amended 26.11.2019, Art. 142			
					CW: Fire and Explosion Act, Sections 6, 19 and 20 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness				CW: Fire and Explosion Act, Section 42 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Sections 7, 11 and 15. 3. Regulation relating to the handling of			

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X/?			Source document	X/?			Source document	
		NW	CW	BW*		NW	CW	BW		
					Regulation relating to the handling of hazardous substances, 2009 No. 1142				hazardous substances, 2009 nr. 1142, Sections 12, 14 and 24	
					BW: Regulation no 903 of 12 September 1996 on import, transport and handling of materials infectious to humans					
7	Measures to secure storage	X	X	X	NW: Nuclear Energy Act of 1972, as amended Regulations 1809 of 1984 on the physical protection of nuclear materials and nuclear facilities CW: Fire and Explosion Act, Sections 6, 19 and 20 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Sections 7, 11 and 15 3. Regulation relating to the handling of hazardous substances, 2009 No. 1142 BW: Regulation No. 903 of 12 September 1996 on import, transport and handling of materials infectious to humans	X	X		NW: Nuclear Energy Act of 1972, as amended Penal Code as amended 26.11.2019, Art. 142 CW: Fire and Explosion Act, Section 42 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Sections 7, 11 and 15. 3. Regulations relating to the handling of hazardous substances, 2009 No. 1142, Sections 12, 14 and 24	
8	Measures to secure transport	X	X	X	NW/CW/BW: Regulation No. 384 of 1 April 2009 on Land transport Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail NW: Nuclear Energy Act of 1972, as amended Act of 11 June 1993 on Civil Aviation, Sections 9(1) and (4) (responsibility for maritime	X	X	X	NW/CW/BW: Regulation No. 384 of 1 April 2009 on Land transport Regulations of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail The Maritime Department of the Ministry of Trade, Industry and Fisheries (issuing regulations on the maritime transport of dangerous goods) The Directorate for Fire and Explosion	

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials	National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
	X/?				X/?				
	NW	CW	BW*	Source document	NW	CW	BW	Source document	
				transport lies in the Maritime Directorate of the Ministry of Foreign Affairs and the Civil Aviation Administration for air transport). Regulations 1809 of 1984 on the physical protection of nuclear materials and nuclear facilities Regulations No. 433 of 12 May 2000 on possession, transfer and transportation of nuclear material and dual-use equipment CW: Fire and Explosion Act, Sections 6, 19 and 20 Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail, Chapter 2 BW: Regulations No 1331 of 1 December 2006 on the Transport of Dangerous Goods by Road and Rail. Regulations No 41 of 11 January 2003 on the Transport of dangerous Goods by Air				Prevention (competent authority for the transport of dangerous goods by road and rail) NW: Nuclear Energy Act of 1972, as amended Penal Code as amended 26.11.2019, Art. 142 CW: Fire and Explosion Act, Section 42 Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail, Sections 4-6, 30, 31 and 35.	
9 Physical protection measures	X	X	X	NW/CW/BW: Regulations No 384 of 1 April 2009 on Land Transport Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail NW: Nuclear Energy Act of 1972, as amended Regulations 1809 of 2 November 1984 on relating to the physical protection of nuclear materials and nuclear facilities, as revised Royal Decree of 2 November 1984, especially Sections 1, 13-15 and Annexes (including minimum standards for transport and storage) Regulations 1362 of 21 November 2003 on	X	X	X	NW/CW/BW: Regulations No 384 of 1 April 2009 on Land Transport Regulations No. 1264 of 20 December 1996 on the Transport of Dangerous Goods by Road and Rail NW: Nuclear Energy Act of 1972, as amended CW: Fire and Explosion Act, Section 42 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness, Sections 7, 11 and 15 Regulation relating to the handling of	

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials	National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
	X/?			Source document	X/?			Source document	
	NW	CW	BW*		NW	CW	BW		
				Radiation Protection and Use of Radiation (Radiation Protection Regulations), as amended by Regulations 1380 of 29 Oct 2010				hazardous substances, 2009 nr. 1142, Sections 12, 14, 15 and 24	
				CW: Fire and Explosion Act, Sections 6, 19 and 20 Regulation No. 672 of June 17 relating to industrial safety and emergency preparedness					
				BW: Regulation 1356 of 6 December 2011 Concerning the design and layout of workplaces and premises					
10 Personnel Reliability	X			NW: Nuclear Energy Act of 1972, as amended Regulations No. 1809 of 2 November 1984 relating to the physical protection of nuclear materials and nuclear facilities, as amended			X	NW: Nuclear Energy Ac of 1972, as amended, Section 55	

². Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) – Account for/Secure/Physically protect NW including Related Materials (NW specific)

<i>Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials</i>		<i>Source document</i>	<i>Remarks</i>
1	National regulatory authority	Norwegian Radiation and Nuclear Safety Authority (DSA)	
2	Licensing of nuclear installations/entities/use of materials	Nuclear Energy Act of 1972, as amended	
3	IAEA Safeguards Agreements	INFCIRC/177 in force 1 March 1972 Additional Protocol in force 16 May 2000	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support	
6	IAEA Incident and Trafficking Database	Participates	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)	IPPAS missions conducted in 2003 and 2015	
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5	Nuclear Energy Act Regulations on the Physical Protection of Nuclear Material and Nuclear Facilities	
9	Other Agreements related to IAEA	Convention on Early Notification of a Nuclear Accident (Deposit 26 September 1986) Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency (Deposit 26 September 1986) Convention on Nuclear Safety (Deposit 29 September 1994) Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management (Deposit 12 January 1998)	
10	National legislation and regulations related to nuclear material including CPPNM	Nuclear Energy Act Act on Radiation Protection and Use of Radiation Pollution Control Act	

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials

Source document

Remarks

Regulations on the Physical Protection of Nuclear Material and Nuclear Facilities

Regulations on Possession, Transfer and Transportation of Nuclear Material and Dual-Use Equipment

Regulations on Radiation Protection and Use of Radiation

Regulations on the application of the Pollution Control Act to radioactive pollution and radioactive waste

V. OP 3 (a) and (b) – Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials

Source document

Remarks

- | | | |
|---|---|---|
| 1 | National CWC authority | The Norwegian Directorate for Civil Protection |
| 2 | Licensing/registration of installations/facilities/persons/entities/use/handling of related materials | <p>Regulations relating to the implementation of the CWC, of 16 May 1997</p> <p>Regulation relating to the handling of hazardous substances, 2009 nr. 1142.</p> <p>Regulation 1356 of 6 December 2011 Concerning the design and layout of workplaces and premises</p> |
| 3 | Old or abandoned chemical weapons | State reports that Chemical Weapons from WWII were dumped at sea. The localization and condition of the weapons surveyed by the Norwegian Defence Research Establishment. Conclusion: safest and most environmentally friendly option to leave them at site |

VI. OP 3 (a) and (b) – Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials

Source document

Remarks

1 Licensing/registration of installations/facilities/ persons/entities/use/
handling of materials

Regulation 1356 of 6 December 2011 Concerning the design
and layout of workplaces and premises

Regulation No. 903 of 12 September 1996 on import, transport
and handling of materials infectious to humans

VII. OP 3 (c) and (d) and related matters from OP 6 – Controls of NW, CW and BW, including Related Materials

	Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials	National legal framework				Enforcement and civil/criminal penalties				Remarks
		X/?				X/?				
		NW	CW	BW	Source document	NW	CW	BW	Source document	
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: The Act on Customs Duties and Movement of Goods (Customs Act) 21 December 2007, No. 119, Sections 1-5, 3-1, 3-7, 4-10, 4-11 Regulations to the Act on Customs Duties and Movement of Goods (Customs Regulations) 2009 Export Control Regulations, as amended, Section 21 BW: Decree No. 1347 of 2005 carrying into effect Commission Regulation (EC) No. 136/2004 laying down procedures for veterinary checks at Community border inspection posts on products imported from third countries. Plant Diseases Act of 1964, as amended Decree No. 1602 of 1983, as amended by Decree No. 291 of 1988 (prevention of imports of plant diseases)	X	X	X	NW/CW/BW: The Act on Customs Duties and Movement of Goods (Customs Act) 21 December 2007, No. 119, Chapters 13 (enforcement powers) and 16 (penalties) Regulations to the Act on Customs Duties and Movement of Goods (Customs Regulations) 2009, Chapter 16 BW: Offences to Decree No. 1347 are prescribed by the Food Act, No. 124 of 2003 Plant Diseases Act of 1964, as amended, Section 10 Food Control Agency State Plant Inspection Office	
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Police Act Customs Act Act of 18 December 1987 No. 93 relating to control of the export of strategic goods, services, technology, etc. (Export Control Act 1987, as amended)	X	X	X	NW/CW/BW: Directorate for Customs and Excise Norwegian Police Security Service	
3	Border control detection measures	X	X	X	NW/CW/BW: Customs Act No. 119 of 2007, Sections 13- 3 and following, chapter 14; chapter 16	X	X	X	NW/CW/BW: Customs Act No. 119 of 2007, Sections13- 3 and following, chapter 14; chapter 16	

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework				Enforcement and civil/criminal penalties				Remarks
		X/?				X/?				
		NW	CW	BW	Source document	NW	CW	BW	Source document	
4	Control of brokering	X	X	X	NW/CW/BW: Regulations relating to the export of defence-related products, dual-use items, technology and services (Export Control Regulations), laid down by the Ministry of Foreign Affairs on 19 June 2013, as amended, Section 6	X	X	X	NW/CW/BW: Export Control Act 1987, as amended	
5	Export control legislation in place	X	X	X	NW/CW/BW: Act of 18 December 1987 relating to control of the export of strategic goods, services, technology etc (Export Control Act), as amended Export Control Regulations, as amended, Sections 3, 4, 5, 6, 7 MFA Ordinance of 10 January 1989 to implement export regulations for strategic goods, services and technology CW: Regulations relating to restrictions on the manufacture, import, export, sale and use of chemicals and other products hazardous to health and the environment (Product Regulations)	X	X	X	NW/CW/BW: Export Control Act 1987, as amended	
6	Licensing provisions and Authority	X	X	X	NW/CW/BW: Export Control Regulations, as amended, Sections 3, 4, 5, 7 Guidelines for the Ministry of Foreign affairs when dealing with applications for export of arms and military equipment MFA Ordinance of 10 January 1989 to implement export regulations for strategic goods, services and technology Ministry of Foreign Affairs	X	X	X	NW/CW/BW: Export Control Act 1987, as amended Ministry of Foreign Affairs	

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework				Enforcement and civil/criminal penalties				Remarks
		X/?				X/?				
		NW	CW	BW	Source document	NW	CW	BW	Source document	
7	Control lists of materials, equipment and technology	X	X	X	NW/CW/BW: Export Control Regulations, as amended, Section 2; Appendix II contains List II – the dual-use items subject to export controls	X	X	X	NW/CW/BW: Ministry of Foreign Affairs List II for dual-use items and List I for military items	
8	Intangible technology transfers	X	X	X	NW/CW/BW: Export Control Regulations, as amended 2015, Section 1, 3	X	X	X	NW/CW/BW: Export Control Act 1987, as amended	
9	Inclusion of means of delivery	X	X	X	NW/CW/BW: Export Control Regulations, as amended Section 2, 3, 4	X	X	X	NW/CW/BW: Ministry of Foreign Affairs Lists I and II	
10	End-user controls	X	X	X	NW/CW/BW: Export Control Regulations, as amended, Sections 20, 23, 24	X	X	X	NW/CW/BW: Export Control Act 1987, as amended	
11	Catch all clause	X	X	X	NW/CW/BW: Export Control Regulations, as amended, Section 7	X	X	X	NW/CW/BW: Export Control Act 1987, as amended	
12	Transit control	X	X	X	NW/CW/BW: Export Control Regulations, as amended, Section 8 e), Sections 9 and 10: arms and military equipment only Customs Act No. 119 of 2007, Sections 4-22	X	X	X	NW/CW/BW: Export Control Act 1987, as amended	
13	Trans-shipment control	X	X	X	NW/CW/BW: Export Control Regulations, as amended, Sections 9 and 10: arms and military equipment only Customs Act No. 119 of 2007, Sections 4-22	X	X	X	NW/CW/BW: Export Control Act, as amended	
14	Re-export control	X	X	X	NW/CW/BW: Export Control Regulations, as amended, Section 23, 24	X	X	X	NW/CW/BW: Export Control Act, as amended	

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials	National legal framework				Enforcement and civil/criminal penalties				Remarks
	X/?				X/?				
	NW	CW	BW	Source document	NW	CW	BW	Source document	
15 Control over financing of exports/ transshipments that would contribute to proliferation	X	X	X	NW/CW/BW: Act of 1 June 2018 relating to measures to combat money laundering and Terrorist Financing (the Anti-Money Laundering Act) Regulations concerning measures to combat money laundering and terrorist financing (Anti-Money Laundering Regulations) 2018, as amended	X	X	X	NW/CW/BW: National Authority for Investigation and Prosecution of Economic and Environmental Crime - The Money Laundering Unit (ØKOKRIM/ EFE - Enheten for finansiell etterretning) Money Laundering Regulations 2018, as amended	
16 Control over services related to exports/ transshipments that would contribute to proliferation including transportation	X	X	X	NW/CW/BW: Export Control Regulations, as amended, Section 5	X	X	X	NW/CW/BW: Export Control Act, as amended NW: Act No. 36 of 12 May 2000 on Radiation Protection and Use of Radiation 2000, Sections 18-19 and 23 Act No. 28 of 1978 on Nuclear Energy Activities, as amended The Norwegian Radiation and Nuclear Safety Authority (DSA)	

VIII. OP 7 and 8 (d) – Assistance, Work with and inform Industry and Public, and other Information

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|---|---|---|
| 1 | Assistance offered | Norway is willing to provide technical and other assistance, within its capacity, to support States that lack the legal and regulatory infrastructure, implementation experience and/or the necessary resources to fulfil their obligations under the 1540 resolution |
| 2 | Assistance Point of Contact (for assistance providers only) | <p>Ms Birgitte Wilhelmsen Wessel, First Secretary, Permanent Mission of Norway to the United Nations
 Email: Birgitte.Wilhelmsen.Wessel [at] mfa.no</p> <p>Section for Global Security and Disarmament, Ministry of Foreign Affairs
 Email: Seksjon.for.global.sikkerhet.og.nedrustning [at] mfa.no</p> <p>Mr Joon Hetland, Senior Adviser, Section for Global Security and Disarmament, Ministry of Foreign Affairs
 Email: Joon.Grane.Hetland [at] mfa.no</p> |
| 3 | Assistance requested | |
| 4 | Action taken to work with and inform industry | Dialogue with industry is established, also awareness raising programmes for business |
| 5 | Action taken to work with and inform the public | <p>The government makes reports on export controls annually to the parliament and makes them available to the public.</p> <p>Dialogue with public is established, also awareness raising programmes for universities</p> |
| 6 | Point of Contact | |
| 7 | Voluntary National Implementation Action Plan (NAP) | |
| 8 | 1540 Committee visits to States | |
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