



Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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Working Group on the Smuggling of Migrants

Item 4 of the provisional agenda**

Vienna, 11–13 September 2019

Other matters

Index of recommendations adopted by the Working Group on Smuggling of Migrants at its first five meetings

Background paper prepared by the Secretariat

1. The recommendations adopted by the Working Group on the Smuggling of Migrants at each of its meetings to date are set out in the reports of the Working Group on those meetings and currently number more than 250.
2. For consideration by the Working Group at its sixth meeting, the Secretariat has prepared an index of all recommendations adopted to date, organized by topic (see table).
3. In some cases, recommendations fall under more than one subject area, with the purpose of assisting States parties in identifying the pertinent recommendations on any topic previously discussed by the Working Group.
4. In addition, the Secretariat has prepared a background paper in the six official languages of the United Nations setting out all of the recommendations adopted to date by the Working Group (CTOC/COP/WG.7/2019/5).

Recommendations adopted by the Working Group on the Smuggling of Migrants at its first five meetings, by topic

Topic	Meeting report		Reference in CTOC/COP/WG.7/2019/5 (designated by section/paragraph/subparagraph)
	Document	Paragraphs	
Academia	CTOC/COP/WG.7/2013/5	10	II.6
Assistance to smuggled migrants	CTOC/COP/WG.7/2012/6	24, 25, 28 and 30	I.21, I.22, I.25 and I.27
	CTOC/COP/WG.7/2013/5	5 and 34	II.1 and II.30
	CTOC/COP/WG.7/2015/6	9, 18 and 23– 25	III.5, III.14 and III.19-21

* Reissued for technical reasons on 2 September 2019.

** [CTOC/COP/WG.7/2019/1](#).



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	<i>Document</i>	<i>Paragraphs</i>	
	CTOC/COP/WG.7/2018/3	7 (d) and 8 (e) and (f)	V.1.d, V.2.e and V.2.f
Awareness-raising	CTOC/COP/WG.7/2012/6	32, 35 and 36	I.29, I.32 and I.33
	CTOC/COP/WG.7/2015/6	24 and 33	III.20 and III.29
	CTOC/COP/WG.7/2017/5	12 and 13	IV.12 and IV.13
Bali Process on People Smuggling, Trafficking in Persons and Related Transnational Crime	CTOC/COP/WG.7/2013/5	37	II.33
Border control and management	CTOC/COP/WG.7/2012/6	38	I.35
	CTOC/COP/WG.7/2013/5	33	II.29
	CTOC/COP/WG.7/2015/6	29	III.25
	CTOC/COP/WG.7/2018/3	8 (h)	V.2.h
Children, general	CTOC/COP/WG.7/2015/6	23–29	III.19-25
Children, best interests of the child	CTOC/COP/WG.7/2015/6	23	III.19
Children, family identification	CTOC/COP/WG.7/2015/6	26	III.22
Children, protection	CTOC/COP/WG.7/2015/6	25	III.21
Children, specialized responses	CTOC/COP/WG.7/2015/6	27 and 28	III.23 and III.24
Children, unaccompanied/separated children	CTOC/COP/WG.7/2015/6	23 and 29	III.19 and III.25
Conference of the Parties to the United Nations Convention against Transnational Organized Crime and Working Group on the Smuggling of Migrants	CTOC/COP/WG.7/2012/6	10	I.7
	CTOC/COP/WG.7/2013/5	7, 11, 12, 27 and 36	II.3, II.7, II.8, II.23 and II.32
	CTOC/COP/WG.7/2015/6	39	III.35
	CTOC/COP/WG.7/2018/3	8 (g) and 11	V.2.g and V.5
	CTOC/COP/WG.7/2012/6	25	I.22

Topic	Meeting report		Reference in CTOC/COP/WG.7/2019/5 (designated by section/paragraph/subparagraph)
	Document	Paragraphs	
Consular and diplomatic support	CTOC/COP/WG.7/2013/5	34	II.30
	CTOC/COP/WG.7/2018/3	8 (e)	V.2.e
Convention against Transnational Organized Crime	CTOC/COP/WG.7/2012/6	5 and 44	I.2 and I.41
	CTOC/COP/WG.7/2013/5	8, 9 and 17	II.4, II.5 and II.13
	CTOC/COP/WG.7/2015/6	5, 7, 11–13 and 42	III.1, III.3, III.7-9 and III.38
	CTOC/COP/WG.7/2017/5	1, 6 and 8	IV.1, IV.6 and IV.8
	CTOC/COP/WG.7/2018/3	8 (a), (b) and (g)	V.2.a, V.2.b and V.2.g
Corruption	CTOC/COP/WG.7/2015/6	35	III.31
Criminal justice system, general	CTOC/COP/WG.7/2015/6	37	III.33
	CTOC/COP/WG.7/2018/3	7 and 8 (b)	V.1 and V.2.b
Criminal justice system, financial investigations	CTOC/COP/WG.7/2015/6	38 and 39	III.34 and III.35
	CTOC/COP/WG.7/2017/5	14	IV.14
Criminal justice system, interviews	CTOC/COP/WG.7/2015/6	10	III.6
Criminal justice system, investigations	CTOC/COP/WG.7/2013/5	16 and 20	II.12 and II.16
	CTOC/COP/WG.7/2015/6	11	III.7
	CTOC/COP/WG.7/2017/5	5	IV.5
Criminal justice system, liability of those who render aid	CTOC/COP/WG.7/2015/6	8	III.4
Criminal justice system, proceeds of crime and predicate offences	CTOC/COP/WG.7/2012/6	16	I.13
	CTOC/COP/WG.7/2015/6	6	III.2
	CTOC/COP/WG.7/2017/5	2 and 8	IV.2 and IV.8
Criminal justice system, punishment and sentencing	CTOC/COP/WG.7/2012/6	14 and 15	I.11 and I.12
Criminal justice system, rules of evidence	CTOC/COP/WG.7/2013/5	18	II.14
	CTOC/COP/WG.7/2017/5	5	IV.5
Criminal justice system, special investigative techniques	CTOC/COP/WG.7/2012/6	17	I.14
	CTOC/COP/WG.7/2013/5	17–19	II.13-15
	CTOC/COP/WG.7/2015/6	13	III.9
	CTOC/COP/WG.7/2017/5	7	IV.7

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	<i>Document</i>	<i>Paragraphs</i>	
Criminal justice system, witness protection	CTOC/COP/WG.7/2012/6	12	I.9
	CTOC/COP/WG.7/2015/6	11 and 13	III.7 and III.9
	CTOC/COP/WG.7/2017/5	5	IV.5
Data collection and research	CTOC/COP/WG.7/2012/6	40	I.37
	CTOC/COP/WG.7/2015/6	43	III.39
	CTOC/COP/WG.7/2017/5	4	IV.4
	CTOC/COP/WG.7/2018/3	10 (e)	V.4.e
Data collection and research, Sharing Electronic Resources and Laws on Crime (SHERLOC)	CTOC/COP/WG.7/2013/5	34	II.30
	CTOC/COP/WG.7/2015/6	44	III.40
Data collection and research, global report on the smuggling of migrants	CTOC/COP/WG.7/2015/6	41	III.37
Emerging technologies	CTOC/COP/WG.7/2012/6	20 and 35	I.17 and I.32
Facilitating immigration opportunities	CTOC/COP/WG.7/2015/6	21 and 22	III.17 and III.18
	CTOC/COP/WG.7/2018/3	10 (b)	V.4.b
Financial institutions	CTOC/COP/WG.7/2015/6	38	III.34
Fraud	CTOC/COP/WG.7/2012/6	33	I.30
	CTOC/COP/WG.7/2015/6	30 and 31	III.26 and III.27
Identity and travel documents	CTOC/COP/WG.7/2012/6	18, 32–34 and 37	I.15, I.29–31 and I.34
	CTOC/COP/WG.7/2015/6	30 and 31	III.26 and III.27
Information-sharing	CTOC/COP/WG.7/2012/6	9, 22, 31 and 39	I.6, I.19, I.28 and I.36
	CTOC/COP/WG.7/2013/5	6, 25, 28–31, 36 and 37	II.2, II.21, II.24–27, II.32 and II.33
	CTOC/COP/WG.7/2015/6	13 and 14	III.9 and III.10
	CTOC/COP/WG.7/2017/5	3 and 4	IV.3 and IV.4
	CTOC/COP/WG.7/2018/3	8 (b), (g) and (h) and 10 (e)	V.2.b, V.2.g, V.2.h and V.4.e
Intelligence-sharing	CTOC/COP/WG.7/2012/6	38 and 48	I.35 and I.45
	CTOC/COP/WG.7/2015/6	14	III.10

Topic	Meeting report		Reference in CTOC/COP/WG.7/2019/5 (designated by section/paragraph/subparagraph)
	Document	Paragraphs	
International cooperation	CTOC/COP/WG.7/2012/6	20, 26, 38 and 43–51	I.17, I.23, I.35 and I.40-48
	CTOC/COP/WG.7/2013/5	9, 15, 16, 26, 32 and 33	II.5, II.11, II.12, II.22, II.28 and II.29
	CTOC/COP/WG.7/2015/6	7, 16, 20, 32 and 40	III.3, III.11, III.16, III.28 and III.36
	CTOC/COP/WG.7/2017/5	3, 6–8, 10 and 15	IV.3, IV.6-8, IV.10 and IV.15
	CTOC/COP/WG.7/2018/3	8 (a), (b), (d), (f) and 9	V.2.a, V.2.b, V.2.d, V.2.f and V.3
International cooperation, capacity-building	CTOC/COP/WG.7/2012/6	7 and 46	I.4 and I.43
International cooperation, extradition	CTOC/COP/WG.7/2012/6	44	I.41
	CTOC/COP/WG.7/2015/6	12 and 13	III.8 and III.9
	CTOC/COP/WG.7/2018/3	8 (k)	V.2.k
International cooperation, informal cooperation	CTOC/COP/WG.7/2013/5	28–30	II.24-26
International cooperation, joint investigations	CTOC/COP/WG.7/2012/6	38, 41 and 49	I.35, I.38 and I.46
	CTOC/COP/WG.7/2017/5	7	IV.7
International cooperation, mutual legal assistance	CTOC/COP/WG.7/2012/6	44	I.41
	CTOC/COP/WG.7/2015/6	12	III.8
	CTOC/COP/WG.7/2017/5	9	IV.9
	CTOC/COP/WG.7/2018/3	8 (a), (c) and (k)	V.2.a, V.2.c and V.2.k
International cooperation, multi-agency centres	CTOC/COP/WG.7/2013/5	26 and 27	II.22 and II.23
International cooperation, prevention efforts	CTOC/COP/WG.7/2018/3	9	V.3
International cooperation, timeliness	CTOC/COP/WG.7/2012/6	45	I.42
	CTOC/COP/WG.7/2015/6	7	III.3
International law, jurisdiction over migrant smuggling on the high seas	CTOC/COP/WG.7/2015/6	5	III.1

Topic	Meeting report		Reference in CTOC/COP/WG.7/2019/5 (designated by section/paragraph/subparagraph)
	Document	Paragraphs	
International law, obligations towards refugees/asylum seekers	CTOC/COP/WG.7/2012/6	29	I.26
International organizations, general	CTOC/COP/WG.7/2012/6	42 and 52	I.39 and I.49
	CTOC/COP/WG.7/2013/5	10	II.6
International organizations, International Civil Aviation Organization	CTOC/COP/WG.7/2012/6	18	I.15
	CTOC/COP/WG.7/2015/6	31	III.27
International organizations, International Criminal Police Organization (INTERPOL)	CTOC/COP/WG.7/2012/6	9 and 39	I.6 and I.36
	CTOC/COP/WG.7/2015/6	31	III.27
Joint investigation teams	CTOC/COP/WG.7/2012/6	41 and 49	I.38 and I.46
	CTOC/COP/WG.7/2017/5	7	IV.7
Legislation	CTOC/COP/WG.7/2012/6	5 and 23	I.2 and I.20
	CTOC/COP/WG.7/2015/6	6 and 34	III.2 and III.30
	CTOC/COP/WG.7/2018/3	10 (c)	V.4.c
Liaison officers	CTOC/COP/WG.7/2012/6	41	I.38
	CTOC/COP/WG.7/2013/5	32	II.28
	CTOC/COP/WG.7/2015/6	33	III.29
	CTOC/COP/WG.7/2018/3	8 (d)	V.2.d
Media	CTOC/COP/WG.7/2012/6	35	I.32
Migration opportunities	CTOC/COP/WG.7/2015/6	21 and 22	III.17 and III.18
	CTOC/COP/WG.7/2018/3	10 (b)	V.4.b
Multi-agency centres	CTOC/COP/WG.7/2013/5	21– 27	II.17-23
National strategy and coordination	CTOC/COP/WG.7/2012/6	19 and 41	I.16 and I.38
	CTOC/COP/WG.7/2013/5	24	II.20
	CTOC/COP/WG.7/2015/6	15	III.11
Prevention efforts	CTOC/COP/WG.7/2012/6	41	I.38
	CTOC/COP/WG.7/2013/5	14 and 15	II.10 and II.11

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	<i>Document</i>	<i>Paragraphs</i>	
	CTOC/COP/WG.7/2015/6	16, 17, 20 and 37	III.12, III.13, III.16 and III.33
Protecting life, security and the rights of smuggled persons	CTOC/COP/WG.7/2012/6	17, 20–25, 27 and 31	I.14, I.17-22, I.24 and I.28
	CTOC/COP/WG.7/2013/5	5, 9, 20 and 30	II.1, II.5, II.16 and II.26
	CTOC/COP/WG.7/2015/6	10 and 18	III.6 and III.14
	CTOC/COP/WG.7/2018/3	10 (a)	V.4.a
Protocol against the Smuggling of Migrants by Land, Sea and Air	CTOC/COP/WG.7/2012/6	4, 5, 8, 10, 15, 20, 25, 27, 39 and 50	I.1, I.2, I.5, I.7, I.12, I.17, I.22, I.24, I.36 and I.47
	CTOC/COP/WG.7/2013/5	7, 8, 13, 15 and 21	II.3, II.4, II.9, II.11 and II.17
	CTOC/COP/WG.7/2015/6	7, 12, 13, 15, 17, 18, 42 and 44	III.3, III.8, III.9, III.11, III.13, III.14, III.38 and III.40
	CTOC/COP/WG.7/2017/5	1, 10, 11 and 13	IV.1, IV.10, IV.11 and IV.13
	CTOC/COP/WG.7/2018/3	7 (d), 8 (b), (e), (g) and (i) and 10 (c)	V.1.d, V.2.b, V.2.e, V.2.g, V.2.i and V.4.c
Protocol against the Smuggling of Migrants by Land, Sea and Air, definitions	CTOC/COP/WG.7/2012/6	6	I.3
	CTOC/COP/WG.7/2017/5	13	IV.13
Responders, civil society	CTOC/COP/WG.7/2012/6	30 and 52	I.27 and I.49
	CTOC/COP/WG.7/2013/5	10	II.6
	CTOC/COP/WG.7/2015/6	9, 22 and 33	III.5, III.18 and III.29
Responders, commercial carriers	CTOC/COP/WG.7/2012/6	36	I.33
	CTOC/COP/WG.7/2015/6	32	III.28
	CTOC/COP/WG.7/2017/5	11 and 12	IV.11 and IV.12
Responders, private sector	CTOC/COP/WG.7/2013/5	10	II.6
	CTOC/COP/WG.7/2015/6	32	III.28
Return of smuggled persons	CTOC/COP/WG.7/2012/6	52 and 53	I.49, I.50
	CTOC/COP/WG.7/2013/5	13	II.9
	CTOC/COP/WG.7/2017/5	15	IV.15
Secretary-General	CTOC/COP/WG.7/2012/6	50	I.47
	CTOC/COP/WG.7/2017/5	10	IV.10

Topic	Meeting report		Reference in CTOC/COP/WG.7/2019/5 (designated by section/paragraph/subparagraph)
	Document	Paragraphs	
Smuggling by air	CTOC/COP/WG.7/2012/6	18 and 36	I.15 and I.33
	CTOC/COP/WG.7/2015/6	31	III.27
	CTOC/COP/WG.7/2017/5	11	IV.11
Smuggling by sea	CTOC/COP/WG.7/2012/6	26, 27 and 50	I.23, I.24 and I.49
	CTOC/COP/WG.7/2013/5	12 (d)	II.8.d
	CTOC/COP/WG.7/2015/6	5, 14 and 18	III.1, III.10 and III.14
	CTOC/COP/WG.7/2017/5	10 and 11	IV.10 and IV.11
Smuggling by sea, notification of the Secretary-General of national authorities	CTOC/COP/WG.7/2012/6	50	I.47
	CTOC/COP/WG.7/2017/5	10	IV.10
Sustainable Development Goals	CTOC/COP/WG.7/2015/6	19	III.15
Technical assistance	CTOC/COP/WG.7/2012/6	8, 11, 34 and 54	I.5, I.8, I.31 and I.51
	CTOC/COP/WG.7/2013/5	7, 23 and 31	II.3, II.19 and II.27
	CTOC/COP/WG.7/2015/6	17 and 42	III.13 and III.38
	CTOC/COP/WG.7/2017/5	6	IV.6
	CTOC/COP/WG.7/2018/3	8 (i) and 10 (d)	V.2.i and V.6.d
Temporary resident status for smuggled migrants	CTOC/COP/WG.7/2012/6	13	I.10
	CTOC/COP/WG.7/2015/6	11	III.7
Terrorist organizations	CTOC/COP/WG.7/2015/6	36	III.32
Training and capacity-building	CTOC/COP/WG.7/2012/6	7 and 38	I.4 and I.35
	CTOC/COP/WG.7/2013/5	12 (b)	II.8.b
	CTOC/COP/WG.7/2015/6	28	III.24
	CTOC/COP/WG.7/2017/5	6	IV.6
	CTOC/COP/WG.7/2018/3	7 (a) and 8 (b), (h) and (j)	V.1.a, V.2.b, V.2.h and V.2.j
Transnational organized crime groups	CTOC/COP/WG.7/2015/6	35–37	III.31-33
United Nations Office on Drugs and Crime (UNODC),	CTOC/COP/WG.7/2012/6	8, 10, 11, 50 and 54	I.5, I.7, I.8, I.47 and I.51
	CTOC/COP/WG.7/2013/5	7, 8, 27 and 35–37	II.3, II.4, II.23 and II.31-33

<i>Topic</i>	<i>Meeting report</i>		<i>Reference in CTOC/COP/WG.7/2019/5 (designated by section/paragraph/subparagraph)</i>
	<i>Document</i>	<i>Paragraphs</i>	
including Secretariat	CTOC/COP/WG.7/2015/6	17, 41, 42 and 45	III.13, III.37, III.38 and III.41
	CTOC/COP/WG.7/2018/3	10 (d)	V.4.d
UNODC, global report on smuggling of migrants	CTOC/COP/WG.7/2015/6	41	III.37
Victims of crime	CTOC/COP/WG.7/2012/6	23	I.20
Vienna Convention on Consular Relations	CTOC/COP/WG.7/2012/6	25	I.22
	CTOC/COP/WG.7/2013/5	34	II.31
	CTOC/COP/WG.7/2018/3	8 (e)	V.2.e