



# Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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## Tenth session

Vienna, 12–16 October 2020

## Annotated provisional agenda

### Provisional agenda

1. Organizational matters:
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  - (b) Election of officers;
  - (c) Adoption of the agenda and organization of work;
  - (d) Participation;
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2. Review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto:
  - (a) United Nations Convention against Transnational Organized Crime;
  - (b) Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children;
  - (c) Protocol against the Smuggling of Migrants by Land, Sea and Air;
  - (d) Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition.
3. Other serious crimes, as defined in the Convention, including new forms and dimensions of transnational organized crime.
4. International cooperation, with particular emphasis on extradition, mutual legal assistance and international cooperation for the purpose of confiscation, and the establishment and strengthening of central authorities.
5. Technical assistance.
6. Financial and budgetary matters.
7. Provisional agenda for the eleventh session of the Conference.
8. Other matters.
9. Adoption of the report of the Conference on its tenth session.

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\* Reissued for technical reasons on 7 October 2020.



**Annotations****1. Organizational matters****(a) Opening of the tenth session of the Conference**

The tenth session of the Conference of the Parties to the United Nations Convention against Transnational Organized Crime is scheduled to be opened on Monday, 12 October 2020.

This year will mark the twentieth anniversary of the adoption of General Assembly resolution [55/25](#), in which the Assembly adopted the Convention and the Protocols thereto and opened them for signature. At the opening of the session, time will be allocated to the commemoration of this event.

Also at the opening of the session, time will be allocated for opening statements by the outgoing and incoming Presidents, the Executive Director of the United Nations Office on Drugs and Crime and, if applicable, high-level speakers (Head of State level). Those statements are to be made from the podium or through a remote speaker's link, as applicable. In addition, opening statements may be made by the Chairs of regional groups, from the floor or through a remote speaker's link, as applicable.

Given the overall reduction of interpretation time for the tenth session, a strict time limit of five minutes will be allotted to each speaker during the opening session.

Requests to register a high-level speaker for the opening session may be addressed to the secretariat by email using the contact email address provided in the invitations and should be submitted at the latest by noon on Friday, 9 October.

**(b) Election of officers**

In accordance with rule 22 of the rules of procedure for the Conference, at the opening of each session, a President, eight Vice-Presidents and a Rapporteur are to be elected from among the representatives of the States parties that are present at the session and are to serve as the officers of the session. In electing the officers of the session, each of the five regional groups shall be represented by two officers, one of whom is to be from among the representatives of the States that are parties to the Organized Crime Convention and to one or more, and if possible all, of the Protocols to the Convention. The Bureau shall include at least two representatives of States that are parties to all the instruments.

In accordance with paragraph 3, of rule 22, the offices of President and Rapporteur of the Conference shall normally be subject to rotation among the five regional groups. Thus, at the tenth session, the President of the Conference and one Vice-President is to be nominated by the Group of African States; the Group of Western European and other States is to be asked to nominate one Vice-President and the Rapporteur; and States of the other regions are to be asked to nominate two Vice-Presidents each.

**(c) Adoption of the agenda and organization of work**

At its ninth session, held in Vienna from 15 to 19 October 2018, the Conference adopted the provisional agenda for its tenth session (decision 9/1).

Also at its ninth session, the Conference adopted decision 9/2 on the organization of the work of the tenth session of the Conference, in which it decided, inter alia, that the tenth session should take place over five working days.

In its decision 6/3, on the organization of work for future sessions of the Conference, the Conference decided that, starting with the seventh session, the firm deadline for the submission of draft resolutions would be two weeks prior to the commencement of the relevant session.

Also in its decision 6/3, the Conference decided that, for future sessions of the Conference, starting with the seventh session, the Conference would be preceded by informal pre-session consultations, without interpretation, to be held on the working day preceding the first day of the Conference, which would provide an opportunity

for States to engage in informal consultations on draft resolutions and, inter alia, the provisional agenda for the subsequent session of the Conference.

The deadline for the submission of draft resolutions for consideration at the tenth session of the Conference is Monday, 21 September 2020. This deadline was set by the extended Bureau, on an exceptional basis. The informal pre-session consultations will be held on Friday, 9 October 2020. Draft resolutions should be submitted as early as possible in order to enable productive discussions during the pre-session consultations.

On 29 May 2020, the extended Bureau of the Conference agreed, by means of a silence procedure, on the proposed organization of work for the tenth session (see annex). In view of COVID-19 restrictions, on 7 September, the extended Bureau approved an updated organization of work based on the hybrid (in-person and online) meeting format.

### **Documentation**

Annotated provisional agenda ([CTOC/COP/2020/1](#))

#### **(d) Participation**

Rule 14 of the rules of procedure for the Conference provides that, subject to prior written notification to the Secretary-General, any State or regional economic integration organization that is a signatory to the Convention in accordance with its article 36, paragraphs 1 and 2, shall be entitled to participate in the Conference as an observer.

Rule 15 of the rules of procedure provides that any other State or regional economic integration organization that has not signed the Convention in accordance with its article 36, paragraphs 1 and 2, may apply to the Bureau for observer status, which shall be accorded unless otherwise decided by the Conference.

Rule 16 of the rules of procedure provides that, subject to prior written notification to the Secretary-General, representatives of entities and organizations that have received a standing invitation from the General Assembly to participate as observers in the sessions and work of all international conferences convened under its auspices, representatives of United Nations bodies, specialized agencies and funds, as well as representatives of functional commissions of the Economic and Social Council, shall be entitled to participate as observers in the deliberations of the Conference. Representatives of any other relevant intergovernmental organization may also apply to the Bureau for observer status, which shall be accorded unless otherwise decided by the Conference. At its fifth session, the Conference decided that the intergovernmental organizations listed in conference room paper [CTOC/COP/2010/CRP.7](#) would receive a standing invitation under rule 16, paragraph 2, of the rules of procedure, to attend future sessions of the Conference.

Rule 17 of the rules of procedure provides that relevant non-governmental organizations having consultative status with the Economic and Social Council may apply to the Bureau for observer status, which should be accorded unless otherwise decided by the Conference. Should relevant non-governmental organizations not having consultative status with the Council apply for observer status, the secretariat will circulate a list of such organizations in accordance with rule 17. Furthermore, at its fifth session, the Conference decided to continue to allow non-governmental organizations to participate in the sessions of the Conference, in line with the rules of procedure and past practice (see [CTOC/COP/2010/17](#), chap. II.D).

#### **(e) Adoption of the report of the Bureau on credentials**

Rule 18 of the rules of procedure, on the submission of credentials, sets out the following:

“1. The credentials of representatives of each State Party and the names of the persons constituting the State Party’s delegation shall be submitted to the

secretariat if possible not later than twenty-four hours in advance of the opening of the session.

“2. Any later change in the composition of the delegation shall also be submitted to the secretariat.

“3. The credentials shall be issued by the Head of State or Government, by the Minister for Foreign Affairs or by the Permanent Representative to the United Nations of the State party in accordance with its domestic law or, in the case of a regional economic integration organization, by the competent authority of that organization.

“4. When the Conference is to consider proposals for amendments to the Convention in accordance with article 39 of the Convention and rule 62 of the rules of procedure for the Conference, the credentials shall be issued either by the Head of State or Government or by the Minister for Foreign Affairs of the State party or, in the case of a regional economic integration organization, by the competent authority of that organization.”

Under rule 19 of the rules of procedure, the Bureau shall examine the credentials and submit its report to the Conference.

Under rule 20 of the rules of procedure, pending a decision of the Bureau on their credentials, representatives shall be entitled to participate provisionally in the session. Any representative of a State party to whose admission another State party has made objection shall be seated provisionally with the same rights as other representatives of States parties until the Bureau has reported and the Conference has given its decision.

As decided by the extended Bureau of the Conference at its eighth session, and in line with rule 19 of the rules of procedure, States parties must submit their credentials at the time of registration and at the latest before the end of the regular session of the Conference, so that their participation may be recorded officially. Therefore, for the tenth session of the Conference, States parties are kindly reminded that proper credentials should be presented at the time of registration; States parties that wish to participate provisionally in the tenth session will have until noon on Friday, 16 October 2020 to present proper credentials to ensure that their participation is recorded officially. Templates for the preparation of proper credentials will be made available on the dedicated pages of the tenth session of the Conference on the website of the United Nations Office on Drugs and Crime (UNODC).

**(f) General discussion**

Under item 1 (f), entitled “General discussion”, time will be allocated for statements on general matters related to the implementation of the Convention that may be of interest to the Conference.

A list of speakers for the general discussion will be kept by the secretariat; the list will be opened on Monday, 31 August, and closed promptly at noon on Friday, 9 October 2020. Requests for inclusion in the list of speakers for the general discussion may be addressed to the secretariat via email using the contact address provided in the invitations.

Speakers will be added to the list on a first-come, first-served basis on the understanding that priority will be given to representatives of cabinet-level ministerial and higher rank. Speakers are requested to limit their statements to three minutes.

**2. Review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto**

**(a) United Nations Convention against Transnational Organized Crime**

In its resolution 9/1 on the establishment of the mechanism for the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto, the Conference recalled that the Convention and its

Protocols represented the principal worldwide legal instruments to combat the scourge of transnational organized crime, which affected individuals and societies in all countries, and reaffirmed their importance as the main tools available to the international community for that purpose.

In the same resolution, the Conference reaffirmed that the purpose of the Convention and the Protocols thereto was, *inter alia*, to promote cooperation to prevent and combat transnational organized crime more effectively, and stressed the need to take additional concerted action to reinforce the implementation of the Convention and the Protocols thereto by States parties and to identify related technical assistance needs.

Also in its resolution 9/1, the Conference established the review mechanism and adopted, subject to the provisions of the resolution, the procedures and rules for the functioning of the mechanism for the review of the implementation of the Organized Crime Convention and decided to launch the preparatory phase of the review process.

Furthermore, in the same resolution, the Conference requested UNODC to convene, within existing resources, at least one meeting of an open-ended intergovernmental expert group for the purpose of finalizing and harmonizing, as necessary, the self-assessment questionnaires and preparing the guidelines for conducting the country reviews and a blueprint for the lists of observations and the summaries, referred to in the annex to the same resolution. The Conference also requested that the outcome of the work of the intergovernmental expert group be submitted to the Conference for its consideration at its tenth session.

On 13 December 2018, the extended Bureau of the Conference endorsed the nomination of Mr. Renaud Sorieul (France) as Chair of the intergovernmental expert group established in accordance with resolution 9/1.

The intergovernmental expert group established in accordance with resolution 9/1 held its first meeting in Vienna from 9 to 11 October 2019 and its second meeting from 13 to 15 July 2020. The expert group finalized the guidelines for conducting the country reviews, a blueprint for the lists of observations and a blueprint for the summaries for consideration by the Conference at its tenth session. The intergovernmental expert group also worked on finalizing and harmonizing the self-assessment questionnaires for the review of the implementation of the Convention and its Protocols, for consideration at the tenth session of the Conference. The reports on those meetings will be made available to the Conference at its tenth session (see [CTOC/COP/2020/5](#)). The Conference will also have before it the guidelines and blueprints (see [CTOC/COP/2020/8](#)).

Also under agenda item 2, the Conference will have before it a conference room paper containing a note by the Secretariat setting out information on the status of adherence to the Convention and its Protocols as at 12 October 2020 (CTOC/COP/2020/CRP.1).

### **Documentation**

Note by the Secretariat transmitting the reports on the meetings of the intergovernmental expert group held in Vienna from 9 to 11 October 2019 and from 13 to 15 July 2020 ([CTOC/COP/2020/5](#))

Note by the Secretariat on the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto: draft guidelines for conducting the country reviews and blueprints for the lists of observations and the summaries ([CTOC/COP/2020/8](#))

Conference room paper entitled “Status of adherence to the United Nations Convention against Transnational Organized Crime and the Protocols thereto as at 12 October 2020” (CTOC/COP/2020/CRP.1)

**(b) Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children**

In its decision 4/4, the Conference decided to establish an open-ended interim working group to advise and assist it in the implementation of its mandate with regard to the Trafficking in Persons Protocol.

In its resolution 7/1, the Conference decided that the Working Group on Trafficking in Persons would be a constant element of the Conference of the Parties, forwarding its reports and recommendations to the Conference, and requested the Secretariat to continue assisting the working groups of the Conference in the performance of their functions.

The Working Group on Trafficking in Persons held its ninth meeting from 9 to 11 September 2019 and its tenth meeting on 10 and 11 September 2020. In accordance with resolution 7/1, the report on those meetings will be made available to the Conference at its tenth session (see [CTOC/COP/2020/5](#)).

In addition, the Conference will have before it for its consideration a report of the Secretariat on the activities of UNODC to promote and support the implementation of the Trafficking in Persons Protocol ([CTOC/COP/2020/2](#)).

The Conference will also have before it a conference room paper on the status of consultations on the discussion points for future consideration stemming from the tenth meeting of the Working Group on Trafficking in Persons ([CTOC/COP/2020/CRP.2](#)).

**Documentation**

Report of the Secretariat on the activities of UNODC to promote and support the implementation of the Trafficking in Persons Protocol ([CTOC/COP/2020/2](#))

Note by the Secretariat transmitting the reports on the meetings of the Working Group on Trafficking in Persons held from 9 to 11 September 2019 and on 10 and 11 September 2020 ([CTOC/COP/2020/5](#))

Conference room paper entitled “Status of consultations on the discussion points for future consideration stemming from the tenth meeting of the Working Group on Trafficking in Persons” ([CTOC/COP/2020/CRP.2](#))

**(c) Protocol against the Smuggling of Migrants by Land, Sea and Air**

In its resolution 5/3 on the implementation of the Smuggling of Migrants Protocol, the Conference decided to establish an open-ended intergovernmental working group to advise and assist the Conference in the implementation of its mandate with regard to the Smuggling of Migrants Protocol.

In its resolution 6/3 on the implementation of the Smuggling of Migrants Protocol, the Conference called upon States parties to continue to review and, as appropriate, strengthen their relevant legislation, including criminal legislation, and establish as criminal offences the acts covered by the Smuggling of Migrants Protocol and the Organized Crime Convention, including by introducing appropriate sanctions commensurate with the nature and gravity of the offence. The Conference requested UNODC to continue its technical assistance and capacity-building efforts, in coordination and cooperation with bilateral assistance providers and other relevant international organizations that assist States parties, upon request, in implementing the Smuggling of Migrants Protocol, and to assist States, upon request, in ratifying or acceding to the Protocol.

In its resolution 7/1, the Conference decided that the Working Group on Smuggling of Migrants would be a constant element of the Conference of the Parties, forwarding its reports and recommendations to the Conference, and requested the Secretariat to continue assisting the working groups of the Conference in the performance of their functions.

The Working Group on the Smuggling of Migrants held its sixth meeting from 11 to 13 September 2019 and its seventh meeting on 8 and 9 September 2020. Both were held in Vienna. The reports will be made available to the Conference at its tenth session (see [CTOC/COP/2020/5](#)).

In addition, the Conference will have before it a report of the Secretariat on the activities of UNODC to promote and support the implementation of the Smuggling of Migrants Protocol ([CTOC/COP/2020/3](#)).

### **Documentation**

Report of the Secretariat on the activities of UNODC to promote and support the implementation of the Smuggling of Migrants Protocol ([CTOC/COP/2020/3](#))

Note by the Secretariat transmitting the reports on the meetings of the Working Group on the Smuggling of Migrants held in Vienna from 11 to 13 September 2019 and on 8 and 9 September 2020 ([CTOC/COP/2020/5](#))

### **(d) Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition**

In its resolution 5/4, the Conference decided to establish an open-ended intergovernmental working group on firearms to advise and assist the Conference in the implementation of its mandate with regard to the Firearms Protocol.

In its resolution 7/1, the Conference decided that the Working Group on Firearms would be a constant element of the Conference of the Parties, forwarding its reports and recommendations to the Conference, and requested the Secretariat to continue assisting the working groups of the Conference in the performance of their functions.

In its resolution 7/2, the Conference noted that reducing the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition was one of the major components of the efforts to reduce the violence that accompanied the activities of transnational organized criminal groups, and expressed the conviction that there was a need to strengthen international cooperation and the sharing of information to combat the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition.

In its resolution 8/3, the Conference welcomed the commitment made by Member States in the 2030 Agenda for Sustainable Development to significantly reduce illicit arms flows in their efforts to promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.

In the same resolution, the Conference requested UNODC to continue to assist States parties, upon request, in their efforts to strengthen their firearms control regime, consistent with the Firearms Protocol, in particular in the areas of legislative development; firearms identification, seizure, confiscation and disposal; technical support for marking, record-keeping and tracing; and training and capacity-building in the investigation and prosecution of related crimes, with a view to preventing, combating and eradicating the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition.

In its resolution 9/2, the Conference requested UNODC to continue to promote and encourage international cooperation in criminal matters, pursuant to the Convention, with the aim of investigating and prosecuting the illicit manufacturing of and trafficking in firearms, their parts and components and ammunition, including when related to terrorism and to other crimes, such as urban crime committed by gangs, through regional and cross-regional workshops, including for countries that are on relevant trafficking routes.

In the same resolution, the Conference also requested UNODC to continue to collect and analyse, on a regular basis, quantitative and qualitative information and suitably disaggregated data on illicit trafficking in firearms, their parts and components and

ammunition, taking into consideration the usefulness of the *UNODC Study on Firearms 2015* and target 16.4 of the Sustainable Development Goals, as well as to continue to share and disseminate its findings on best practices, the dimensions and characteristics of such trafficking, and lessons learned.

Furthermore, in the same resolution the Conference endorsed the recommendations adopted by the Working Group on Firearms at its fifth and sixth meetings, held in Vienna from 8 to 10 May 2017 and on 2 and 3 May 2018, respectively, and invited States parties to take measures, as appropriate, to implement the recommendations contained in the reports on those meetings.

For its consideration of sub-item 2 (d), the Conference will have before it a report of the Secretariat on the activities of UNODC to promote and support the implementation of the Firearms Protocol (CTOC/COP/2020/4).

Moreover, the report on the seventh meeting of the Working Group on Firearms, held in Vienna on 16 and 17 July 2020, will be made available to the Conference at its tenth session (see CTOC/COP/2020/5).

The Conference will also have before it a conference room paper containing the comments received on the discussion points for future consideration stemming from the seventh meeting of the Working Group on Firearms (CTOC/COP/2020/CRP.3).

### **Documentation**

Report of the Secretariat on the activities of UNODC to promote and support the implementation of the Firearms Protocol (CTOC/COP/2020/4)

Note by the Secretariat transmitting the report on the meeting of the Working Group on Firearms held in Vienna on 16 and 17 July 2020 (CTOC/COP/2020/5)

Conference room paper entitled “Comments received on the discussion points for future consideration stemming from the seventh meeting of the Working Group on Firearms” (CTOC/COP/2020/CRP.3)

### **3. Other serious crimes, as defined in the Convention, including new forms and dimensions of transnational organized crime**

In its resolution 6/1, the Conference noted with concern the emergence of new forms and dimensions of transnational organized crime, reaffirmed that the Convention, as a global instrument with wide adherence, offered a broad scope for cooperation to address existing and emerging forms of transnational organized crime, and recognized the need for accurate information on global crime trends and patterns, including on new and emerging forms of organized crime, and the need to improve the quality, scope and completeness of data relating to organized crime.

In addition, in its resolution 7/4, entitled “Implementation of the international cooperation provisions of the United Nations Convention against Transnational Organized Crime”, the Conference expressed concern that transnational organized crime had diversified globally and that new and emerging forms required effective responses that depended upon strengthened international cooperation in criminal matters, including through the development of channels for timely cooperation.

Moreover, in its resolution 7/3, the Conference endorsed the recommendation adopted by the Working Group of Government Experts on Technical Assistance at its meeting held from 28 to 30 October 2013 that UNODC, subject to the availability of extrabudgetary resources, should continue the development of technical assistance tools, for the Convention and the Protocols thereto and on specialized issues. In accordance with that recommendation, UNODC has prepared a publication entitled *Combating falsified medical product-related crime: a guide to good legislative practices*, which is aimed at facilitating States’ review and amendment of existing legislation and adoption of new legislation to counter falsified medical product-related crime in line with the Organized Crime Convention and other relevant international conventions. Furthermore, UNODC has prepared a paper on liability,

self-regulation and co-regulation of online intermediaries with regard to serious crime. It is envisaged that the paper will be formally launched during the tenth session of the Conference.

No documentation is currently foreseen under agenda item 3.

#### **4. International cooperation, with particular emphasis on extradition, mutual legal assistance and international cooperation for the purpose of confiscation, and the establishment and strengthening of central authorities**

In its decision 3/2 of 18 October 2006, the Conference decided that an open-ended working group on international cooperation would be a constant element of the Conference of the Parties. This decision was reaffirmed consistently in subsequent resolutions of the Conference.

In its resolution 8/1, entitled “Enhancing the effectiveness of central authorities in international cooperation in criminal matters to counter transnational organized crime”, the Conference urged States parties to afford one another the greatest measure of assistance, in accordance with the provisions of the Convention, as well as their domestic laws, and encouraged States parties, consistent with their national legal frameworks, to make the widest possible use of the Convention as a basis for international cooperation.

In the same resolution, the Conference strongly encouraged States parties to facilitate engagement between and among central authorities in person, including through regional networks, or by virtual means, and urged States parties, including in collaboration with UNODC, to promote training and technical assistance to facilitate international cooperation under the Convention.

In its resolution 9/3, entitled “Implementation of the provisions on international cooperation of the United Nations Convention against Transnational Organized Crime”, the Conference of the Parties endorsed relevant recommendations emanating from meetings of the Working Group on International Cooperation, requesting States parties to continue their efforts to facilitate the active participation of central authorities and national experts in mutual legal assistance and extradition in forums such as the Working Group on International Cooperation, thus facilitating the exchange of good practices and challenges, promoting direct dialogue between practitioners regarding the implementation of the Convention and maximizing the value of such forums.

Pursuant to resolution 9/3, annex III, paragraph 1 (j), UNODC has prepared a digest to document and analyse, to the fullest extent possible, cases in which the Convention was used as a legal basis for international cooperation in criminal matters.

For its consideration of agenda item 4, the Conference will have before it a report of the Secretariat on the activities of UNODC to promote the implementation of the provisions on international cooperation in the Convention ([CTOC/COP/2020/6](#)).

The Working Group on International Cooperation held its tenth meeting in Vienna on 16 October 2018 in the margins of the ninth session of the Conference. The eleventh meeting was held in Vienna on 7 and 8 July 2020. The report on the eleventh meeting will be made available to the Conference at its tenth session (see [CTOC/COP/2020/5](#)).

The Conference will also have before it a conference room paper containing the comments received on the discussion points for future consideration stemming from the eleventh meeting of the Working Group on International Cooperation ([CTOC/COP/2020/CRP.4](#)).

#### **Documentation**

Note by the Secretariat transmitting the report on the meeting of the Working Group on International Cooperation held in Vienna on 7 and 8 July 2020 ([CTOC/COP/2020/5](#))

Report of the Secretariat on the activities of UNODC to promote the implementation of the provisions on international cooperation in the United Nations Convention against Transnational Organized Crime ([CTOC/COP/2020/6](#))

Conference room paper entitled “Comments received on the discussion points for future consideration stemming from the eleventh meeting of the Working Group on International Cooperation” ([CTOC/COP/2020/CRP.4](#))

## **5. Technical assistance**

In decision 4/3, the Conference decided that the Open-ended Interim Working Group of Government Experts on Technical Assistance should be a constant element of the Conference of the Parties. This decision was reaffirmed in subsequent resolutions of the Conference.

In its resolution 7/3, entitled “Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime”, the Conference noted that technical assistance was a fundamental part of the work carried out by UNODC to assist Member States in the effective implementation of the Convention and the Protocols thereto.

For its consideration of agenda item 5, the Conference will have before it a report of the Secretariat on the provision of technical assistance to States in the implementation of the Convention and the Protocols thereto ([CTOC/COP/2020/7](#)).

Moreover, the Conference will have before it the report on the twelfth meeting of the Working Group on Technical Assistance, held in Vienna on 9 and 10 July 2020 (see [CTOC/COP/2020/5](#)).

The Conference will also have before it a conference room paper containing the comments received on the discussion points for future consideration stemming from the twelfth meeting of the Working Group on Technical Assistance ([CTOC/COP/2020/CRP.5](#)).

### **Documentation**

Note by the Secretariat transmitting the report on the meeting of the Working Group on Technical Assistance held in Vienna on 9 and 10 July 2020 ([CTOC/COP/2020/5](#))

Report of the Secretariat on the provision of technical assistance to States in the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto ([CTOC/COP/2020/7](#))

Conference room paper entitled “Comments received on the discussion points for future consideration stemming from the twelfth meeting of the Working Group on Technical Assistance” ([CTOC/COP/2020/CRP.5](#))

## **6. Financial and budgetary matters**

In its resolution [55/25](#), the General Assembly decided that, until the Conference decided otherwise, the account referred to in article 30 of the Organized Crime Convention would be operated within the United Nations Crime Prevention and Criminal Justice Fund, and encouraged Member States to begin making adequate voluntary contributions to the above-mentioned account for the provision to developing countries and countries with economies in transition of the technical assistance that they might require for the implementation of the Convention and its Protocols, including for the preparatory measures needed for that implementation.

In accordance with rule 72 (Preparation of a budget) of the rules of procedure for the Conference, the secretariat is to prepare a budget for the financing of the activities of the Conference related to technical cooperation undertaken in accordance with articles 29–32 of the Convention, article 10 of the Trafficking in Persons Protocol, article 14 of the Smuggling of Migrants Protocol and article 14 of the Firearms Protocol and communicate it to the States parties at least 60 days in advance of the

opening of the regular session at which the budget is to be adopted. In accordance with rule 73 (Adoption of the budget) of the rules of procedure, the Conference shall consider and decide on the budget prepared pursuant to rule 72.

Information in relation to the budget for the financing of the activities of the Conference related to technical cooperation is contained in the report of the Executive Director on the implementation of the consolidated budget for the biennium 2020–2021 for UNODC (E/CN.7/2020/16-E/CN.15/2020/16), which will be submitted to the Commission on Narcotic Drugs and the Commission on Crime Prevention and Criminal Justice at their reconvened sessions in December.

In its resolution 9/1, on the establishment of the mechanism for the review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto, the Conference stressed the importance of ensuring under future budget cycles the efficient, continued and impartial functioning of the Mechanism and invited Member States and other donors to provide extrabudgetary resources for the purposes set out in the resolution, in accordance with the rules and procedures of the United Nations and with the procedures and rules for the functioning of the Mechanism, contained in the annex to the present resolution, including its paragraph 54.

Following the adoption of resolution 9/1, the Secretariat developed a global programme to collect the voluntary contributions complementing the existing resources to support the implementation of that resolution. For the tenth session of the Conference, information on the status of those voluntary contributions will be made available to the Conference on the basis of resolution 9/1 and the procedures and rules for the functioning of the Mechanism (see [CTOC/COP/2020/9](#)).

The report of the Executive Director replaces the note by the Secretariat on financial and budgetary matters, which duplicated the information provided in the consolidated budget for UNODC.

### **Documentation**

Report by the Secretariat on the implementation status of the Global Programme to Support the Mechanism for the Review of the Implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto. ([CTOC/COP/2020/9](#))

### **7. Provisional agenda for the eleventh session of the Conference**

The Conference is to consider and approve a provisional agenda for its eleventh session, which is to be drawn up by the secretariat in consultation with the Bureau.

### **8. Other matters**

As no issues to be raised under agenda item 8 have come to the attention of the secretariat, no documentation regarding this item is currently foreseen.

### **9. Adoption of the report of the Conference on its tenth session**

The Conference is to adopt a report on its tenth session, the draft of which will be prepared by the secretariat in coordination with the Rapporteur.

## Annex

## Proposed organization of work

<i>Date/time</i>	<i>Agenda item</i>	<i>Title or description</i>
<b>Monday, 12 October</b>		
12 noon–2 p.m.	1 (a)	Opening of the session
	1 (b)	Election of officers
	1 (c)	Adoption of the agenda and organization of work
	1 (d)	Participation
	1 (e)	Adoption of the report of the Bureau on credentials
	1 (f)	General discussion
4–6 p.m.	1 (f)	General discussion ( <i>continued</i> )
<b>Tuesday, 13 October</b>		
12 noon–2 p.m.	2	Review of the implementation of the United Nations Convention against Transnational Organized Crime and the Protocols thereto
	2 (a)	Organized Crime Convention
	2 (b)	Trafficking in Persons Protocol
4–6 p.m.	2 (c)	Smuggling of Migrants Protocol
	2 (d)	Firearms Protocol
<b>Wednesday, 14 October</b>		
12 noon–2 p.m.	3	Other serious crimes, as defined in the Convention, including new forms and dimensions of transnational organized crime
4–6 p.m.	4	International cooperation, with particular emphasis on extradition, mutual legal assistance and international cooperation for the purpose of confiscation, and the establishment and strengthening of central authorities
	5	Technical assistance
<b>Thursday, 15 October</b>		
12 noon–2 p.m.		Committee of the Whole
4–6 p.m.		Committee of the Whole
<b>Friday, 16 October</b>		
12 noon–2 p.m.		Committee of the Whole
4–6 p.m.	6	Financial and budgetary matters
	7	Provisional agenda for the eleventh session of the Conference
	8	Other matters
	9	Adoption of the report of the Conference on its tenth session