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### Special Committee on the Situation with regard to the Implementation of the Declaration on the Granting of Independence to Colonial Countries and Peoples

Special Committee decision of 18 June 2021 concerning Puerto Rico

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# I. Introduction

1. At its 5th meeting, on 18 June 2021, the Special Committee on the Situation with regard to the Implementation of the Declaration on the Granting of Independence to Colonial Countries and Peoples adopted draft resolution A/AC.109/2021/L.7 on the question of the decision of the Special Committee of 5 August 2020 concerning Puerto Rico. In paragraph 10 of the resolution, the Committee requested the Rapporteur to submit a report on the implementation of the resolution. The present report was prepared by the Rapporteur in compliance with that request, considering the question of Puerto Rico in the light of previous reports prepared by the Rapporteur; recent political, economic, military and regional developments concerning Puerto Rico; and action taken by United Nations bodies on the matter.

# **II. Background information**

#### A. General

2. Puerto Rico is the most easterly and smallest island of the Greater Antilles, in the Caribbean Sea. It has a land area of 8,959 km<sup>2</sup>, including the small nearby islands of Vieques, Culebra and Mona. More than three fourths of Puerto Rico is mountainous, and the range that runs its length reaches an elevation of 1,338 m at its highest point.

3. Over the past seven decades, the island has evolved from an agricultural to an urban/industrial society, with slower population growth, higher life expectancy and massive migration to the United States of America. Most of the inhabitants speak Spanish, although a number also speak English.

4. According to reports by Agencia EFE in October 2017, Hurricane Maria, which devastated Puerto Rico on 20 September 2017, led to an acceleration of the migratory process from Puerto Rico to the United States (see sect. III below). Six thousand Puerto Ricans departed, mainly to the State of Florida, in the days immediately following the hurricane.

5. According to reports by news services at the time, it was estimated that the population of Puerto Rico could be reduced by 20 to 30 per cent, which would reduce the population to 3 million or fewer. There are no precise data on those who have entered the United States by way of Orlando, Tampa and Fort Lauderdale, Florida. It was estimated that, by December 2017, the figures for refugees of Hurricane Maria could reach 100,000. In 2016, 5.4 million Puerto Ricans were living in the United States. On 14 January 2018, the Puerto Rican daily newspaper El Nuevo Día reported that, in October, 85,502 more people left the island than entered, which represented double the average annual movement since 2005, and 2.6 per cent of the population. Before the hurricane, it was estimated that the population of Puerto Rico would decrease to 3.2 million by 2020. According to reports in *Claridad*, the current total migration may increase to several hundred thousand people, including mainly young and skilled people seeking economic opportunity, although seniors and citizens in other age ranges are leaving for reasons relating to deteriorating health services after the storm. The fact that the majority of people leaving the island today are young professionals contributes to a brain drain. According to the data of the Economic Analysis Division of the Government Development Bank for Puerto Rico, as at December 2014, the life expectancy at birth was 79.09 years for the total population (82.8 years for women; 75.46 years for men). According to the same source, between 2000 and 2007, about 359,585 Puerto Ricans settled in the continental United States, whereas almost 1 million had settled there between the 1950s and the 1980s. In the Informe sobre Desarrollo Económico de 2017 it is indicated that, between 1990 and 2013, the proportion of the population that is 65 years of age or older increased by 13 per cent.

6. According to the State Data Center of the United States Bureau of the Census in Puerto Rico, as at 1 July 2019, the population of Puerto Rico was estimated at 3,193,694. According to the 2018 estimates, the population grew by 340 inhabitants. Annual estimates had projected a continuous population loss for the past 15 years, since 2005. After considerable population loss that varied between -1.1 and -3.9 per cent in post-hurricane years, in the period 2018–2019, there was a modest growth in population of 0.01 per cent.<sup>1</sup>

7. United States citizenship is granted to people born in Puerto Rico. They do not have the right to vote in United States presidential or congressional elections unless they reside on the United States mainland. In addition, the Supreme Court of Puerto Rico has recognized Puerto Rican citizenship in a Court decision. The Department of State of Puerto Rico issues a certification of such citizenship, which Puerto Ricans may claim through a protocol established by the Department.

The main political parties in Puerto Rico differentiate themselves mostly by 8. their position on the ultimate political status of Puerto Rico. In the plebiscite held on 6 November 2012, 54 per cent of voters expressed their dissatisfaction with and rejected the current political status quo. The Partido Popular Democrático (PPD) favours an enhanced commonwealth status that is non-territorial and non-colonial. Under non-territorial status, Puerto Rico would cease to be subject to the territorial clause of the United States Constitution. United States citizenship would be retained but Puerto Rico would have greater governmental authority over its own affairs and more latitude to establish regional and international relations. A sector of PPD would like to legitimize once again the present free associated State status. The Partido Nuevo Progresista (PNP) favours the full integration of Puerto Rico as a state of the United States. The Partido Independentista Puertorriqueño favours independence for the island. There are pro-independence groups and organizations that do not participate in the elections because they consider that, in a colonial context, elections do not represent a true democratic exercise.

9. Over the years, several new political parties and organizations, such as the Sovereign Union Movement and the Working People's Party, were founded and have participated in elections, with limited results. Nevertheless, this development reflects the quest for new electoral options beyond the three traditional political parties that have existed in Puerto Rico for more than 50 years. Some independent candidates who participated in the 2016 elections and achieved thousands of votes but were not elected supported and requested a process of self-determination.

10. In 2019, new political parties were certified by the State Elections Commission of Puerto Rico. The Movimiento Victoria Ciudadana (MVC), a new political alternative in the 2020 elections, became the third political force after obtaining 14.21 per cent of the vote for its candidate for governor, Alexandra Lúgaro, who ran as an independent candidate in the 2016 election. Manuel Natal, the then independent House of Representatives legislator who ran for Mayor of San Juan for MVC, obtained 42,081 votes in an almost tie with the prevailing PNP candidate, Miguel Romero, who obtained 44,313 votes. MVC elected two senators, Ana Irma Rivera Lassén and Rafael Bernabé, and two representatives, Mariana Nogales and Rafael Márquez. The main objective of the party is to eradicate government corruption by taking power away from PNP and PPD, which have administered the Government for decades. MVC supports the convening of a constitutional convention to address the question of the status of Puerto Rico. The Puerto Rico Independence Party (PIP), on

<sup>&</sup>lt;sup>1</sup> Government Development Bank for Puerto Rico, Economic Analysis Division, December 2014.

the other hand, while running behind MVC by a small margin, received 13.72 per cent of the vote for its candidate for governor, Juan Dalmau – six times what it had obtained in 2016 – and elected María de Lourdes Santiago to the Senate and re-elected Dennis Márquez to the House.<sup>2</sup>

#### B. Constitutional and political status

11. Puerto Rico currently holds commonwealth status with the United States. The 1952 Constitution of the Commonwealth of Puerto Rico is described in detail in paragraphs 91 to 119 of the Rapporteur's report of 1974 (A/AC.109/L.976). In brief, the Government consists of: (a) a Governor elected for four years at each general election; (b) a Legislative Assembly comprising two houses, the Senate (27 members) and the House of Representatives (51 members), elected by direct vote of people 18 years of age or older at each general election; and (c) a Supreme Court and lower courts. The jurisdiction of the United States federal courts includes Puerto Rico. Puerto Rico is represented in the United States Government by a resident commissioner, who is a non-voting member of the United States House of Representatives, but a voting member of any committees on which he or she sits. In the general election held on 3 November 2020, Pedro Pierluisi of PNP was elected Governor and Jenniffer González of PNP was re-elected Resident Commissioner, the first woman to hold that position. A developing trend is the abstention of registered voters, which, in the 8 November 2016 elections, was 45 per cent of the 1.3 million registered voters in Puerto Rico.

12. There are two sets of judicial and administrative systems: Puerto Rico courts and the United States District Court for the District of Puerto Rico, and Puerto Rico agencies and United States federal agencies. The United States District Court for the District of Puerto Rico functions in Puerto Rico courts with limited jurisdiction and can be reached only for cases under United States federal law or for cases where there is diversity of State citizenship, that is, when a citizen of one State sues a citizen of another State.<sup>3</sup> Cases before the Supreme Court of Puerto Rico involving federal law can be appealed to the United States Supreme Court. Appeals to the First Circuit are taken from the United States District Court for the District of Puerto Rico, which is a first-instance court.

13. Even after the establishment of a constitutional government in Puerto Rico in 1952, United States congressional authority over Puerto Rico remained unaltered. The United States Congress is vested, under article IV, section 3, of the United States Constitution, with plenary power over Puerto Rico, including in the areas of defence, international relations, external trade, monetary and other matters, while the island is vested with local authority over a limited amount of designated areas. All laws concerning Puerto Rico's relations with the United States remained in force through the Puerto Rico Federal Relations Act (A/AC.109/L.976, paras. 120–132), under which Puerto Rico was brought within the trade, tariff and monetary systems of the United States, in addition to the Organic Act of 1900 (Foraker Act) and the Jones Act of 1917. The United States also undertook responsibility for the defence of Puerto Rico. In 1958, Puerto Rico's Legislative Assembly requested changes in the Federal Relations Act, but they were not enacted. In 1959, three bills requesting changes in the political status of Puerto Rico were submitted to the United States Congress, but no action was taken on any of them.

<sup>&</sup>lt;sup>2</sup> See https://ww2.ceepur.org/Home/EventosElectorales.

<sup>&</sup>lt;sup>3</sup> For the purposes of the present report, "State" includes references to any territory subject to United States jurisdiction.

14. Such attempts at changes in the political status of Puerto Rico, including by the Resident Commissioner in the United States Congress, have continued to no avail.

15. The results of a 1993 plebiscite, with virtually identical options to those offered in a previous plebiscite in 1967, were 48.4 per cent for the status quo (commonwealth), 46.2 per cent for statehood and 4 per cent for independence. Following this result and a request for clarification by the Legislative Assembly of Puerto Rico, Congress replied that the definition contained expectations that were not viable (A/AC.109/1999/L.13, paras. 172–180). The Legislative Assembly then voted to hold another plebiscite in 1998.

16. The results of the plebiscite held on 13 December 1998 were as follows: 50.4 per cent for "none of the above", an option included by means of court action pursued by PPD; 46.7 per cent for statehood; 2.3 per cent for independence; 0.3 per cent for free association; and 0.06 per cent for commonwealth status. In the plebiscite, independence supporters distinguished themselves by also casting votes for the "none of the above" option, in an act of repudiation of what was considered an undemocratic exercise. Some political and civil society sectors increasingly question the validity of plebiscites by whose results the Government of the United States is not bound, and also whether the United States is actually willing to extend statehood. Many also call for a process of self-determination and decolonization in conformity with General Assembly resolution 1514 (XV) and resolutions of the Special Committee on the matter.

17. In July 2005, a vote was held whereby 84 per cent of voters opted in favour of a unicameral legislature for Puerto Rico. Although only 22 per cent of registered voters participated in that referendum, the results triggered a process whereby another referendum was to be held in 2007 to potentially amend Puerto Rico's Constitution and establish a one-house system in 2009. On 29 June 2007, however, the Supreme Court of Puerto Rico ruled that it could not force the Legislative Assembly to initiate a constitutional amendment process for establishing a unicameral legislative system.

18. After the 1998 plebiscite, the then President of the United States, William J. Clinton, established the President's Task Force on Puerto Rico's Status. The Task Force comprises designees of each member of the President's Cabinet and the Co-Chairs of the President's Inter-Agency Group on Puerto Rico. On 5 December 2003, the then President of the United States, George W. Bush, named the 16 members of his Task Force, at the same time amending Mr. Clinton's executive order so that the Task Force would be required to report on the progress made biennially instead of annually.

19. In its report of December 2005, the Task Force stated that, while the current territorial status might continue so long as Congress desired, there were only two non-territorial options recognized by the United States Constitution, namely, statehood within the United States or full independence. The Task Force reaffirmed the position of the United States Supreme Court set out in its opinions in the cases commonly referred to as the "Insular Cases", which were decided in the early decades of the 1900s, that Puerto Rico belonged to but was not a part of the United States. It envisaged a two-stage process for an approach to the status issue. It first recommended that a federally sanctioned plebiscite be held in 2006 to ascertain whether the people of Puerto Rico wished Puerto Rico to remain a United States territory subject to the will of Congress, or whether they wished to pursue a constitutionally viable path towards permanent non-territorial status with the United States. The Task Force then recommended that, if the voters chose to change the current territorial status, an additional referendum should allow them to decide between statehood and independence. If, on the other hand, the voters elected to maintain the current territorial status, the Task Force recommended that plebiscites be held periodically to keep Congress informed of the people's wishes. No plebiscite was held in 2006.

20. It is important to note that, both before and since the issuance of the 2005 Task Force report, some have questioned whether Puerto Rico's status as a United States territory is consistent with statements that the United States made to the United Nations in 1953, following the adoption of Puerto Rico's Constitution, in requesting that Puerto Rico be removed from the list of Non-Self-Governing Territories. In its official request to the United Nations, the United States stated that Congress had given Puerto Rico the freedom to conduct its own internal Government subject only to compliance with federal law and the United States Constitution.

21. Prior to the submission of the official request, the United States representative to the United Nations indicated orally that mutual consent would be needed to make changes in the relationship between Puerto Rico and the United States. That statement notwithstanding, the Department of Justice concluded in 1959 that Puerto Rico remained a Territory and was fully subject to congressional authority under the territorial clause of the United States Constitution. A broad spectrum of Puerto Rico's political and civil society sectors participating in hearings on Puerto Rico by the Special Committee maintain that the process of consultation of the Puerto Rican people regarding the status issue should take place in the context of international law, General Assembly resolution 1514 (XV) and resolutions on Puerto Rico adopted by the Special Committee since 1972.

22. In early 2007, the United States House of Representatives reopened its consideration of the political status of Puerto Rico, as two pieces of draft legislation aimed at resolving the status issue were debated in the Subcommittee on Insular Affairs of the Committee on Natural Resources. In March 2007, hearings were held. The draft legislation was not enacted.

23. In December 2007, the Task Force issued its second report on the question of the status of Puerto Rico. Again, concluding that there were only two options available under the United States Constitution, the Task Force reiterated the three recommendations that it had put forward in its 2005 report.

24. The Task Force, as constituted under the Administration of then President of the United States Barack Obama, held its first meeting on 15 December 2009, expanding its focus to include matters affecting the economic development of Puerto Rico. Mr. Obama signed an executive order on 30 October 2009 that preserved the Task Force's original mission and required it to provide advice and recommendations to the President and Congress on policies that promoted job creation, education, health care, clean energy and economic development on the islands.

25. On 3 March 2010, the Task Force held hearings in San Juan. Testimonies reflected a consensus that the Task Force must first address the status issue. The possibility of the constitutional assembly's serving as a mechanism for deciding the future political status of Puerto Rico was also broadly discussed during the hearings. There was objection to changes under the agenda of the Task Force, it being argued that it was contradictory to speak of economic development under the colonial model. The third report of the Task Force, issued in March 2011, included an overview of the relationship between the United States and Puerto Rico and the status issue, an executive summary covering status, economic development and recommendations in relation to Vieques, and a description of the next steps of the Task Force, which included the implementation of the regagement of federal agencies concerning the recommendations presented in the report, and the holding of at least two summits in Puerto Rico in the following two years focusing on specific subject areas.

26. Most of the report's content on status consisted of recommendations for an accelerated decision-making process regarding the status issue, whereby Puerto Ricans could express their will regarding status options and action would be taken by the end of 2012 or soon thereafter. The Task Force recommended that under the United States Constitution, the permissible status options include statehood, independence, free association and nationhood as a free associated State (commonwealth). The report included a description of each option. The Task Force specified that the United States Congress had the ultimate authority over the admission of states and that full independence involved a transition, including regarding citizenship status. The Task Force recommended that citizenship be preserved for Puerto Ricans who were United States citizens at the time of any transition to independence.

27. Reactions to the third report of the Task Force, as covered by the press in Puerto Rico, were mixed regarding the recommendations on status, encompassing statehood supporters, proponents of the application of international law to the case of Puerto Rico and those whose opinion was that the marginal preference of the Task Force for the two-plebiscite process favoured the statehood option. The argument suggested in the last-mentioned case was that, if the choice was for Puerto Rico to continue to be a part of the United States, then in the second plebiscite, free associated State supporters would be divided, owing to the added option of free association.28.

Another reaction was that the extensive recommendations in the report regarding Puerto Rico's economy and social matters were tied to a status consultation process seen as favouring statehood, given that the recommendations regarding the economy tended towards the further incorporation of Puerto Rico into the United States by increasing the control of federal agencies in the areas of education, health, security, the justice system, communications and energy. In his State of the Union address delivered in 2013, after having been inaugurated for his second term, Mr. Obama did not mention Puerto Rico, as some observers had expected he would.

29. A plebiscite, the fourth in the past 50 years, was held in Puerto Rico on 6 November 2012, on the same day as gubernatorial and municipal elections and the United States presidential elections. Almost 80 per cent of the island's electorate took part in the plebiscite.

30. Puerto Rican voters were asked: (a) whether they agreed with maintaining Puerto Rico's territorial status (commonwealth, yes or no); and (b) to indicate the political status they preferred from among three possibilities: statehood, independence or "sovereign commonwealth", a version of commonwealth status not supported by PPD. On the first question, 970,910 (54 per cent) voted "no" (i.e. in opposition to maintaining the current political status), and 828,077 (46 per cent) voted "yes" (i.e. in favour of maintaining the current political status). Of those who pronounced on the second question, 834,191 (61.16 per cent) chose statehood; 454,768 (33.34 per cent) chose free association; and 74,895 (5.49 per cent) chose independence. Some have argued, on the basis of that result, that statehood was the clear victor and that blank votes should not be taken into account. However, 26 per cent of the voters left the second question blank, and there appears to be overall agreement that those voters were not in favour of statehood. Therefore, some maintain that when the blank or protest votes are factored in, the absolute majority (55 per cent) is actually against statehood. On the basis of those results, it appears that Puerto Ricans rejected the current commonwealth government status but were unable to agree on an alternative.

31. In Puerto Rico, the plebiscite was viewed as, alternatively, an opportunity to improve the island's economic future, a chance to shake off the vestiges of its colonial past or a ploy on the part of the PNP-affiliated Governor to win a second term. In Washington, D.C., the response to the 2012 plebiscite was mixed. The interpretations of the results by some members of the United States Congress mirrored the diverse

interpretations on the island. In April 2013, Mr. Obama indicated that the United States budget included \$2.5 million for yet another plebiscite. In August, the United States Senate Committee on Energy and Natural Resources held a hearing on the referendum. The Chair of the Committee, Ron Wyden (Democrat, Oregon), and Representative Lisa Murkowski (Republican, Alaska), the ranking Republican member of the panel, concurred that the vote made clear that the majority of Puerto Ricans did not favour the "current territorial status".

32. For its part, in December 2013, the White House issued a statement noting that:

The results were clear, the people of Puerto Rico want the issue of status resolved, and a majority chose statehood in the second question. Now is the time for Congress to act and the administration will work with them on that effort so that the people of Puerto Rico can determine their own future.

33. In 2013, a bill setting forth the process for the admission of Puerto Rico as a state of the Union was introduced before the United States House of Representatives but was not enacted. In 2014, the Puerto Rico Status Resolution Act was introduced before the United States Senate and referred to the Committee on Energy and Natural Resources on 12 February 2014. The bill would have authorized the State Elections Commission of Puerto Rico to provide for a ratification vote on the admission of Puerto Rico as a state of the United States on an equal footing with the other states in all respects. The bill was not enacted. A bill was not introduced in 2015.

34. Under the Administration of Mr. Obama, the budget for fiscal year 2015 sought \$2.5 million for objective, non-partisan voter education regarding, and a plebiscite on, options that would resolve Puerto Rico's future political status, which should be provided to the State Elections Commission of Puerto Rico. Regarding that possible plebiscite, the Governor of Puerto Rico appointed a commission tasked to define the options to be presented to the Puerto Rican people in the plebiscite. The members of that commission did not present a report because they were unable to reach an agreement, owing to the fact that a sector of PPD wished to legitimize once again the present free associated State status.

35. On the basis of a paper presented by an expert, Wilma Reverón Collazo, the limitations of the projected plebiscite from the perspective of international decolonization law were broadly discussed in the Caribbean regional seminar on the implementation of the Third International Decade for the Eradication of Colonialism, held in Quito in May 2013.

36. In March 2014, the United States Government Accountability Office released a report entitled "Puerto Rico: information on how statehood would potentially affect selected federal programs and revenue sources".

37. The case of *Puerto Rico v. Sánchez Valle* concerning the source of authority of the laws of Puerto Rico was brought to the United States Supreme Court. The matter of the United States Congress determining what cases Puerto Rican courts may prosecute was raised in an oral hearing on the case held by the United States Supreme Court on 13 January 2016. As amicus curiae at the oral hearing, Assistant Solicitor General for the United States Department of Justice, Nicole A. Saharsky, departed from arguments previously made by the United States at the United Nations regarding the fact that Puerto Rico achieved self-government in 1952. At the hearing, United States Supreme Court Justice Stephen Breyer stated that the implication of the Court's writing an opinion on whether Puerto Rico was sovereign was enormous, and he opined on how the United States had told the United Nations that Puerto Rico was not a colony. Ms. Saharsky stated that, right then, Puerto Rico was a territory of the United States, and, as a result, it was not a separate sovereign under the double jeopardy clause. In another development reflecting the United States Congress as the

source of authority over the laws of Puerto Rico, on 30 June 2016, the Puerto Rico Oversight, Management, and Economic Stability Act (PROMESA) was enacted. PROMESA mandated the establishment of a Financial Oversight and Management Board in Puerto Rico. The Board, whose authority supersedes that of the laws adopted by the legislature of Puerto Rico, was established, and, as stipulated in PROMESA, is mandated to ensure that Puerto Rico has a balanced budget and has access to the capital markets for four consecutive years before that mandate would cease. At the time of reporting, owing to its credit rating, Puerto Rico does not have access to the municipal bonds market.

38. Similar to reactions to the report issued in 2005 by the President's Task Force on Puerto Rico's Status, some have again questioned whether Puerto Rico's status as a United States territory is consistent with statements that the United States made to the United Nations in 1953, in particular after such expressions of views by the executive, judicial and legislative branches of the Government of the United States: the executive branch through the statements made before the United States Supreme Court by Ms. Saharsky in the *Sánchez Valle* case; the judicial branch through the Supreme Court decision of 9 June 2016 in the *Sánchez Valle* case, which held that the double jeopardy clause barred Puerto Rico and the United States from successively prosecuting a single person for the same conduct under equivalent criminal laws (see para. 37 above); and the legislative branch through PROMESA and the establishment of the Financial Oversight and Management Board.

## **III.** Recent developments

39. On 11 June 2017, yet another plebiscite was held in Puerto Rico. The media in Puerto Rico and the United States, including *El Nuevo Día*, the largest circulation daily newspaper in Puerto Rico, and *The New York Times* and *The Wall Street Journal*, reported on the plebiscite and that it was massively boycotted – by 77 per cent of the 2 million registered voters eligible to participate – thus rendering it illegitimate. PPD, as well as sectors supporting free association and independence, did not vote in the plebiscite, in which the pro-statehood option received the support of 97 per cent of those participating. Reports of international news outlets, such as the BBC and *El País*, also emphasized the low voter turnout for the plebiscite.

40. The majority of petitioners speaking before the Special Committee, at its 5th and 6th meetings, held on 19 June 2017, regarding Puerto Rico questioned the legitimacy of the plebiscite; many called for a constitutional status assembly, and several called for legislation for the transfer to Puerto Rico of the power of the United States Congress over all decisions regarding Puerto Rico (see A/AC.109/2017/SR.5 and A/AC.109/2017/SR.6).

41. The options available to voters in the plebiscite were: (a) statehood; (b) independence/free association; and (c) the current territorial status. The Puerto Rican authorities included option (c) on the basis of the request made by the Department of Justice of the United States. The legitimacy of the exercise was questioned even in advance of 11 June. Inclusion in the plebiscite of the option of retaining the current territorial colonial status was imposed by the United States Department of Justice.

42. The general tendency in Puerto Rico is the rejection of the present colonial status, as reflected in the context of the Special Committee hearings on Puerto Rico, in which in 2016 the whole of the political spectrum came before the Committee to reject Puerto Rico's territorial status under the territorial clause of the United States Constitution.

43. The 11 June 2017 plebiscite options originally included only the options for statehood or free association/independence. An allotment of \$2.5 million from the United States for the conduct of the plebiscite imposed the condition that the United States Department of Justice would have the final word on the status options to be presented. After the colonial Government of Puerto Rico changed the ballot to accommodate the demands of the Department of Justice, the United States entity remained non-committal regarding the results.

44. On 3 November 2020, a plebiscite was held on election day. The ballot asked whether the people of Puerto Rico supported becoming the fifty-first state of the United States. The results were 52.34 per cent in favour and 47.66 per cent against.<sup>4</sup> The results were not binding since the United States Department of Justice refused to validate the ballot owing to its mischaracterization that a "yes" vote would result in the immediate admission of Puerto Rico as a state of the United States Senate, Mitch McConnell, has unequivocally expressed his opposition to the admission of Puerto Rico to the United States Senate, Mitch McConnell, while the Democratic minority leader of the United States Senate, Charles Schumer, expressed on 20 December 2020 that the opinion on the status of Puerto Rico was divided and that a strong consensus would be needed in order for a petition for statehood to be able to advance in Congress, as reported in *El Nuevo Día* on 20 December 2020.

45. Resolutions of the General Assembly on Puerto Rico, since 1972, have maintained the applicability to the case of Puerto Rico of the Declaration on the Granting of Independence to Colonial Countries and Peoples (resolution 1514 (XV) of 14 December 1960). However, the recent appointment of a United States Financial Oversight and Management Board to oversee payment of Puerto Rico's \$70 billion public debt, which is at a level above the elected Government of Puerto Rico, points to an intensification of colonial control over Puerto Rico.

46. In September 2017, Hurricane Maria, a category 4 hurricane, swept through Puerto Rico two weeks after Hurricane Irma, also category 4, had skirted Puerto Rico and caused extensive damage.

47. Reports by *El Nuevo Día* during the period from 27 September to 12 October 2017 indicated that damages were estimated at between \$45 billion and \$90 billion, with the most pressing problems being in the areas of water and food distribution, electrical power, medical attention and treatment, telecommunications and obstructed roads and bridges that were partially or totally destroyed, which affected the distribution of aid. The official death toll was 48 persons. Figures varied according to the source, but currently there is a consensus between the count conducted by government authorities and that of independent studies that the death toll reached almost 3,000. The proposed aid allocation of the United States Congress for Puerto Rico was \$4.9 billion, an amount which would have barely covered payroll obligations through December 2017. The number of homeless families was 225,000, with more than 100,000 houses destroyed, while tens of thousands of houses lost roofs and tens of thousands of wooden houses were destroyed. Electrical power to hospitals and health facilities was greatly affected. Emergency electrical generators were affected, owing to deficiencies in fuel distribution. Provision of services for dialysis and oxygen was greatly reduced. Outbreaks of leptospirosis, conjunctivitis and other conditions were reported, and greater difficulties in providing care to elderly and bedridden patients were experienced. Large concentrations of garbage and debris were also a health hazard and an impediment. Former state epidemiologist/former Secretary of Health of the Department of Health of Puerto Rico Johnny Rullán

<sup>&</sup>lt;sup>4</sup> See https://ww2.ceepur.org/Home/EventosElectorales.

characterized the tallying and management of the fatalities that were directly or indirectly a product of Hurricane Maria as a "disaster".

48. The vast majority of electrical cables and poles collapsed. The island nation did not have the materials to address the emergency and restore the electrical system. A week after the storm passed through Puerto Rico, 85 per cent of small businesses remained closed. The stocks of goods in supermarkets decreased from day to day. Lack of electrical power affected banks and access to funds, while 1.3 million beneficiaries of a food subsidy were unable to access funds received electronically. At ports, the unloading of containers with supplies was hindered by the lack of drivers and by fuel shortages, compounded by the increase in demand for fuel owing to its use to power generators, the destruction of distribution centres and/or the inability of their employees to resume their duties, and uncleared roads. The air traffic control system at Luis Muñoz Marín International Airport, Puerto Rico's main airport, suffered damage that limited outgoing and incoming flights.

49. The coffee, banana and plantain crops were totally lost, other agricultural activity was severely affected, as were the poultry and egg production sectors. The cattle sector lost large numbers of animals to displacement or drowning.

50. Mountainside municipalities were particularly affected. The lack of water and food supplies continues to be a severe problem in rural areas, where many communities remained isolated for an extended period of time. The countryside suffered extensive deforestation.

51. Puerto Rico was exempt from United States shipping laws for a mere 10 days after a request from the Governor. Shipping laws require that ships docking in Puerto Rican ports bear the United States flag and be staffed with United States personnel when coming from United States ports. Because ships bearing the United States flag are the most costly shipping option, these laws have the effect of raising the price of consumer goods, including food products, entering Puerto Rico. Third-party countries – including in the Caribbean – that offered assistance to Puerto Rico were unable to enter Puerto Rico's waters as a result of these laws.

52. Extensive news reports in Puerto Rico, the United States and internationally covered the dissatisfaction expressed by the Puerto Rican people, as voiced by the Mayor of San Juan, Carmen Yulín Cruz Soto. She spoke regarding the unequal treatment of Puerto Rico by the Government of the United States, as compared with its emergency relief response to other disaster areas, including in Texas and Florida. The slow response and inefficiency of the Government of Puerto Rico to the catastrophe has also been criticized in media coverage.

53. On 30 October 2017, the Office of the United Nations High Commissioner for Human Rights issued a press release entitled "Puerto Rico: human rights concerns mount in absence of adequate emergency response".<sup>5</sup> The press release indicated that the hurricane had aggravated the island's existing dire situation caused by debt and austerity measures, and cited the concerns of groups of United Nations human rights experts regarding the humanitarian situation in Puerto Rico in the aftermath of Hurricane Maria.

54. The viability of the approved 10-year fiscal plan approved by the Financial Oversight and Management Board prior to Hurricane Irma and Hurricane Maria was redeveloped. According to *El Nuevo Día*, on 12 October 2017, Moody's Investors Service downgraded the Puerto Rican debt of \$72 billion to the "Ca" category,

<sup>&</sup>lt;sup>5</sup> Available from www.ohchr.org/EN/NewsEvents/Pages/DisplayNews.aspx?NewsID= 22326&LangID=E.

indicating the low probability of bondholders recovering what had been lent to the Government of Puerto Rico, after Hurricane Maria.

#### A. Political developments

55. As described in previous reports, apart from general political questions, three specific issues have been raised at the meetings of the Special Committee in recent years stemming from the political status of Puerto Rico and its relationship with the United States: (a) the United States military presence in Puerto Rico, in particular on the island of Vieques; (b) the imprisonment in the United States of pro-independence Puerto Ricans accused of seditious conspiracy and weapons possession; and (c) the application of the death penalty to Puerto Ricans convicted on federal charges. In recent years, the issue of increased political persecution has been raised.

56. Throughout the incumbency of the Government of Puerto Rico elected in 2008, the major newspapers on the island reported on its intolerance towards minority views and violations of civil rights. Since 2010, they have reported on police abuse and on the elimination of the compulsory nature of membership in the Puerto Rican Bar Association, a civil rights organization founded more than 140 years ago that represented a strong voice of criticism of and dissent from governmental actions. In September 2011, the United States Department of Justice issued a report in which concern was expressed regarding the excessive use of force by the Puerto Rico police force, for example, against students who were striking. The report concluded that the police had violated the civil rights of broad sectors of the population. It also indicated the existence of police corruption. According to a report of the United States Attorney General, Puerto Rico led all United States districts in the number of public corruption convictions (130) in 2011. In April 2013, it was revealed that the Administration of Mr. Obama had allocated \$95 million for the establishment of a Federal Bureau of Investigation facility in Puerto Rico. Corruption has continued to be a significant problem in the Government of Puerto Rico.

57. The issue of the Puerto Ricans accused of seditious conspiracy and weapons possession and imprisoned in the United States, including Oscar López Rivera, who had been incarcerated for 36 years until his release in 2017, has been covered in previous reports. In essence, a number of Puerto Rican organizations and political and civil leaders have claimed over the years that they were essentially political prisoners who received disproportionately long sentences. In August 1999, Mr. Clinton offered to release the prisoners conditionally, if they formally renounced violence. The offer was accepted by 11 of the original 15 prisoners, while 1 accepted a deal whereby he would be set free in five years. In 2002, another 2 of the original 15 prisoners were released, although 1, Antonio Camacho Negrón, was rearrested in August 2006 by the Federal Bureau of Investigation. Of the two remaining prisoners, Carlos Alberto Torres was paroled in July 2010. Mr. López Rivera (now 79 years old) was denied parole on 18 February 2011 and remained in a maximum-security federal prison in Terre Haute, Indiana. His appeal against that decision was rejected. The campaign for the release of Mr. López Rivera continued until his sentence was commuted on 17 January 2017 by order of the outgoing President of the United States, Mr. Obama. The order included a 120-day waiting period until his release, which expired on 17 May 2017, at which point he had served two days less than 36 years of his total 70-year sentence. Mr. López Rivera is said to have been among the longest-held political prisoners in the history of Puerto Rico - and of the world. Since the expiration of the 120-day waiting period, when all restrictions on his movement were removed, Mr. López Rivera has fully integrated into civilian life in Puerto Rico. He has also travelled extensively, including to New York in June 2017 to speak at the yearly hearings on Puerto Rico of the Special Committee, whose resolutions had repeatedly called for his release.

58. Avelino González Claudio, who was arrested in March 2008, was released on 6 December 2012. His brother, Norberto González Claudio, arrested on 10 May 2011, was sentenced to five years in a United States prison and was released after serving his sentence.

59. According to reports in the Puerto Rican media and as reflected in recent resolutions of the Special Committee concerning Puerto Rico, there is a strong consensus among Puerto Ricans in favour of the release of those imprisoned in cases relating to the struggle for independence.

60. Lolita Lebrón, who was incarcerated in United States prisons from 1954 to 1979 for pro-independence actions, died on 1 August 2011.

61. The issue of the application of the death penalty to Puerto Ricans convicted of crimes, despite the abolition of the death penalty in Puerto Rico in 1929, which was upheld in the 1952 Constitution, has been described in previous reports. At the yearly meetings of the Special Committee regarding Puerto Rico, petitioners from Puerto Rico, including representatives of the Puerto Rican Coalition against the Death Penalty, regularly voice their opposition to the death penalty.

62. On 16 March 2015, organizations from Puerto Rico made statements regarding the status of human rights in Puerto Rico during an audience before the seven commissioners of the Inter-American Commission on Human Rights, which was held at the beginning of its 154th period of sessions. They discussed discrimination in Puerto Rico, including against women, children with disabilities and immigrants, and efforts by the United States Government to impose the death penalty in Puerto Rico in violation of the Constitution of Puerto Rico. The representative of the United States in the Organization of American States requested that the Government of Puerto Rico be represented by its Secretary of State, César Miranda. In his intervention, the Secretary of Justice called for the release of the political prisoner, Mr. López Rivera, while the Secretary of State stated that Puerto Rico should be included in the reports of the Commission.

#### **B.** Military developments

63. As a result of the emergency situation that followed the passing of Hurricane Maria through Puerto Rico in September 2017 (see paras. 46–54 above), in the initial emergency situation, 1,300 active military of the United States Army National Guard were activated. On 30 September, there were 4,600 personnel from all branches of the United States armed forces. As at 12 October, the number was estimated at 12,000. Roosevelt Roads, the site of the closed naval station, was used as the base of operations of the Army's aid delivery.

64. The tenth anniversary of the cessation of military operations of the live-missile and bombing range operated by the United States was marked in 2013. As previously reported, for many years, Puerto Rico held an important military-strategic position within the United States Naval Forces Southern Command. In addition to its other military operations in Puerto Rico, from 1941 to May 2003, the United States Navy operated on Vieques, an island of just under 10,000 inhabitants located eight miles off the east coast of Puerto Rico.

65. The people of Vieques continue to struggle for the resolution of related issues: (a) environmental clean-up and decontamination; (b) the return of lands used by the United States Navy, now under the jurisdiction of the Department of the Interior Fish and Wildlife Service, to Puerto Rican peoples; (c) sustainable development and the health crisis; and (d) the future of the former United States naval station Roosevelt Roads on the main island of Puerto Rico.

66. Vieques has been sectioned off for the purpose of the clean-up activities, with a portion of the eastern part of the island transferred to the Fish and Wildlife Service of the United States Department of the Interior, to be added to the existing Vieques National Wildlife Refuge. The United States Navy managed approximately 14,600 acres in the eastern portion of Vieques, which were used for amphibious training exercises and air-to-ground manoeuvres. Unexploded ordnance and remnants of exploded ordnance, which contain hazardous substances, have been identified in that area and in the surrounding waters. The United States Environmental Protection Agency has also indicated that the hazardous substances associated with ordnance that might be present on Vieques include TNT, napalm, depleted uranium, mercury, lead and other chemicals.

67. In the western portion of Vieques, the Navy operated an ammunition facility until 1948. The facility was reactivated in 1962 and closed in 2001. Later that year, the Navy transferred 3,100 acres to the Department of the Interior, 4,000 acres to the Municipality of Vieques and 800 acres to the Puerto Rico Conservation Trust.

68. In February 2005, the Vieques portion of the proposed Atlantic Fleet Weapons Training Area site was placed on the Environmental Protection Agency National Priorities List of the most hazardous waste sites in the country.

69. In March 2008, a federal inter-agency agreement was announced between the Environmental Protection Agency, the Department of the Navy, the Department of the Interior and the Commonwealth of Puerto Rico for the clean-up of portions of Vieques and its surrounding waters. The agreement requires that the environmental impacts associated with past and present activities on Vieques and its surrounding waters be thoroughly investigated, and that appropriate action be taken in order to protect the community and the environment.

70. Culebra island, located about nine miles north of Vieques, was also part of the United States Navy training facilities. Although military activities ceased on Culebra in 1975 in response to public safety concerns, clean-up of the island has been slow, owing in part to legal issues regarding the use of federal funds.

71. Some 7,000 Vieques residents collectively sought compensation for health and property damage in the billions of dollars in a lawsuit, *Sánchez et al v. United States*, in which they claimed that the United States Navy had been guilty of negligence by exposing the 10,000-strong population of Vieques to dangerous levels of toxins for more than 50 years, leading to a cancer rate on Vieques that was 30 times higher than that of the rest of Puerto Rico and to other long-term effects. The plaintiffs' lawsuit failed.

72. In another report, the Environmental Protection Agency, referring to the scientific data presented on health problems on Vieques, said that the limitations associated with those analyses introduced considerable uncertainty and made interpretation difficult. It maintained that elevated levels of some chemicals found in people's bodies could be attributed to causes other than military activity and suggested that United States officials could work with the Government of Puerto Rico in obtaining additional samples and keeping track of health conditions on Vieques.

73. According to the Office of the Resident Commissioner, a bipartisan group of 17 members of the United States Congress addressed a letter in March 2014 to the United States Secretary of Defense urging the Department of Defense to fulfil its responsibilities regarding the clean-up of the islands of Vieques and Culebra.

74. On 10 December 2014, the Resident Commissioner announced that, as part of a comprehensive bill to fund the federal Government for the remainder of fiscal year 2015, the United States Congress had appropriated \$17 million for the clean-up of Vieques and \$1.4 million for the clean-up of Culebra. The report accompanying the bill also directed the Department of Defense to take a number of steps to improve the clean-up of the two islands.

75. The United States has increased its military presence in Puerto Rico at its communications centre in Aguadilla and in Fort Buchanan. The creation of the United States Army Reserve Multifunctional Geographic Command for the Caribbean will bring together five battalions of approximately 1,000 soldiers and another 4,000 troops. The Pentagon's intention is to facilitate the training and mobilization of troops and their response to a single chain of command, headquartered in Puerto Rico.<sup>6</sup> The increase in military activity in Puerto Rico is part of the plan to intervene militarily in the Bolivarian Republic of Venezuela. Once more, Puerto Rico is being used as a base for aggression against others, which constitutes a violation of General Assembly resolution 57/140. On 7 December 2021, *El Nuevo Día* reported that the United States House of Representatives had approved a military investment of \$100 million in Puerto Rico, including \$84 million for a new school at the former Ramey United States Air Force base in Aguadilla, \$12 million for an energy project at Fort Allen United States Army base in Juana Díaz and \$14 million for a housing project at Fort Buchanan.<sup>7</sup>

### C. Economic developments

76. Hurricane Maria significantly stalled the economy of Puerto Rico because of the damage to infrastructure described in paragraphs 45–53 above, due to, in particular, delays in the reconstruction of the electrical power distribution system, which was estimated to take months longer than anticipated and run into the year 2018 owing to a shortage of construction materials and supplies. In a chain reaction, the situation caused the closing of 5,000 small business and many thousands more had to dismiss employees. In addition, large retail and manufacturing was also hindered. Unemployment rose and thus government revenue decreased. Furthermore, the accelerated mass migration and stymied population growth since 2014 has had profound implications for the present economic situation and for future economic development. Austerity measures in the areas of education and scientific research and development, especially at the University of Puerto Rico, further cloud projections for future economic development.

77. According to numerous economists cited in reports in the media in Puerto Rico, including *El Nuevo Día*, *Primera Hora*, *El Vocero* and *Claridad*, the recently approved United States tax reform is projected to cause the loss of up to 70,000 manufacturing jobs in Puerto Rico, given that the reform would impose a 12.5 per cent tax on goods exported to the United States by United States manufacturers doing business in Puerto Rico because they fall into the category of controlled foreign corporations, to which the tax applies.

78. Since 2006, Puerto Rico has been in an economic recession. The Economic Activity Index, a measure of the economic activity of Puerto Rico developed by the Government Development Bank for Puerto Rico in November 2015, registered a 0.5 per cent year-over-year reduction in September 2016 compared with September

<sup>&</sup>lt;sup>6</sup> Ronald Ávila-Claudio, "Reserva del ejército conforma el Comando Geográfico del Caribe", *Metro*, 9 December 2018.

<sup>&</sup>lt;sup>7</sup> José A. Delgado, "Cámara baja aprueba una asignación de más de \$100 millones para nuevas construcciones militares en Puerto Rico", *El Nuevo Día*, 7 December 2021.

2015. In November 2016, the Bank reported that the number of persons employed in Puerto Rico as at October 2016 was 973,600, representing a decrease of 1.4 per cent compared with October 2015. The unemployment rate averaged 13.0 per cent in 2015.

79. In February 2015, Standard & Poor's Ratings Services lowered Puerto Rico's general obligation debt by three notches, to B from BB, citing a judge's decision to void the plan for debt restructuring and Puerto Rico's potential inability to pay its debts. In a press release dated 12 February 2015, the President of the Bank expressed her disappointment with the decision, which also entailed the downgrading of outstanding bonds of the Bank and other entities, including the Puerto Rico Sales Tax Financing Corporation and the Puerto Rico Municipal Finance Agency.

80. In February 2015, a United States federal judge declared the Puerto Rico Public Corporation Debt Enforcement and Recovery Act (enacted in June 2014) unconstitutional.

81. The policy of the privatization of public enterprises or their components as an economic development measure has been met with discontent. Despite widespread protests against a proposal to privatize the administration of Puerto Rico's Luis Muñoz Marín International Airport, the Federal Aviation Administration approved the contract with Aerostar Airport Holdings and plans to privatize the Electrical Power Authority were announced in mid-January 2018.

82. As an austerity measure aimed at reducing Puerto Rico's then \$3.2 billion budget deficit and addressing the issue of high public sector employment, more than 20,000 workers have been laid off since 2009.

83. Economic growth had been stalling since 2006, a year before the United States financial crisis. Only Greece has had a worse economic contraction, with a 28 per cent loss of its gross domestic product (GDP). Puerto Rico has suffered a 14 per cent cumulative loss of GDP.<sup>8</sup> The Center for a New Economy<sup>9</sup> indicated that the capacity of the Puerto Rican authorities to face the crisis was extremely limited owing to the lack of traditional instruments of macroeconomic policy. Puerto Rico lacks autonomous monetary and exchange rate policies, which is the principal reason its public finances, it also lacks the space to undertake a counter-cyclical fiscal policy. Finally, its subordinate political status makes it dependent on decisions by the United States Congress and federal courts without much of a voice on those decisions.

84. A debt of \$167.846 billion, including the general obligation debt and resulting from a decade of negative growth, the adoption of austerity measures and the stripping of labour rights and benefits mandated by the Financial Oversight and Management Board, had already created a perfect storm before Puerto Rico was hit by two category 4 to 5 hurricanes in September 2017, completely destroying the electricity infrastructure. Months without electricity, weeks without drinking water, deteriorating health conditions and the massive migration of around 90,000 people in one year, including young working and professional persons, left the island in a sorry state.

85. According to the Gini coefficient, Puerto Rico is today the third most unequal country among the 101 that published their economic and social data between 2013 and 2017. A study from the Center for Census Information of the University of Puerto Rico at Cayey reported that the social differences among Puerto Rican families were found to be greater than in any other country in the Americas whose data were published by the World Bank. In 2013, even before Hurricanes Irma and Maria, Puerto

<sup>&</sup>lt;sup>8</sup> Government Development Bank for Puerto Rico, Economic Analysis Division, December 2014.

<sup>&</sup>lt;sup>9</sup> José Antonio Ocampo, Deepak Lamba-Nieves and Sergio M. Marxuach, "Devising a growth strategy for Puerto Rico", Working Paper, No. 299 (San Juan, June 2016).

Rico had become one of the five countries with the greatest income inequality, along with Honduras, Namibia, South Africa and Zambia.

86. The situation has become even worse, according to a survey carried out by Gaither International in 2018, as, in the aftermath of Hurricanes Irma and Maria, poverty in Puerto Rico increased from 45 to 60 per cent. Indicators of youth development show that 58 per cent of the 60 per cent of people living below the poverty level are minors and adolescents. The marginality faced by children and youth has increased following the closing of approximately 400 schools, as 50 per cent of them have dropped out of school. In addition, levels of crime have risen, with 21 murders per 100,000 inhabitants, an estimated 1,600 illegal drug sales sites and an increase in crimes against property. One of the main concerns of Puerto Ricans is lack of safety, while unemployment affects young people, in particular. The 2016 human development report for Puerto Rico (*Informe sobre Desarrollo Humano: Puerto Rico 2016*) states that 1,566,571 persons 16 years of age or older (53.4 per cent) are not active in the labour force.

87. The above-mentioned indicators help to explain the phenomenon of migration from Puerto Rico to the United States. According to demographer Judith Rodríguez, following Hurricane Maria, 283,000 Puerto Ricans left for Florida.<sup>10</sup> However, the Puerto Rico Community Survey of the United States Bureau of the Census calculated that migration in 2017 consisted of 97,000 persons.<sup>11</sup> At present, it is not possible to ascertain how many have returned, nor the actual population of Puerto Rico. It is estimated to be some 3.1 million.

88. Puerto Rico's economic indicators explain the social deficiencies. According to the Puerto Rico Community Survey, an estimated 300,000 workers in Puerto Rico earn a minimum federal salary of \$7.25 per hour, and most of them are young people, women, older persons and the less educated. The economic ability of these workers is further reduced by the decrease in public services resulting from austerity measures imposed on governmental budgets by the Financial Oversight and Management Board. In many instances, the Government has promoted the measures, such as decreased benefits for public employees. According to Estudios Técnicos, "the austerity measures imposed by the Board not only weigh upon the ability of Puerto Rico to grow, they bring significant social and institutional costs".<sup>12</sup>

89. The economic crisis is relentless. Puerto Rico's growth indices continue to be negative, although a positive 5 per cent growth is projected as a result of the disbursement of the first phase of \$1.5 billion from federal funds for reconstruction through the Community Development Block Grant Program, and \$8.2 billion is expected in the second phase. However, Estudios Técnicos points out that "there is great uncertainty as to these payments regarding the amounts and the time it will take to disburse them". The continuous loss of population, which was predicted to be reduced to 3 million in 2015; the uncertainty in 2019 as to the assignment of \$4.8 billion for the Health Program, which expired and was not renewed; and the loss in March 2019 of \$100 million dollars per month for the Nutrition Assistance Program cast shadows upon Puerto Rico's economic recovery.

90. The plan to restructure the \$72 billion debt, as decided by the United States District Court for the District of Puerto Rico during the PROMESA Title III bankruptcy proceedings, provided for creditors to be paid from what is known as the

 <sup>&</sup>lt;sup>10</sup> Nydia Bauzá, "Esta pudiera ser la mayor emigración de puertorriqueños", *Primera Hora*, 1 January 2018.

 <sup>&</sup>quot;Unas 97,000 personas emigraron de Puerto Rico a Estados Unidos en 2017", *El Nuevo Día*, 13 September 2018.

 <sup>&</sup>lt;sup>12</sup> Agencia EFE, "Auguran un crecimiento económico en Puerto Rico para el 2019", *Primera Hora*, 31 December 2018.

"Corporación del Fondo de Interés Apremiante" (COFINA), amounting to \$17.637 billion, imposing on Puerto Rico the payment of approximately \$33 billion in the next 40 years, which amounts to 93 cents per dollar of debt, in spite of the fact that said debt was acquired for around 50 cents per dollar, a circumstance that will have a negative effect on Puerto Rico for the next 40 years.

91. The grave economic situation has been deepened by the crisis created by earthquakes that are affecting the south-western part of Puerto Rico in the towns of Ponce, Guánica, Guayanilla, Peñuelas and Utuado. On 7 January 2020, Puerto Rico was hit by a 6.4 magnitude earthquake followed by a 6.0 magnitude earthquake later the same morning. After that, aftershocks affected Puerto Rico every day. Some 3,000 families were displaced owing to the losses of their homes. In the town of Yauco alone, 3,500 houses suffered damage, representing 22 per cent of the town's houses. The earthquake could lead to economic losses of \$90 million daily, according to José Alameda, a professor at the University of Puerto Rico at Mayagüez. In 15 days, that would signify a \$1.356 billion impact, equivalent to 1.3 per cent of GDP. As reported in *El Nuevo Día* on 13 January 2020, the southern towns had already suffered damage estimated at \$460 million from the earthquakes, and tremors continued. The economic impact of the coronavirus disease (COVID-19) epidemic on Puerto Rico was \$800 million for the fiscal year 2020 and \$5.8 billion for the fiscal year 2021, for a total of \$6.6 billion.<sup>13</sup>

#### **D.** Regional developments

92. In the Declaration adopted at the Fifth Summit of the Community of Latin American and Caribbean States, held in Punta Cana, Dominican Republic, on 25 January 2017, Heads of State and Government reiterated the Latin American and Caribbean character of Puerto Rico and, in taking note of the decisions on Puerto Rico adopted by the Special Committee, reaffirmed that the matter was of interest to the Community of Latin American and Caribbean States. In that vein, they commended the amnesty recently granted by the United States to the independence leader, Mr. López Rivera. Member countries of the Community committed themselves to continue working, in the framework of international law, particularly in the framework of General Assembly resolution 1514 (XV), to make the region of Latin America and the Caribbean a territory free of colonialism and colonies.

93. The Final Declaration adopted by the Heads of State and Government of the Bolivarian Alliance for the Peoples of Our America – Peoples' Trade Agreement (ALBA-TCP), who met in Havana on 14 December 2014, ratified Puerto Rico's Latin American and Caribbean nature and reiterated that its full independence and decolonization constituted a matter of great interest to the member countries of ALBA-TCP. On 18 September 2021, 31 members of the Community of Latin American and Caribbean States held a summit in Mexico City and adopted a special declaration on Puerto Rico that reiterated their support for the decolonization of Puerto Rico and the applicability of General Assembly resolution 1514 (XV), recognized the ongoing efforts taken by the Special Committee and expressed their support for the resolutions adopted by the Committee.

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<sup>&</sup>lt;sup>13</sup> Puerto Rico Fiscal Agency and Financial Advisory Authority, "El impacto económico de COVID-19 en Puerto Rico ronda los \$6,600 millones". Available at www.aafaf.pr.gov/pressroom-articles/el-impacto-economico-de-covid-19-en-puerto-rico-ronda-los-6600-millones/.

### **IV.** Previous action taken by the United Nations

#### A. General

94. Since 1953, the United States has maintained a consistent position regarding the status of Puerto Rico and the competence of United Nations organs to examine that status, based on resolution 748 (VIII), by which the General Assembly released the United States from its obligations under Chapter XI of the Charter of the United Nations. It has maintained that Puerto Rico has exercised its right to self-determination, has attained a full measure of self-government, has decided freely and democratically to enter into a free association with the United States and is therefore beyond the purview of United Nations consideration.

95. Expressions of views by the executive, judicial and legislative branches of the Government of the United States (the executive branch through the statements made before the United States Supreme Court by Ms. Saharsky in the *Sánchez Valle* case, the judicial branch through the Supreme Court decision in the *Sánchez Valle* case (see paras. 37 and 38 above), and the legislative branch through PROMESA and the establishment of the Financial Oversight and Management Board), are contrary to the statements that Puerto Rico has attained a full measure of self-government and to statements that the United States made to the United Nations in 1953 (see paras. 13 and 19–21).

96. The Puerto Rican forces in favour of decolonization and independence have contested the affirmation set out in paragraph 94 above. In paragraph 9 of resolution 748 (VIII), the General Assembly expressed its assurance that due regard would be paid in the eventuality that either of the parties to the mutually agreed association might desire any change in the terms of that association.

97. Information on action taken by United Nations bodies with regard to Puerto Rico prior to 1974 is contained in the 1973 report of the Rapporteur (A/AC.109/L.976) and subsequent reports.

#### **B.** Action taken by the Special Committee

98. At its 1st meeting, on 18 February 2021, by adopting the suggestions relating to the organization of work put forward by the Chair (see A/AC.109/2021/L.2/Rev.1), the Special Committee decided to take up the item entitled "Special Committee decision of 5 August 2020 concerning Puerto Rico" and to consider it at plenary meetings.

99. At the 2nd meeting, held on 14 June 2021, the Chair of the Special Committee drew attention to a number of communications received from organizations requesting to be heard by the Committee on the subject of Puerto Rico. The Special Committee agreed to accede to those requests and heard a number of representatives of the organizations concerned at its 5th meeting, held on 18 June (see A/AC.109/2021/SR.5). At the same meeting, the Chair drew attention to a report prepared by the Rapporteur of the Special Committee (A/AC.109/2021/L.13). Statements were made by the observers for Azerbaijan (on behalf of the Non-Aligned Movement) and Mexico (on behalf of the Community of Latin American and Caribbean States). Statements were also made by the representatives of the Bolivarian Republic of Venezuela, Nicaragua, the Plurinational State of Bolivia and Cuba.

100. At the same meeting, the representative of Cuba, also on behalf of Antigua and Barbuda, Bolivia (Plurinational State of), Nicaragua, the Russian Federation, the

Syrian Arab Republic and Venezuela (Bolivarian Republic of), introduced draft resolution A/AC.109/2021/L.7.

101. Also at the same meeting, the Special Committee adopted draft resolution A/AC.109/2021/L.7 without a vote. Following the adoption of the draft resolution, the representative of Cuba made a statement.

## C. Action taken by the General Assembly

102. During the seventy-sixth session of the General Assembly, no draft resolution on this issue was submitted to the Assembly for action.

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