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Criminal accountability of United Nations officials and experts on mission

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Report of the Secretary-General

Summary

The present report has been prepared pursuant to paragraphs 31 and 32 of General Assembly resolution [74/181](#). Section II contains a summary of information received from Governments on the extent to which jurisdiction over their nationals while serving as United Nations officials or experts on mission is established under their national laws. Section III provides a summary of the information received from Governments on the handling of credible allegations that reveal that a crime may have been committed by United Nations officials or experts on mission by States against whose nationals such allegations are made, and matters relating thereto. Section IV provides a summary of the information on cooperation among States and with the United Nations in the exchange of information and the facilitation of investigations and prosecutions of such individuals, as well as the protection of victims and witnesses in this process. Section V contains a summary of information on vetting by Member States contributing personnel to the United Nations. Section VI provides a summary of the comments received from Governments on the report of the Group of Legal Experts on ensuring the accountability of United Nations staff and experts on mission with respect to criminal acts committed in peacekeeping operations ([A/60/980](#)), including on the question of future action. Sections VII and VIII relate to information on activities within the Secretariat.

* [A/75/150](#).



I. Introduction

1. The present report has been prepared pursuant to General Assembly resolution [74/181](#), in which the Assembly requested the Secretary-General to report on the implementation of the resolution, in particular with respect to paragraphs 10, 12, 13, 15, 18, 20 and 30 thereof, as well as any practical problems in its implementation, on the basis of information received from Governments and the Secretariat. The present report contains summaries of the information received. The full texts of the information received from Governments will be made available on the website of the Sixth Committee (www.un.org/en/ga/sixth/).

2. Information was received from the following States: Belgium, Canada, Czechia, Egypt, El Salvador, Finland, Greece, Kyrgyzstan, Madagascar, Malaysia, Norway, Portugal, Senegal, Switzerland, Togo, Turkey and Turkmenistan.

3. Section II concerns information received relating to the criminal accountability of United Nations officials and experts on mission, pursuant to paragraph 10 of resolution [74/181](#). Section III concerns information received pursuant to paragraphs 18 to 20 of the resolution, while section IV covers information received under paragraph 12. Section V provides information relating to paragraph 13 of the resolution and section VI relates to information regarding paragraph 15.

4. Sections VII and VIII relate to activities undertaken within the Secretariat in the implementation of paragraphs 6, 13, 14, 18 to 24 and 32 of the resolution.

5. The present report should be read together with previous reports of the Secretary-General under the item on criminal accountability of United Nations officials and experts on mission.¹ As noted in previous reports of the Secretary-General, the report, coupled with the work of the Sixth Committee, is but one aspect of the work of the Organization to address issues of accountability within the United Nations. Different reporting mechanisms apply with respect to questions of accountability within the Organization which might bear on questions of criminal accountability of United Nations officials and experts on mission. As noted in previous reports (see, for example, [A/74/145](#)), other reports prepared pursuant to other mandates may be relevant to the present item.²

II. Establishment of jurisdiction over crimes of a serious nature

Belgium

6. Belgium reiterated information previously reported (see [A/73/129](#)) and provided updates on the relevant legislation.

Canada

7. Canada reported that its capacity to hold to account its nationals, whenever they served as United Nations officials or experts on mission, for criminal and other unacceptable conduct was embodied in several pieces of legislation. They included:

- (a) Criminal Code of Canada;
- (b) National Defence Act;

¹ [A/74/145](#), [A/74/142](#), [A/73/155](#), [A/73/129](#), [A/73/128](#), [A/72/205](#), [A/71/167](#), [A/70/208](#), [A/69/210](#), [A/68/173](#), [A/67/213](#), [A/66/174](#) and [A/66/174/Add.1](#), [A/65/185](#), [A/64/183](#) and [A/64/183/Add.1](#), and [A/63/260](#) and [A/63/260/Add.1](#).

² See, for instance, [A/74/64](#), [A/73/744](#) and [A/73/688](#).

- (c) Royal Canadian Mounted Police Act;
- (d) Royal Canadian Mounted Police Regulations;
- (e) Code of Conduct of the Royal Canadian Mounted Police (Schedule to the Royal Canadian Mounted Police Regulations);
- (f) Provincial legislation and codes of conduct for partner police agencies other than the Royal Canadian Mounted Police;
- (g) Crimes Against Humanity and War Crimes Act;
- (h) Corruption of Foreign Public Officials Act.

8. Canadian law also extended the territorial jurisdiction of Canada to adjudicate criminal offences where there was a “real and substantial connection” to Canada and that might also apply in some scenarios, bearing in mind that the connection must be based on the offence (e.g. part of the offence or substantial effects in Canada) and not the nationalities of offenders, victims or other persons.

Czechia

9. Czechia reiterated information previously reported (see [A/63/260](#), [A/64/183](#) and [A/70/208](#)) and provided updated information regarding its relevant legislation. In particular, the Criminal Code had been amended in its section 7 (1), which establishes jurisdiction over foreign nationals for having committed any of the acts mentioned in the said section by terrorism-related offence (sect. 312a to 312f).

Egypt

10. Egypt reported that, according to article 3 of its Penal Code, any Egyptian national who committed a felony or misdemeanour under Egyptian law while abroad would be punished pursuant to Egyptian law when he or she returned to Egypt if the act was criminalized under the law of the country where it had been committed.

El Salvador

11. El Salvador reiterated information previously reported (see [A/65/185](#), [A/67/213](#) and [A/69/210](#) and [A/74/145](#)).

Greece

12. Greece further elaborated on information provided in its previous submissions (see [A/68/173](#), [A/70/208](#) and [A/74/145](#)). While Greece did not have specific criminal legislation in place relating to United Nations officials or experts on mission, Greek criminal law adequately covered those categories of persons according to articles 5, 6, 7 and 8 of the Greek Criminal Code. The general provisions of the Penal Code and the Military Penal Code in force for all the military personnel of the Hellenic Armed Forces (see e.g. arts. 2, 3, 193, 195 and 197) applied also to Greek military personnel deployed as United Nations officials or experts on mission. The criterion for determining whether the relevant provisions of the common criminal law or of the military criminal law would apply was the nature of the offence allegedly committed by the military personnel.

13. The jurisdiction *ratione personae* of Greek criminal courts was subject to the jurisdictional immunities recognized in article 2 of the Greek Code of Criminal Procedure, which referred also to relevant international agreements as well as to customary international law. Greece had been a party to the Convention on the Privileges and Immunities of the United Nations since 29 December 1947. Law 3948/2011 contained the necessary substantive and procedural clauses in order to

fully incorporate the provisions of the Rome Statute of the International Criminal Court.

Madagascar

14. Madagascar reported that, in connection with the prosecution and punishment of nationals who were officials or experts on mission with the United Nations, it complied with the provisions of the Convention on the Privileges and Immunities of the United Nations. There were no special provisions in its criminal law to punish offences committed by nationals who were United Nations officials or experts on mission if those individuals were included on the list of officials and experts enjoying privileges and immunities communicated to the Government of Madagascar, except in the event of waiver of immunity by the Secretary-General.

Malaysia

15. Malaysia reported that it was able to establish jurisdiction over serious crimes committed by its military and civilian police personnel in their capacity as officials and experts on mission on the basis of the Armed Forces Act 1972 and the Police Act 1967, which continued to apply to such personnel wherever they might be deployed. That was also provided in the applicable United Nations status-of-forces arrangements. In addition, Malaysia could also claim extraterritorial criminal jurisdiction in accordance with its laws and the principles of international law over serious crimes such as terrorism, offences against the State, corruption, money-laundering, drug trafficking and trafficking in persons. Extraterritorial jurisdiction was applicable to some offences under the Penal Code and any offence under any of the written laws specified in the Schedule to the Extraterritorial Offences Act 1976. Additionally, section 127A of the Criminal Procedure Code provided that any offence under any other written law, the commission of which had been certified by the Attorney General to affect the security of Malaysia, could have extraterritorial application.

Norway

16. Norway reiterated information previously reported (see [A/72/126](#) and [A/74/145](#)).

Portugal

17. Portugal reiterated information previously reported (see [A/64/183](#), [A/65/185](#) and [A/66/174](#)). As a general principle, Portuguese criminal legislation was applicable to all acts committed in the Portuguese territory. Portuguese criminal legislation was also applicable to acts perpetrated outside the Portuguese territory when committed:

(a) By Portuguese citizens against other Portuguese citizens that resided in Portugal;

(b) By Portuguese citizens or by foreigners against Portuguese citizens, if the offender was to be found in Portugal and if the acts were punishable in the territory where they had taken place, unless the punitive power was not carried out in that place and the extradition could not be performed or it was decided not to surrender the offender as a result of a European arrest warrant or other international agreement binding Portugal;

(c) By foreigners, irrespective of the nationality of the victim, if the offender was to be found in Portugal and the extradition could not be performed or if it was decided to not surrender the offender as a result of a European arrest warrant or other international agreement binding Portugal;

(d) By any person, for those crimes for which the Portuguese law established absolute universal jurisdiction. Portuguese law also established for a substantial number of crimes a conditional universal jurisdiction. Examples of that last category included genocide, crimes against humanity, war crimes, incitement to war, recruitment of mercenaries and the crime of aggression.

18. The commission of a crime gave rise to a judicial inquiry, following which the public prosecutor could indict the suspect offender if there was sufficient evidence that a crime had been committed.

19. Portugal was a party to the Convention on the Privileges and Immunities of the United Nations. In addition, article 22 of the Portuguese Criminal Code recognized the special status of individuals enjoying international protection. However, it should be underlined that the immunity was granted to officials and experts within the limits strictly necessary for the independent exercise of their functions.

Senegal

20. Senegal reported that no specific criminal laws had been established in Senegal to deal with nationals serving as United Nations officials or experts on mission, but there were provisions that could be applied to them. The Code of Criminal Procedure provided that Senegalese courts retained jurisdiction over any Senegalese citizen who, while abroad, committed a serious offence punishable under Senegalese law (art. 664, para. 1). The same applied in the case of an act that was classified as an ordinary offence under Senegalese law and was also punishable under the law of the country where it had been committed (art. 664, para. 2).

21. For Senegalese courts to retain jurisdiction over a Senegalese national who had committed a serious offence abroad, the act in question must constitute an offence under Senegalese law. By contrast, in the case of an ordinary offence, the law required dual criminality and, when the offence was committed against an individual, a request from the public prosecutor's office preceded by a complaint from the victim or an official complaint addressed to the Senegalese authorities by the authorities of the country where the act had been committed (art. 666).

22. The Senegalese courts retained jurisdiction to try ordinary offences involving breaches of State security as well as forgery of the State seal and undermining of national currencies when such offences were committed outside the territory of the Republic (art. 664, para. 3). They also retained jurisdiction over any Senegalese national who committed an ordinary or minor offence in the areas of forestry, farming, fishing, customs or direct taxation in the territory of a neighbouring State (art. 670, para. 1).

23. In addition, article 130 of Act No. 2018-03 of 23 February 2018 on combating money-laundering and the financing of terrorism established the jurisdiction of the Senegalese courts to try the offences covered by the Act when they were committed by any person, regardless of nationality, in one of the States members of the West African Economic and Monetary Union or in a third State provided that, in the latter case, jurisdiction was conferred on Senegalese courts under an international convention.

Switzerland

24. Switzerland reiterated the viewpoints previously presented (see [A/63/260](#), [A/66/174](#), [A/67/213](#), [A/71/167](#), [A/72/205](#), [A/73/129](#) and [A/74/145](#)).

Togo

25. Togo reported the passage on 21 April 2016 of Act No. 2016 008 establishing the new Code of Military Justice. Articles 46, 47, 48 and 49 of the Act covered the jurisdiction of the military courts. Under article 46, military courts could deal with both public prosecutions and criminal indemnification proceedings, in accordance with the provisions of the Code of Military Justice itself, the Criminal Code and the Code of Criminal Procedure. Unless otherwise stated in specific laws, the competences of the military courts were those determined in the Code of Military Justice. Under article 47, in both peacetime and war, the military courts were competent to investigate and try: (a) military offences specified in the Code; (b) offences against the internal and external security of the State involving military and related personnel; (c) offences of any kind committed by military and paramilitary personnel (i) in the discharge of or during service or (ii) in military barracks, quarters or establishments, or in a place of billeting; and (d) offences of any kind committed by military or related personnel, their accomplices and accessories using means belonging to the armed forces and similar institutions. Article 48 listed the categories of persons that could be tried in military courts.

Turkey

26. Turkey reiterated information previously reported (see [A/73/129](#) and [A/74/145](#)) and provided complementary information. Article 11 of its Criminal Code, inter alia, provided that, in cases in which the offence in question required a minimum punishment of less than one year of imprisonment according to Turkish laws, the prosecution of such crime in Turkey would be subject to the filing of a complaint by the injured person or the relevant foreign Government within six months after the accused Turkish citizen entered Turkey.

27. Article 13 of the Criminal Code stipulated that certain categories of crimes that were committed abroad would be prosecuted in Turkey, regardless of whether the perpetrator was a Turkish citizen or a foreign national, subject to the conditions and requirements set by the law. Such crimes included but were not limited to genocide, crimes against humanity, torture, human trafficking, migrant smuggling, prostitution, production and trade of narcotics or psychotropic substances, and crimes against the State.

28. In relation to reprosecutions to be carried out in Turkey for crimes committed and already prosecuted abroad under article 10 of the Criminal Code and under article 5 of the Military Criminal Code for military crimes committed by Turkish soldiers (upon a request by the Minister of Defence of Turkey), the relevant legal safeguards, including especially the deduction of periods already served in a third country, would be observed.

29. Further, the Turkish Criminal Procedural Code designated the courts of Ankara as the competent courts to prosecute crimes committed by Turkish public officials who were abroad and who enjoyed diplomatic privileges and immunities. Article 19 of the Turkish Criminal Code provided, with certain exceptions, that the sentence to be given by Turkish courts for crimes committed abroad should not exceed the upper limit of the sentence stipulated in the laws of the country in which the crime had been committed.

Turkmenistan

30. Turkmenistan reported that its criminal law contained provisions to allow for the prosecution of persons for crimes committed outside of Turkmenistan (art. 8 of the Criminal Code). If Turkmen citizens with diplomatic immunity committed a

criminal offence in the territory of the State of accreditation, Turkmenistan removed their diplomatic immunity and they could be criminally prosecuted in the territory of Turkmenistan.

31. Under article 8 (1) of the Criminal Code, Turkmen citizens and stateless persons permanently residing in Turkmenistan who had committed a crime under Turkmen criminal law outside the country were held accountable under Turkmen criminal law if accountability for the act committed was provided for by the criminal law of the State in whose territory it had been committed and if the persons concerned had not been convicted in a foreign State. In such instances, no penalty could be imposed that exceeded the upper limit of the penalty prescribed by the law in force in the place of commission of the crime. Article 9 (1) of the Criminal Code stipulated that Turkmen citizens who had committed an offence in the territory of a foreign State would not be extradited to that State.

32. The Criminal Code also established universal jurisdiction under international treaties for certain crimes, such as crimes against humanity and war crimes, trafficking in human beings, money-laundering, terrorist activity and several others, regardless of the citizenship and place of residence of the perpetrator or the territory in which the crime had been committed.

III. Handling of credible allegations that reveal that a crime may have been committed by United Nations officials or experts on mission by States against whose nationals such allegations are made, and matters relating thereto

Belgium

33. Belgium reported that, in 2018, it had received a report of a serious offence allegedly committed by a Belgian national serving as a United Nations official or expert on mission. Since then, Belgium had informed the Secretary-General of the measures taken in the case and had responded to every request for information from the Secretary-General.

Canada

34. Canada reported that it would continue to provide assistance in connection with criminal investigations or proceedings or extradition proceedings regarding crimes of a serious nature in accordance with domestic law and international obligations, and that Canada recognized the importance of close collaboration with United Nations investigators following reports of alleged wrongdoing. Canadian police services, including the Royal Canadian Mounted Police, had accepted reports from the United Nations for the purposes of internal investigations into allegations of misconduct associated with police officers participating in United Nations police peacekeeping missions.

35. Canada also reported that it was working with the United Nations Conduct and Discipline Unit to establish an arrangement under which Canada might provide periodic updates on follow-up actions to allegations of wrongdoing by Canadian experts on mission while also respecting its domestic legal obligations. Information that Canada was able to share was provided through its Permanent Mission to the United Nations. There were no outstanding investigations at the current time in relation to allegations against a Canadian police officer serving as expert on mission.

Egypt

36. Egypt reported that its Ministry of Defence applied a set of procedures to ensure the criminal accountability of its elements on United Nations mission in a manner consistent with General Assembly resolution [74/181](#).

El Salvador

37. El Salvador reported that it continued to not have any recorded cases in which personnel participating in an official mission had committed a serious crime during the performance of the mission. In the event of commission of a criminal act, the Ministry of Defence had an obligation to cooperate with the host State to investigate the relevant act or to provide the corresponding treatment in accordance with criminal law.

Finland

38. Finland reiterated its previous comments (see [A/71/167](#), [A/72/205](#), [A/73/129](#) and [A/74/145](#)) and reported that no crimes of a serious nature committed by Finnish nationals while serving as United Nations officials or experts on mission had been brought to the attention of the Finnish authorities. Should such allegations be made, all appropriate measures would be taken to investigate the allegations and prosecute crimes in accordance with Finnish law.

Kyrgyzstan

39. Kyrgyzstan reported that, based on criminal law statistics and the Unified Register of Crimes and Misconduct information system, criminal cases had not been brought against officials and experts of the United Nations system in Kyrgyzstan from 2007 to the present.

Portugal

40. Portugal reported that it would keep the Secretariat informed of any crimes (or allegations of crimes) involving Portuguese nationals acting as United Nations officials or experts on mission that were brought to the attention of the Portuguese authorities.

Switzerland

41. Switzerland reiterated the viewpoints previously presented (see [A/73/129](#) and [A/74/145](#)) and also reiterated that cases had been brought to its attention because the alleged offences, although not committed by Swiss nationals, had allegedly occurred in its territory. In 2017, 2018 and 2019, Switzerland had informed the Secretary-General of measures taken with regard to such cases.

IV. Cooperation between States and with the United Nations in the exchange of information and the facilitation of investigations and prosecutions, and protection of victims and witnesses in this process

Canada

42. Canada reported that it was committed to protecting the interests of victims of crime, including of sexual offences. To that end, Canada had contributed to the trust fund in support of victims of sexual exploitation and abuse, and had supported the

Office of the Special Coordinator on Improving the United Nations Response to Sexual Exploitation and Abuse.

43. To facilitate the provision of testimony by witnesses (including victims) who resided outside of Canada, the Criminal Code allowed for the court to receive their evidence via videoconference, unless one of the parties could satisfy the court that the reception of such testimony would be contrary to the principles of fundamental justice (Code subsect. 714.2 (1)). In certain situations, the court might instead receive evidence given by a witness outside Canada via audioconference, if the court was of the opinion that it would be appropriate (sect. 714.3). In cases in which Canada had the jurisdiction to prosecute an offence under section 7 of the Criminal Code, the sentencing provisions laid out in the Criminal Code would also apply. Under section 737.1, a victim could seek a restitution order for readily ascertainable losses during the sentencing process. The Crown and the court had the same obligations to all victims in that regard, no matter where the victim was located. The Criminal Code mandated that the court should ask the prosecutor if reasonable steps had been taken to provide the victims with an opportunity to indicate whether they were seeking restitution for their readily ascertainable losses and damages. If a victim chose to seek a restitution order, the court was required to consider it.

44. In terms of technical assistance and capacity-building, Canada had provided financial support to the Peace Operations Training Institute since 2016. Moreover, Canada had deployed a military legal officer to support the Special Coordinator on improving the United Nations Response to Sexual Exploitation and Abuse for part of 2016. In addition, Canada was supporting the United Nations to implement recommendations from the annual reports of the Secretary-General on special measures for protection from sexual exploitation and abuse, including by chairing at the United Nations the Group of Friends of Women, Peace and Security and the Group of Friends of Children and Armed Conflict, and through the Working Group of the Special Committee on Peace Operations.

Czechia

45. Czechia reiterated information previously reported (see [A/63/260](#), [A/64/183](#) and [A/70/208](#)) and provided updated information regarding its relevant legislation. International cooperation was covered by Act No. 104/2013 Coll., on International Judicial Cooperation in Criminal Matters, which had entered into force on 1 January 2014. The Act included various provisions concerning international judicial cooperation, including obtaining information and evidence or interviewing witnesses. The cooperation could be based on the principle of reciprocity in the event of the absence of a relevant international treaty.

46. The rights of victims were set forth in Act No. 45/2013 Coll., on Victims of Crime. The Act envisaged specialized assistance to victims of crime. Section 14 of the Act specifically regulated the protection of victims from imminent danger. Measures for ensuring the safety of victims should particularly be understood as including short-term protection by the police, removal of a person from the common dwelling, relevant interim measures within the civil proceedings or criminal proceedings, concealment of the identity and appearance of victims, special protection of witnesses in line with Act No. 137/2001 Coll., on Special Protection of Witnesses and Other Persons in Relation to Criminal Proceedings, and European protection orders.

47. The Act on Victims of Crime also defined particularly vulnerable victims who enjoyed particular enhanced rights (e.g. a child; a person who was elderly or with a mental or physical disability or sensory impairment; or a victim of human trafficking or of a terrorist attack). For example, if they requested assistance, it must be provided

free of charge, regardless of whether such assistance consisted in psychological assistance, social assistance, legal information, restorative programmes or legal aid. Such assistance was provided before commencement of the criminal proceedings, during its course and after its conclusion. All victims could also request certain measures protecting them from secondary victimization, such as prevention of contact with the person indicated as the offender.

Egypt

48. Egypt reported that national investigators were deployed within all Egyptian United Nations peacekeeping contingents to ensure that due investigations were conducted swiftly and that the United Nations leadership was notified once there was a conviction.

El Salvador

49. El Salvador reiterated information previously reported (see [A/74/145](#)).

Greece

50. Greece reported that it was ready and continuously endeavoured, in accordance with its national law, to afford every possible assistance, support and protection in relation to criminal investigations or criminal or extradition proceedings in respect of crimes committed by United Nations officials and experts on mission, as foreseen in paragraph 12 of resolution [74/181](#), and to explore all ways and means of enhancing such measures and facilitating the relevant proceedings, bearing in mind due process considerations.

Madagascar

51. Madagascar reported that it stood ready to assist Member States in criminal investigations against United Nations officials and experts on mission who had committed crimes, particularly serious crimes, and was open to all cooperation and to the signing of bilateral agreements on mutual legal assistance and extradition to that end, in strict compliance with its domestic law. In addition, Madagascar had legislation governing international cooperation in criminal matters, in the form of Act No. 2017-027 of 29 January 2018. Madagascar fully guaranteed the exercise of the right to defence, a fundamental right recognized in article 13, paragraph 6, of its constitution.

52. Regarding the protection of victims and witnesses, Act No. 2016-017 of 22 August 2016, amending and supplementing certain provisions of its Code of Criminal Procedure, contained provisions regarding testimonial aids and anonymous testimony (art. 18 of the Act). Article 13 of the Act, amending and supplementing article 333 of the Code of Criminal Procedure, made “the exercise of pressure on or reprisals against victims and their families” an objective criterion for placing the accused under a committal order. Concerning trafficking in persons, articles 41, 42 and 43 of Act No. 2014-040 of 20 January 2015 on combating trafficking in persons set out measures for the protection of victims, witnesses, investigators and members of their families. Act No. 2019-008 of 16 January 2020 also offered protection to victims of gender-based violence (art. 17).

Malaysia

53. Malaysia reported that its Extradition Act 1992 and Mutual Assistance in Criminal Matters Act 2002, together with its network of extradition and mutual assistance in criminal matters treaties, provided the legal basis for such cooperation among States and the United Nations in the exchange of information and the

facilitation of investigations and prosecutions. However, the current legal regime in Malaysia did not allow the provision of evidence by Malaysia to an international organization or tribunal. Further discussion on practical and operational issues was necessary to enable effective cooperation between States and the United Nations in that regard.

Norway

54. Norway reiterated information previously reported (see [A/74/145](#)).

Portugal

55. Portugal reiterated information previously reported (see [A/64/183](#), [A/65/185](#) and [A/66/174](#)).

Senegal

56. Senegal reported that it was a party to major universal instruments, including the United Nations Convention against Transnational Organized Crime and the United Nations Convention against Corruption, which encouraged States to afford to one another, and to request, mutual legal assistance in criminal matters. Senegal had also signed numerous bilateral agreements on criminal matters.

57. Protection for victims and witnesses was not dealt with in a comprehensive criminal law instrument, but it had been addressed in a number of texts against specific offences. Under Act No. 2005-06 of 10 May 2005 on combating trafficking in persons and similar practices, victims benefited, for example, from immunity from criminal prosecution for the acts specified, except when they had contributed to the commission of an offence (art. 12). Persons who reported to the competent authority an act covered by that law before the offence had been committed might be exempted from punishment (art. 13). It also provided that, for the safety of victims and witnesses, the trial court could order that they be heard in camera or permit them not to appear at the hearing. Victims could also be granted residence or refugee status in accordance with the laws in force (art. 14). Legal assistance was provided to minor victims and those who were especially vulnerable (art. 16). Other relevant texts included, for example, Act No. 2018-03 of 23 February 2018 on combating money-laundering and the financing of terrorism (art. 95, entitled “Anonymous testimony and protection of witnesses”) and Act No. 2018-02 of the same date on the suppression of counterfeiting. (Article 22 provided for exemption from punishment or a discharge for perpetrators who expressed remorse.)

Turkmenistan

58. Turkmenistan reported that information-sharing was governed by the provisions of its Code of Criminal Procedure. In addition, issues relating to international cooperation were covered by the Procuratorial Service Act and the Internal Affairs Agencies Act. Under article 542 of the Code of Criminal Procedure, in order to provide legal assistance to the investigative bodies and courts of foreign States with which Turkmenistan had concluded international agreements on legal assistance, or on the basis of a mutual agreement, proceedings provided for in the Code and other actions provided for in other laws and international agreements concluded by Turkmenistan could be carried out.

59. Regarding the strengthening of the capacities of national authorities to investigate and prosecute crimes, the national human rights action plan of Turkmenistan for the period 2016–2020 set out the tasks related to modernizing the legal system, including the judiciary, law enforcement agencies and the legal profession.

60. Effective protection for victims, witnesses and others was governed by the State Protection of Victims, Witnesses and Other Participants in Criminal Proceedings Act, which established a system of measures aimed at ensuring protection by the State for victims, witnesses and other participants in criminal proceedings, including the security and social protection of such persons, and also defined the grounds and procedures for the implementation of such measures.

V. Vetting by Member States contributing personnel to the United Nations

Belgium

61. Belgium stated that Belgian military personnel who were deployed abroad, including as United Nations experts on mission, received a briefing before their departure as part of their predeployment training, which covered, among other things, the rules to be observed in the country and the steps to be taken when they witnessed an offence. Standard operating procedures had been developed that specified the authorities competent to carry out an investigation, the actions to be taken and the lines of conduct to be followed when an offence was committed. A serious incident notification procedure was also in place for military personnel. The procedure included a form, available electronically to all defence personnel, that was to be completed by detachment commanders when a serious incident occurred during a mission, of which the prosecutor's office must be notified. Within the federal police, the Military Crime Division, a specialized unit working with the armed forces, made regular visits to contingents abroad and could be deployed at the request of the competent federal judicial authorities when an act constituting a serious offence was committed by Belgian military personnel abroad.

Canada

62. Canada reported that its Royal Canadian Mounted Police ensured that all candidates for a peace and security mission were vetted prior to deployment. Royal Canadian Mounted Police candidates were screened by a career development and resourcing officer prior to submitting their application to the International Peace Operations Selections unit for consideration. Conduct screening for Police members included checks of disciplinary records and harassment complaints at all of the member's postings. Members also completed a form attesting to the fact that they had not been convicted of any federal or provincial offences for which no pardon had been received, there were no findings of misconduct and there were no outstanding allegations of misconduct. The career development and resourcing officer made the final determination as to whether the name was submitted to the International Peace Operations Selections unit. Candidates from other Canadian provincial or municipal police services were vetted by their home agency prior to being considered by the International Peace Operations Selections unit for deployment.

63. Candidates for a peace and security mission also participated in international peace support operations training, which included sections on ethics and on the United Nations directives on disciplinary matters and on sexual harassment. Copies of the United Nations directives were provided electronically and in paper form to all candidates. In addition, prior to deployment, candidates were required to complete the United Nations online training related to the prevention of sexual exploitation and abuse by United Nations personnel, and ethics and integrity.

64. Regarding members of the Canadian Armed Forces, the Department of National Defence and the Canadian Armed Forces made significant efforts to disseminate principles of international humanitarian law, including the provisions prohibiting

sexual violence, as widely as possible in general military instruction. Prior to deployments on United Nations missions, Canadian Armed Forces members were trained on the law of armed conflict, the Canadian Forces Code of Conduct, which included the humane treatment of all persons, and other matters specific to the mission in which they would be assisting. Furthermore, in addition to receiving training on the Canadian Forces Code of Conduct prior to deploying, Canadian Armed Forces members received the training periodically throughout their careers. They also received periodic training on Operation HONOUR, the Canadian Armed Forces' mission to eliminate sexual misconduct in the Canadian military.

Egypt

65. Egypt reported that predeployment training programmes, as well as periodic checks, were conducted to raise awareness and explain the repercussions of misconduct and crimes committed on mission.

El Salvador

66. El Salvador reiterated information previously reported (see [A/74/145](#)).

Madagascar

67. Madagascar reported that it agreed with the provisions of paragraph 13 of resolution [74/181](#).

Portugal

68. Portugal stated that it deemed preventive measures to be of the highest importance. Specific examples were the predeployment training of Portuguese armed forces and security forces personnel, including on international humanitarian law, human rights law, good conduct and discipline.

Turkey

69. Turkey emphasized that the Partnership for Peace Training Centre, established under the Turkish Armed Forces, constituted one of the few training centres in the world that was competent in offering the United Nations Military Observers Course. The successful completion of that training was mandatory for all Turkish military personnel who were to be assigned to United Nations peace operations.

VI. Report of the Group of Legal Experts, including on the question of future action

Canada

70. Canada expressed its support for continued discussions on the conclusions outlined in the report of the Group of Legal Experts on ensuring the accountability of United Nations staff and experts on mission with respect to criminal acts committed in peacekeeping operations. It stated that the report demonstrated that ensuring accountability through the effective exercise of criminal jurisdiction in the context of peacekeeping operations posed numerous challenges and required careful consideration of a number of legal and practical issues. However, Member States must strive to advance the discussions in order to develop a coordinated approach to closing the gaps in criminal accountability. As part of the CANZ (Canada, Australia and New Zealand) group, Canada had indicated its support, in principle, for a convention that would require Member States to exercise criminal jurisdiction over their nationals serving in United Nations operations abroad.

71. Canada considered the following, as highlighted in the report, to be essential in charting a path forward:

- Consideration should be given to the territorial State's claim to exercise territorial jurisdiction, taking into account due process and fair trial rights, questions of immunity and waiver, and competing claims of jurisdiction.
- There was a need to address the scope of crimes covered and differences in definitions of crimes, and to put in place a framework for effective cooperation, for example, to ensure the admissibility of evidence, with the shared goal of facilitating effective investigations and successful prosecutions where warranted.
- Consideration should be given to exploring options for cooperation between the host State and States participating in peacekeeping operations, for example, through capacity-building with regard to serious domestic crimes or the shared exercise of jurisdiction (joint investigations of allegations of crimes).

72. Canada noted that the report emphasized that the circumstances facing each peacekeeping operation would vary considerably. The circumstances surrounding each allegation of wrongdoing would similarly vary, and the approach to jurisdiction and related issues in each situation must be assessed in the light of those circumstances.

El Salvador

73. El Salvador reiterated information previously reported (see [A/74/145](#)).

Finland

74. Finland reiterated (see [A/73/129](#) and [A/74/145](#)) that it remained ready to consider a proposal for a comprehensive international legal framework to ensure that the criminal conduct of United Nations officials and experts on mission was addressed.

Madagascar

75. Madagascar expressed its wish to see United Nations officials and experts on mission, whether nationals or foreigners, be subject to prosecution and punishment, to rule out any form of impunity and ensure that no category of person could escape the jurisdiction of national courts when it was established that a crime had been committed outside the exercise of their functions or was unrelated to those functions. Madagascar therefore sought the collaboration of the Secretary-General to expedite the process. There was no need to distinguish between serious and non-serious offences if the person concerned had committed a crime that was outside the exercise of that person's functions or was unrelated to those functions.

Malaysia

76. In the event that it was considered timely and appropriate to pursue the draft convention proposed by the Group of Legal Experts, Malaysia expressed its view that among the issues that needed to be further deliberated were the definition of the terms used throughout the draft convention, especially in relation to "serious crimes", the scope of application, limitation to the types of offences and the principle of dual criminality.

77. Malaysia noted that the draft convention sought to do away with the principle of dual criminality. The said principle was a mandatory requirement under the domestic laws of Malaysia and many other jurisdictions. If that proposal was to be

considered, strong legal justification must be presented and thorough deliberation which considered all facets of the principle must be held.

78. Malaysia recalled paragraph 12 of resolution [74/181](#) and noted that a State might need to invoke the requirement of due process in relation to mutual legal assistance to obtain or provide evidence at their disposal. Although the draft convention had a provision on cooperation (art. 10) and extradition of alleged offenders (art. 8), difficulties might arise if the provision of evidence was to be carried out by a failed State. Malaysia expressed its view that the matter required careful consideration, including on whether issues could be sufficiently addressed by adopting the appropriate domestic legislation without the need for a convention.

Portugal

79. Portugal stated that that it generally subscribed to the conclusions and recommendations of the report. Regarding future action, it welcomed the recommendation by the Group of Legal Experts that an international convention be adopted. Portugal supported the negotiation of such an international convention provided that it was part of a general and consistent framework designed to ensure that there were no accountability gaps regarding the crimes committed by United Nations officials and experts on mission.

Switzerland

80. Switzerland reiterated the viewpoints previously presented (see [A/71/167](#), [A/72/205](#), [A/73/129](#) and [A/74/145](#)).

VII. Bringing credible allegations that reveal that a crime may have been committed by United Nations officials or experts on mission to the attention of the States against whose nationals such allegations are made, and matters relating thereto

81. In paragraphs 18 to 24 and 28 to 32 of resolution [74/181](#), the General Assembly urged Member States to provide information to the Secretary-General, requested the Secretary-General to provide certain information to the Assembly and requested the United Nations to take certain measures concerning the issue of the criminal accountability of officials and experts on mission.

Criminal conduct of personnel deployed on field missions

82. The Secretary-General refers credible allegations of criminal conduct by United Nations officials and experts on mission to the respective States of nationality of those individuals. In addition, and in accordance with guidance issued in 2018, field missions are expected to cooperate with host States in investigations and prosecutions that may be initiated by host States in such instances.

83. During the reporting period, the Office of Legal Affairs referred 13 cases of credible allegations of criminal conduct involving field personnel, including 4 cases of sexual exploitation and abuse, to the States of nationality of the individuals concerned (see annex I to the present report).

Referrals in relation to officials or experts on mission during the period from 1 July 2019 to 30 June 2020

84. The request in paragraph 18 of resolution 74/181 is similar to that made by the General Assembly in paragraph 18 of resolution 73/196 (see A/74/145 covering the 2018/19 period) and 72/112 (see A/73/129 covering the 2017/18 period), paragraph 17 of resolution 71/134 (see A/72/205 covering the 2016/17 period), paragraph 15 of resolution 70/114 (see A/71/167, covering the 2015/16 period), and paragraph 9 of resolutions 69/114 (see A/70/208, covering the 2014/15 period), 68/105 (see A/69/210, covering the 2013/14 period), 67/88 (see A/68/173, covering the 2012/13 period), 66/93 (see A/67/213, covering the 2011/12 period), 65/20 (see A/66/174, covering the 2010/11 period), 64/110 (see A/65/185, covering the 2009/10 period), 63/119 (see A/64/183, covering the 2008/09 period) and 62/63 (see A/63/260, covering the 2007/08 period).

85. During the period from 1 July 2019 to 30 June 2020, the Office of Legal Affairs referred to States of nationality, through the permanent missions concerned, 29 cases involving 29 United Nations officials and experts on mission for investigation and possible prosecution. Of those cases, 7 concerned allegations of corruption and fraud, of which 3 also involved allegations of theft; 9 concerned allegations of fraud; 6 concerned allegations of entitlement fraud; 5 concerned allegations of sexual exploitation and/or abuse; 1 concerned allegations of theft; and 1 concerned allegations of threat and physical assault. Additional details regarding those cases, as requested in paragraph 32 of resolution 74/181, are contained in annex I to the present report.

Updates from States on the status of their efforts to investigate and, as appropriate, prosecute cases, as well as assistance requested from the Secretariat

86. In accordance with paragraph 20 of resolution 74/181, the Secretariat requested the States to which cases were referred to keep the United Nations informed of any action taken by national authorities in relation to such cases, and followed up on cases for which it did not receive a response from the Member State concerned. As of the date of preparation of the present report, the Secretariat has been informed in 9 cases of actions taken in relation to referrals made during the reporting period. Annex I also reflects the responses received from States during the reporting period in relation to referrals made in previous reporting periods.

Information on the nature of the allegations and information received from States on all referrals since 1 July 2007

87. Annex I to the present report contains information on referrals of credible allegations to States of nationality pursuant to resolution 62/63 and subsequent resolutions on the criminal accountability of United Nations officials and experts on mission. It also contains information and updates received from States in response to such referrals since 1 July 2007, and specific categories of information relating to the credible allegations referred. In addition, annex I contains the dates of follow-up requests sent by the Secretariat to such States, as requested by the General Assembly in paragraph 32 of resolution 74/181.

Notifications received from States with respect to investigations or prosecutions of crimes allegedly committed by United Nations officials or experts on mission

88. In paragraph 19 of resolution 74/181, the General Assembly requested the Secretary-General to seek, from all States that had notified the United Nations with respect to investigations or prosecutions of crimes allegedly committed by United

Nations officials or experts on mission, updates on the status of their investigations or prosecutions, provided that such updates would not prejudice national investigations or national proceedings. In accordance with paragraphs 19 and 32 of resolution [74/181](#), annex II to the present report provides information on 27 investigations or prosecutions concerning United Nations officials or experts on mission of which the Organization was notified during the reporting period, and as to which the State concerned did not object to their inclusion in the present report. Annex II also includes information on one case from the previous reporting period that was not included in the previous report ([A/74/145](#)), because the information on that case was not yet available during the preparation of that report.

Possible use by States exercising jurisdiction of information from United Nations investigations

89. In paragraph 22 of resolution [74/181](#), the General Assembly requested the United Nations, when its investigations into allegations suggested that crimes of a serious nature might have been committed by United Nations officials or experts on mission, to consider any appropriate measures that might facilitate the possible use of information and material for purposes of criminal proceedings initiated by States, bearing in mind due process considerations. In the same vein, in paragraph 24 of resolution [74/181](#), the Assembly urged the United Nations to continue to cooperate with States exercising jurisdiction in order to provide them, within the framework of the relevant rules of international law and agreements governing activities of the United Nations, with information and material for purposes of criminal proceedings initiated by States.

90. In that regard, it is important to recall that the legal framework within which the referrals are made by the United Nations and the role of the Secretary-General have been outlined in a previous report of the Secretary-General on criminal accountability of United Nations officials and experts on mission (see [A/63/260](#), sect. IV).

91. The United Nations cooperates with law enforcement and judicial authorities of relevant Member States in accordance with its rights and obligations under the Charter of the United Nations and the Convention on the Privileges and Immunities of the United Nations, adopted by the General Assembly on 13 February 1946, as well as other relevant international agreements and applicable legal principles. Accordingly, the Organization will disclose documents and/or information and waive immunity on a case-by-case basis where, in the opinion of the Secretary-General, immunity would impede the course of justice and can be waived without prejudice to the interests of the United Nations. Consequently, information obtained by the United Nations may be provided to the relevant authorities and documents may be shared, subject to considerations of confidentiality and privileges and immunities. Documents may be redacted where necessary. It should be noted that, since the United Nations does not have any criminal investigative or prosecutorial jurisdiction, the use of any documents or information provided by the United Nations, including their admissibility in any legal proceedings, is a matter for determination by the relevant judicial authorities to whom such documents or information have been provided.

Protection of United Nations officials and experts on mission from retaliation

92. For information responding to paragraphs 23 and 25 of resolution [74/181](#), the situation remains the same as reported in previous reports of the Secretary-General (see, for example, [A/70/208](#), paras. 34–35). In addition, a revised Secretary-General's bulletin on protection against retaliation for reporting misconduct and for cooperating with duly authorized audits or investigations ([ST/SGB/2017/2/Rev.1](#)) was issued on 28 November 2017.

VIII. Practical measures to strengthen existing predeployment training and vetting measures

93. The Secretary-General continues to expect that United Nations personnel selected by the Organization have and maintain the highest standards of integrity. To that effect, external applicants for staff positions are required to report any criminal record they may have, while current staff members must also report to the Organization when convicted of a criminal offence. Similarly, the Secretariat continues to request that Member States providing personnel for United Nations field missions certify that those personnel have no criminal records or records of past misconduct. For its part, the Organization will verify its own records for instances of misconduct during prior assignments with the United Nations. For field missions, this practice has been in place for a number of years and all personnel, whether civilian, military or police, are subject to this vetting. Technical enhancements are being made to provide the capability to similarly conduct this vetting on prior misconduct for personnel across the Secretariat. Information available to the Secretariat is also entered in the ClearCheck database put in place for records of sexual exploitation and abuse, as well as sexual harassment, from which information is shared between United Nations entities.

94. As personnel join the Organization, the Secretariat continues to ensure that they are made fully aware of the Organization's expectations that they will abide by United Nations standards of conduct, including the obligation to respect host countries' laws. To that effect, it continues to make available to Member States training materials for predeployment training, which include specific information on the need to comply with national laws and possible consequences for failure to do so. This material is available in different formats, including a mandatory e-learning course on sexual exploitation and abuse with different delivery options for use in national training programmes. The Secretariat has and continues to engage with national and international peacekeeping training institutions, civil society and government institutions that contribute to preparing civilian, military and police personnel for service in United Nations field missions. The Secretariat also continues to provide induction training on arrival for new personnel in field missions, as well as refresher training, and to otherwise raise the awareness of personnel regarding the United Nations standards of conduct, through various communication methods.

Annex I

Additional information on the nature of the allegations and information received from States on all referrals since 1 July 2007

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
1	2008	2007/08	UNMIL	Sexual exploitation and abuse	Alleged rape of a minor	No information received from Member State	No	No information received from Member State	None
2	2008	2007/08	UNOPS	Corruption/fraud	Alleged procurement fraud through the execution of a bid-rigging scheme	No information received from Member State	No	No information received from Member State	None
3	2008	2007/08	UNMIS	Sexual abuse	Alleged rape and physical assault of an adult	No information received from Member State	No	No information received from Member State	None
4	2009	2008/09	UNMIT	Vehicular crime	Alleged driving while intoxicated resulting in one injury and one death	Investigation was initiated by Member State. No further information was received	Yes	No information received from Member State	None
5	2009	2008/09	UNOCI	Counterfeiting	Alleged knowing possession of counterfeit currency and making of verbal threats	No information received from Member State	No	No information received from Member State	None
6	2009	2008/09	UNHCR	Corruption/fraud	Alleged embezzlement by using a fraudulent cheque to withdraw funds from a United Nations bank account	No information received from Member State	No	No information received from Member State	None
7	2009	2008/09	UNFPA	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of salary payments to a private account	No information received from Member State	No	No information received from Member State	None
8	2009	2008/09	UNOPS	Corruption/fraud	Alleged embezzlement and fraudulent use of funds designated for donor-funded projects	Investigation initiated by Member State	No	No information received from Member State	None

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
9	2009	2009/10	MONUC	Smuggling	Alleged illicit purchase and smuggling of uncut diamonds	No information received from Member State	No	No information received from Member State	None
10	2010	2009/10	Secretariat	Theft/firearms violation	Alleged theft of a United Nations firearm and violation of a firearms possession law	No information received from Member State	No	No information received from Member State	None
11	2010	2009/10	Secretariat	Entitlement fraud	Alleged submission of false claims for United Nations rental subsidy allowances	No information received from Member State	No	No information received from Member State	2 March 2012
12	2010	2009/10	UNIFEM	Corruption/fraud	Alleged embezzlement through the fraudulent diversion of United Nations project assets to private accounts	Investigation and prosecution of non-United Nations personnel connected to case initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
13	2010	2009/10	Secretariat	Entitlement fraud/visa fraud	Alleged submission of fraudulent applications for visas and dependency entitlements	No information received from Member State	No	No information received from Member State	6 December 2011; response received from Member State acknowledging receipt of referral and/or seeking further information
14	2010	2010/11	MONUC	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of a minor	No information received from Member State	No	No information received from Member State	2 March 2012
15	2010	2010/11	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
16	2010	2010/11	UNOCI	Physical assault/firearms violation	Alleged physical assault and unlawful brandishing of a firearm	No information received from Member State	No	No information received from Member State	None
17	2010	2010/11	UNMIT	Theft/corruption/fraud	Alleged fraudulent transfer of funds to a private account using wire transfer	No information received from Member State	No	No information received from Member State	14 October 2011

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
18	2010	2010/11	MINUSTAH	Sexual exploitation and abuse/physical assault	Alleged sexual exploitation and abuse of a minor; alleged physical assault of a minor	Disciplined by Member State's police. Criminal case was dismissed by national authorities	No	No information received from Member State	14 October 2011
19	2010	2010/11	UNFPA	Theft	Alleged theft of United Nations fuel	No information received from Member State	No	No information received from Member State	14 October 2011
20	2011	2010/11	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	United Nations informed of action taken by Member State
21	2011	2010/11	UNMIL	Corruption/fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	14 October 2011
22	2011	2011/12	UNAMI	Entitlement fraud	Alleged submission of fraudulent claims for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	3 January 2012
23	2011	2011/12	UNOCI	Corruption/fraud	Alleged submission of fraudulent fuel invoices	No information received from Member State	No	No information received from Member State	24 July 2012
24	2012	2011/12	UNICEF	Corruption/fraud	Alleged embezzlement of United Nations funds through the forgery of fraudulent payment vouchers	No information received from Member State	No	No information received from Member State	24 July 2012
25	2012	2011/12	UNDP	Corruption/fraud	Alleged acceptance of bribery payments and manipulation of a procurement process	No information received from Member State	No	No information received from Member State	26 June 2012
26	2012	2011/12	UNICEF	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of salary payments	Investigation initiated by Member State	No	No information received from Member State	17 December 2012

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
27	2012	2011/12	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations dental insurance provider	No information received from Member State	No	No information received from Member State	19 December 2012; response received from Member State acknowledging receipt of referral and/or seeking further information
28	2012	2011/12	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations dental insurance provider	No information received from Member State	No	No information received from Member State	19 December 2012; response received from Member State acknowledging receipt of referral and/or seeking further information
29	2012	2011/12	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations dental insurance provider	No information received from Member State	No	No information received from Member State	19 December 2012; response received from Member State acknowledging receipt of referral and/or seeking further information
30	2012	2011/12	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations dental insurance provider	No information received from Member State	No	No information received from Member State	19 December 2012; response received from Member State acknowledging receipt of referral and/or seeking further information
31	2012	2011/12	WFP	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of salary payments	No information received from Member State	No	No information received from Member State	20 December 2012
32	2012	2012/13	MINUSTAH	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
33	2012	2012/13	UNEP	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent diversion of vendor payments	No information received from Member State	No	No information received from Member State	United Nations fully recovered its losses
34	2012	2012/13	UNDP	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of payments to private accounts	No information received from Member State	No	No information received from Member State	7 January 2013
35	2012	2012/13	UNDP	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of payments to private accounts	No information received from Member State	No	No information received from Member State	7 January 2013
36	2012	2012/13	UNDP	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of payments to private accounts	No information received from Member State	No	No information received from Member State	7 January 2013
37	2012	2012/13	MINUSTAH	Corruption/fraud	Alleged solicitation and acceptance of bribery payments from individuals seeking United Nations employment	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
38	2013	2012/13	UNMIK	Entitlement fraud	Alleged submission of fraudulent claims to the United Nations for reimbursement of education expenses	Member State considered matter and confirmed it would not pursue it	No	No information received from Member State	18 April 2013
39	2013	2012/13	UNDP	Physical assault	Alleged physical assault of an adult	No information received from Member State	No	No information received from Member State	18 April 2013
40	2013	2012/13	UNRWA	Corruption/fraud	Alleged procurement fraud and receipt of bribery payments	Investigation initiated by Member State	No	No information received from Member State	18 April 2013; United Nations informed of action taken by Member State

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
41	2013	2013/14	Secretariat	Attempted solicitation of minors	Alleged attempted solicitation of minors using United Nations information technology and communication resources	No information received from Member State	No	No information received from Member State	Feedback received from Member State
42	2013	2013/14	UNEP	Corruption/fraud	Alleged fraudulent solicitation and diversion of donor funds to a private company	No information received from Member State	No	No information received from Member State	21 August 2014
43	2013	2013/14	UNMIL	Theft	Alleged theft of United Nations tires	No information received from Member State	No	No information received from Member State	15 January 2014
44	2013	2013/14	UNDP	Corruption/fraud	Alleged embezzlement through the fraudulent disbursement of UNDP assets to a private account	No information received from Member State	No	No information received from Member State	4 April 2014; response received from Member State acknowledging receipt of referral and/or seeking further information
45	2013	2013/14	UNSOA	Corruption/fraud	Alleged embezzlement through the fraudulent disbursement of United Nations assets to a private account	No information received from Member State	No	No information received from Member State	21 August 2014
46	2014	2013/14	UNEP	Corruption/fraud	Alleged fraudulent solicitation and diversion of donor funds to a private company	No information received from Member State	No	No information received from Member State	21 August 2014
47	2014	2013/14	Secretariat	Corruption/fraud	Alleged embezzlement of United Nations funds by forging documents to direct expenditure payments to private accounts	No information received from Member State	No	No information received from Member State	21 August 2014
48	2014	2013/14	UNEP	Corruption/fraud	Alleged solicitation and receipt of undue financial and other benefits from donors	No information received from Member State	No	No information received from Member State	21 August 2014

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
49	2014	2013/14	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	10 September 2014
50	2014	2013/14	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	3 October 2014
51	2014	2013/14	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	3 October 2014
52	2014	2013/14	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	3 October 2014
53	2014	2013/14	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	3 October 2014
54	2014	2014/15	UNDP	Corruption/fraud	Alleged solicitation of bribery payments in exchange for confidential information	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
55	2014	2014/15	UNDP	Corruption/fraud	Alleged solicitation of bribery payments from United Nations partners	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
56	2014	2014/15	UNDP	Corruption/fraud	Alleged embezzlement through the fraudulent diversion of UNDP assets to a private account	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
57	2014	2014/15	UNMISS	Corruption/fraud	Alleged procurement fraud by posing as a United Nations procurement officer	No information received from Member State	No	No information received from Member State	16 December 2014

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
58	2014	2014/15	UNHCR	Corruption/fraud	Alleged embezzlement of United Nations funds by cashing fraudulent cheques and preparing irregular financial transactions	No information received from Member State	No	No information received from Member State	28 June 2017
59	2014	2014/15	UNHCR	Corruption/fraud	Alleged embezzlement through the fraudulent diversion of United Nations funds to a private account	No information received from Member State	No	No information received from Member State	8 September 2015
60	2014	2014/15	UNOPS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	23 March 2015
61	2014	2014/15	MINUSTAH	Entitlement fraud	Alleged submission of fraudulent claims to the United Nations for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	United Nations fully recovered its losses
62	2015	2014/15	MONUSCO	Sexual exploitation and abuse	Alleged rape of a minor	No information received from Member State	No	No information received from Member State	13 May 2015
63	2015	2014/15	UNHCR	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent endorsement and cashing of cheques payable to staff members	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
64	2015	2014/15	UNMISS	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent disbursement of salary payments	No information received from Member State	No	No information received from Member State	19 June 2015
65	2015	2014/15	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	4 June 2015

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
66	2015	2014/15	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	17 February 2016
67	2015	2014/15	UNOCI	Distribution of child pornography	Alleged distribution of pornographic images of a minor using a United Nations computer and email account	No information received from Member State	No	No information received from Member State	17 February 2016
68	2015	2014/15	UNHCR	Corruption/fraud	Alleged embezzlement of United Nations funds through the fraudulent withdrawal of vendor-designated assets	Criminal proceedings initiated by Member State	No	No information received from Member State	United Nations informed of action by Member State
69	2015	2014/15	MONUSCO	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of a minor	Criminal proceedings initiated by Member State. Disciplinary action resulted in sanction of reduction in rank. United Nations informed Member State that it does not consider sanction commensurate with act	No	No information received from Member State	14 June 2016
70	2015	2014/15	UNHCR	Corruption/fraud	Alleged embezzlement by using a fraudulent cheque to withdraw funds from a United Nations bank account	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
71	2015	2015/16	UNFPA	Corruption/fraud	Alleged procurement fraud by providing confidential information to a potential vendor	No information received from Member State	No	No information received from Member State	17 February 2016; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
72	2015	2015/16	UNDP	Corruption/fraud	Alleged solicitation of illicit payments from UNDP vendors	No information received from Member State	No	No information received from Member State	13 June 2016
73	2015	2015/16	UNSOA	Corruption/fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	14 January 2016, 20 May 2016, 28 December 2016
74	2015	2015/16	MONUSCO	Corruption/fraud	Alleged procurement fraud by forging and presenting fraudulent purchase orders to potential vendors	No information received from Member State	No	No information received from Member State	17 February 2016, 16 May 2016, 21 November 2016
75	2015	2015/16	Secretariat	Corruption/fraud	Alleged embezzlement of United Nations funds by generating fraudulent payments to private accounts	No information received from Member State	No	No information received from Member State	17 February 2016, 13 June 2016, 1 February 2017
76	2015	2015/16	UNAMID	Sexual exploitation and abuse/physical assault	Alleged sexual and physical assault of an adult	No information received from Member State	No	No information received from Member State	23 February 2016, 28 September 2016, 30 January 2017
77	2015	2015/16	UNMIL	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of a minor	No information received from Member State	No	No information received from Member State	24 March 2016, 28 September 2016, 1 January 2017
78	2016	2015/16	Secretariat	Corruption/fraud/sexual exploitation and abuse	Alleged solicitation and acceptance of bribery payments and soliciting sexual favours; alleged sexual assault of an adult	No information received from Member State	No	No information received from Member State	20 April 2016, 4 August 2016, 1 February 2017
79	2016	2015/16	UNDP	Corruption/fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	20 April 2016, 4 August 2016, 1 February 2017
80	2016	2015/16	UNEP	Physical assault	Alleged physical assault of an adult	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
81	2016	2015/16	UNMIL	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	13 June 2016, 28 September 2016, 23 March 2017
82	2016	2015/16	UNAMID	Physical assault	Alleged physical assault of an adult	National authorities aware of allegations	No	No information received from Member State	13 June 2016; response received from Member State acknowledging receipt of referral and/or seeking further information
83	2016	2015/16	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	8 July 2016, 21 November 2016, 28 February 2017
84	2016	2015/16	MINUSTAH	Corruption/fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
85	2016	2015/16	Secretariat	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
86	2016	2015/16	MINUSTAH	Theft	Alleged theft of United Nations fuel	No information received from Member State	No	No information received from Member State	4 August 2016, 28 December 2016, 27 April 2017; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
87	2016	2015/16	UNMIL	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	28 September 2016, 28 December 2016, 14 July 2017
88	2016	2015/16	Secretariat	Corruption/fraud	Alleged solicitation and acceptance of bribery payments from an individual seeking United Nations employment	No information received from Member State	No	No information received from Member State	21 November 2016, 28 February 2017, 14 July 2017
89	2016	2015/16	Secretariat	Sexual exploitation and abuse	Alleged sexual abuse of an adult	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
90	2016	2016/17	UNMIL	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	21 November 2016, 28 February 2017, 28 August 2017
91	2016	2016/17	MINUSTAH	Theft	Alleged theft of prefabricated buildings	No information received from Member State	No	No information received from Member State	21 November 2016; response received from Member State acknowledging receipt of referral and/or seeking further information
92	2016	2016/17	UNOCI	Corruption/fraud/theft	Alleged solicitation of bribes from individuals seeking United Nations employment; alleged use of fraudulent passes to steal buckets of paint	No information received from Member State	No	No information received from Member State	28 December 2016, 23 March 2017, 7 September 2017
93	2016	2016/17	Secretariat	Entitlement fraud	Alleged submission of fraudulent documents in support of claims for education grant	No information received from Member State	No	No information received from Member State	28 December 2016, 23 March 2017, 30 October 2017

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
94	2016	2016/17	UNDP	Entitlement fraud	Alleged entitlements fraud, misrepresentation, forgery and false certification and misuse of UNDP resources	Investigation initiated by Member State	No	No information received from Member State	1 February 2017, 31 May 2017, 26 September 2017
95	2016	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	1 February 2017, 31 May 2017, 27 October 2017; response received from Member State acknowledging receipt of referral and/or seeking further information
96	2016	2016/17	UNMISS	Theft	Alleged theft of United Nations air conditioning units	No information received from Member State	No	No information received from Member State	1 February 2017, 31 May 2017, 27 October 2017; response received from Member State acknowledging receipt of referral and/or seeking further information
97	2016	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	1 February 2017, 31 May 2017, 27 October 2017; response received from Member State acknowledging receipt of referral and/or seeking further information
98	2016	2016/17	MONUSCO	Corruption/fraud	Alleged fraudulent solicitation of funds for visas; alleged fraudulent misrepresentation of authority to sell United Nations vehicle	No information received from Member State	No	No information received from Member State	28 February 2017, 31 May 2017, 30 October 2017

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
99	2016	2016/17	UNHCR	Corruption/fraud	Alleged fraudulent acquisition and use of a diplomatic identity card to purchase a personal vehicle	Member State indicated that it does not have jurisdiction	No	Member State indicated that it does not have jurisdiction	28 February 2017, 31 May 2017, 29 November 2017
100	2016	2016/17	UNHCR	Corruption/fraud	Alleged fraudulent acquisition and use of a diplomatic identity card to purchase a personal vehicle	No information received from Member State	No	No information received from Member State	28 February 2017, 31 May 2017, 15 December 2017
101	2016	2016/17	UNHCR	Corruption/fraud	Alleged fraudulent request and receipt of cash payments from a bank in connection with UNHCR currency conversion transactions	No information received from Member State	No	No information received from Member State	28 February 2017, 31 May 2017, 29 November 2017
102	2016	2016/17	MONUSCO	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	23 March 2017, 7 September 2017, 15 December 2017
103	2016	2016/17	UNFPA	Corruption/fraud	Alleged collusion with two companies to submit fraudulent procurement documents and manipulate the award of UNFPA contracts	No information received from Member State	No	No information received from Member State	23 March 2017, 14 July 2017, 15 December 2017
104	2016	2016/17	OHCHR	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
105	2017	2016/17	Secretariat	Entitlement fraud	Alleged submission of fraudulent claims for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	26 April 2017, 28 August 2017, 31 January 2018; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
106	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	27 April 2017, 28 August 2017, 31 January 2018; response received from Member State acknowledging receipt of referral and/or seeking further information
107	2017	2016/17	Secretariat	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	Investigation initiated by Member State	No	No information received from Member State	Response received from Member State confirming that it does not intend to take action given ongoing investigation by another Member State
108	2017	2016/17	UN-Women	Entitlement fraud	Alleged submission of forged documents in support of an entitlement claim	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
109	2017	2016/17	UNDP	Sexual exploitation and abuse	Alleged sexual abuse of adults	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
110	2017	2016/17	MONUSCO	Corruption/fraud	Alleged fraudulent fabrication of United Nations documents to purchase vehicle batteries, tires and stationery	No information received from Member State	No	No information received from Member State	31 May 2017, 7 September 2017, 28 February 2018
111	2017	2016/17	MONUSCO	Sexual exploitation and abuse	Alleged sexual abuse of a minor	Investigation / proceedings initiated by Member State	No	No information received from Member State	31 May 2017, 12 September 2017, 6 February 2018

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
112	2017	2016/17	UNHCR	Corruption/fraud	Alleged fraud and corruption connected to the issuance of humanitarian services to refugees	Investigation initiated by Member State	No	No information received from Member State	14 July 2017; United Nations informed of action taken by Member State
113	2017	2016/17	UNDP	Corruption/fraud	Alleged solicitation of illicit payments from UNDP vendors	No information received from Member State	No	No information received from Member State	31 May 2017, 28 August 2017, 28 February 2018
114	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018; response received from Member State acknowledging receipt of referral and/or seeking further information
115	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018; response received from Member State acknowledging receipt of referral and/or seeking further information
116	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
117	2017	2016/17	UNAMA	Entitlement fraud	Alleged submission of fraudulent claims for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018; response received from Member State acknowledging receipt of referral and/or seeking further information
118	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018; response received from Member State acknowledging receipt of referral and/or seeking further information
119	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018; response received from Member State acknowledging receipt of referral and/or seeking further information
120	2017	2016/17	UNMISS	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	14 July 2017, 27 October 2017, 29 March 2018; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
121	2017	2016/17	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	27 April 2018; response received from Member State acknowledging receipt of referral and/or seeking further information
122	2017	2016/17	UNON	Assault	Alleged assault of an individual and threats with service firearm	No information received from Member State	No	No information received from Member State	28 August 2017, 29 November 2017, 31 May 2018
123	2017	2016/17	UNDP	Corruption/fraud	Alleged theft and embezzlement of United Nations funds	Investigation initiated by Member State	No	No information received from Member State	28 August 2017; 31 January 2018; United Nations informed of action taken by Member State
124	2017	2016/17	UNHCR	Corruption/fraud	Alleged submission of fraudulent travel invoices and embezzlement of funds	No information received from Member State	No	No information received from Member State	26 September 2017; response received from Member State acknowledging receipt of referral and/or seeking further information
125	2017	2017/18	UNOCI	Sexual exploitation and abuse	Alleged sexual abuse of a minor	No information received from Member State	No	No information received from Member State	27 October 2017, 31 January 2018, 13 March 2018, 31 July 2018, 18 December 2018
126	2017	2017/18	UNOPS	Corruption/fraud	Alleged misappropriation of United Nations funds intended for a United Nations vendor	No information received from Member State	No	No information received from Member State	29 November 2017, 28 February 2018, 28 September 2018
127	2017	2017/18	Secretariat	Theft	Alleged theft of United Nations printer toner cartridges	No information received from Member State	No	No information received from Member State	29 November 2017, 28 February 2018, 28 September 2018

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128	2017	2017/18	UN-Habitat	Corruption/fraud	Alleged forgery of United Nations documents to fraudulently obtain a United Nations identification and a visa	No information received from Member State	No	No information received from Member State	29 November 2017, 28 February 2018, 28 September 2018
129	2017	2017/18	UNOCI	Threat of assault	Alleged threat through the brandishing of a firearm	Investigation initiated by Member State	No	No information received from Member State	28 February 2018; United Nations informed of action taken by Member State
130	2017	2017/18	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	28 February 2018, 31 May 2018, 3 December 2018
131	2017	2017/18	MINUSTAH	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	28 February 2018, 31 May 2018, 3 December 2018
132	2017	2017/18	UNAMID	Corruption/fraud	Alleged procurement fraud through the forgery and award of contracts to companies associated with relatives	No information received from Member State	No	No information received from Member State	28 February 2018, 31 May 2018, 3 December 2018; response received from Member State acknowledging receipt of referral and/or seeking further information
133	2017	2017/18	MONUSCO	Corruption/fraud	Alleged forgery of United Nations travel documents and submission to national authorities to fraudulently obtain a visa	No information received from Member State	No	No information received from Member State	28 February 2018, 31 May 2018; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
134	2017	2017/18	UNHCR	Corruption/fraud	Alleged corruption connected to the provision of humanitarian services to refugees	Investigation initiated by Member State	No	No information received from Member State	28 February 2018; United Nations informed of action taken by Member State
135	2017	2017/18	MONUSCO	Corruption/fraud	Alleged forgery of a United Nations document and fraudulent submission to national authorities	No information received from Member State	No	No information received from Member State	29 March 2018, 29 June 2018, 3 January 2019
136	2018	2017/18	Secretariat	Corruption/fraud	Alleged fraudulent solicitation of third-party goods	No information received from Member State	No	No information received from Member State	27 April 2018, 31 July 2018, 31 January 2019
137	2018	2017/18	MONUSCO	Sexual exploitation and abuse	Alleged rape of a minor	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
138	2018	2017/18	MONUSCO	Sexual exploitation and abuse	Alleged sexual abuse of a minor	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
139	2018	2017/18	UNJSPF	Corruption/fraud/drug crime	Alleged trafficking and sale of drugs; alleged forgery of United Nations documentation	No information received from Member State	No	No information received from Member State	29 June 2018, 28 September 2018, 29 March 2019
140	2018	2017/18	MONUSCO	Sexual exploitation and abuse/corruption/fraud	Alleged fraudulent solicitation of sexual favours; alleged bribery of a national official	No information received from Member State	No	No information received from Member State	29 June 2018, 28 September 2018, 5 March 2019, 14 June 2019
141	2018	2017/18	UNDP	Corruption/fraud	Alleged customs fraud	No information received from Member State	No	No information received from Member State	29 June 2018, 28 September 2018, 29 March 2019; response received from Member State acknowledging receipt of referral and/or seeking further information

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142	2018	2017/18	UNIOGBIS	Sexual exploitation and abuse/physical assault	Alleged sexual abuse of two minors; alleged physical assault of two minors	Investigation/criminal proceedings initiated by Member State	No	No information received from Member State	31 July 2018, 5 November 2018
143	2018	2017/18	UNFPA	Corruption/fraud	Alleged procurement fraud and receipt of bribery payments	No information received from Member State	No	No information received from Member State	31 July 2018, 5 November 2018, 30 April 2019
144	2018	2017/18	UNOPS	Corruption/fraud	Alleged extortion of United Nations officials	No information received from Member State	No	No information received from Member State	28 September 2018, 3 December 2018, 3 June 2019
145	2018	2017/18	UNHCR	Sexual exploitation and abuse	Alleged sexual abuse of an adult	No information received from Member State	No	No information received from Member State	28 September 2018, 3 January 2019, 17 June 2019
146	2018	2017/18	MINUSMA	Sexual exploitation and abuse	Alleged sexual abuse of an adult	Investigation initiated by Member State	No	No information received from Member State	28 September 2018, 3 January 2019; United Nations informed of action taken by Member State
147	2018	2017/18	MONUSCO	Sexual exploitation and abuse	Alleged sexual abuse of an adult	No information received from Member State	No	No information received from Member State	28 September 2018, 3 January 2019, 3 April 2019; response received from Member State acknowledging receipt of referral and/or seeking further information
148	2018	2017/18	UNAMA	Physical assault	Alleged physical assault and making of verbal threats	No information received from Member State	No	No information received from Member State	28 September 2018; response received from Member State acknowledging receipt of referral and/or seeking further information

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149	2018	2018/19	UNOPS	Corruption/fraud	Alleged forgery of a United Nations document with the intention of submitting it to national authorities	No information received from Member State	No	No information received from Member State	5 November 2018, 31 January 2019, 31 July 2019
150	2018	2018/19	UNAMI	Theft	Alleged theft of property held by United Nations	No information received from Member State	No	No information received from Member State	5 November 2018, 31 January 2019; response received from Member State acknowledging receipt of referral and/or seeking further information
151	2018	2018/19	UNON	Fraud	Alleged fraud through inducement of a third party to enter into a fraudulent United Nations contract and make related payments into a private account	No information received from Member State	No	No information received from Member State	3 January 2019, 29 March 2019, 30 September 2019
152	2018	2018/19	UN-Habitat	Fraud	Alleged fraud through inducement of a third party to enter into a fraudulent United Nations contract and make related payments into a private account	No information received from Member State	No	No information received from Member State	3 January 2019, 29 March 2019, 30 September 2019
153	2018	2018/19	MONUSCO	Sexual exploitation and abuse	Alleged sexual abuse of an adult	Criminal proceedings and disciplinary proceedings initiated by Member State	No	No information received from Member State	3 January 2019, 29 March 2019; United Nations informed of action taken by Member State
154	2018	2018/19	UNHCR	Sexual exploitation and abuse	Alleged sexual abuse and exploitation of an adult and making of verbal threats connected to the issuance of humanitarian services to refugees	No information received from Member State	No	No information received from Member State	3 January 2019, 29 March 2019; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
155	2018	2018/19	UNMIK	Theft	Alleged improper disclosure of confidential information	No information received from Member State	No	No information received from Member State	31 January 2019, 30 April 2019, 31 October 2019
156	2018	2018/19	UN-Women	Sexual exploitation and abuse	Alleged sexual abuse of an adult	No information received from Member State	No	No information received from Member State	31 January 2019, 30 April 2019, 11 November 2019
157	2019	2018/19	RSCE	Entitlement fraud	Alleged submission of fraudulent claims to the United Nations for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	30 April 2019, 31 July 2019, 29 May 2020
158	2019	2018/19	UNHCR	Corruption/fraud	Alleged fraud and corruption connected to the issuance of humanitarian services to refugees	No information received from Member State	No	No information received from Member State	Case under consideration pending follow-up
159	2019	2018/19	UNHCR	Theft	Alleged theft of United Nations fuel	No information received from Member State	No	No information received from Member State	11 June 2019, 31 October 2019, 7 May 2020
160	2019	2018/19	MINUSCA	Corruption/fraud	Alleged solicitation and acceptance of bribery payments from United Nations partners	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
161	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
162	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
163	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
164	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
165	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
166	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
167	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
168	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
169	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
170	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
171	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
172	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
173	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
174	2019	2018/19	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
175	2019	2018/19	UNON	Theft	Alleged theft of property from the UNON commissary	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
176	2019	2018/19	UNON	Theft	Alleged theft of property from the UNON commissary	No information received from Member State	No	No information received from Member State	3 June 2019, 5 September 2019, 26 February 2020
177	2019	2018/19	UN-Habitat	Sexual exploitation and abuse	Alleged sexual abuse of an adult	No information received from Member State	No	No information received from Member State	3 April 2019; response received from Member State acknowledging receipt of referral and/or seeking further information
178	2019	2018/19	UNDP	Fraud	Alleged procurement fraud to acquire goods with UNDP funds and divert those goods for financial benefit, including for the benefit of a company associated with a relative	Criminal proceedings initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
179	2019	2018/19	UNMIL	Fraud	Alleged embezzlement of United Nations funds for the procurement and subsequent fraudulent diversion of goods	No information received from Member State	No	No information received from Member State	17 June 2019, 30 September 2019, 31 March 2020
180	2019	2018/19	MONUSCO	Entitlement fraud	Alleged forgery of United Nations documents and submission of false claims for United Nations daily subsistence allowance	No information received from Member State	No	No information received from Member State	17 June 2019, 30 September 2019, 31 March 2020
181	2019	2018/19	UNHCR	Corruption/fraud	Alleged fraud, corruption and extortion connected to the issuance of humanitarian services to refugees	No information received from Member State	No	No information received from Member State	5 September 2019, 2 December 2019
182	2019	2018/19	UNOPS	Fraud	Alleged embezzlement of United Nations funds through the issuance of fraudulent payment orders and forgery of vendor documents	No information received from Member State	No	No information received from Member State	5 September 2019, 2 December 2019

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
183	2019	2018/19	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	5 September 2019; response received from Member State acknowledging receipt of referral and/or seeking further information
184	2019	2018/19	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	5 September 2019; response received from Member State acknowledging receipt of referral and/or seeking further information
185	2019	2018/19	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	5 September 2019; response received from Member State acknowledging receipt of referral and/or seeking further information
186	2019	2018/19	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	5 September 2019; response received from Member State acknowledging receipt of referral and/or seeking further information
187	2019	2018/19	UNDP	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	5 September 2019; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
188	2019	2018/19	UN-Women	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	Investigation initiated by Member State	No	No information received from Member State	5 September 2019; United Nations informed of action taken by Member State
189	2019	2018/19	UN-Women	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	Investigation initiated by Member State	No	No information received from Member State	5 September 2019; United Nations informed of action taken by Member State
190	2019	2018/19	UNOPS	Fraud	Alleged fraud through concurrent employment with two different United Nations agencies	Investigation initiated by Member State	No	No information received from Member State	30 September 2019, 31 December 2019; United Nations informed of action taken by Member State
191	2019	2019/20	MINUSMA	Threat and physical assault	Alleged death threats with service firearm and physical assault of a (former) domestic partner	Investigation initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
192	2019	2019/20	UNODC	Fraud	Alleged procurement fraud	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
193	2019	2019/20	UNODC	Theft	Alleged theft through the improper use of fuel cards	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information
194	2019	2019/20	UNEP	Entitlement fraud	Alleged education grand fraud	Investigation initiated by Member State	No	No information received from Member State	31 October 2019; United Nations informed of action taken by Member State

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
195	2019	2019/20	UN-Women	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	31 October 2019, 30 January 2020
196	2019	2019/20	UN-Women	Fraud	Alleged fraud through inducement of a third party to enter into a fraudulent United Nations contract and make related payment into a private account	No information received from Member State	No	No information received from Member State	31 October 2019, 30 January 2020
197	2019	2019/20	UN-Women	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	31 October 2019, 30 January 2020
198	2019	2019/20	UNOPS	Corruption/fraud	Alleged solicitation and acceptance of bribery payments/alleged forgery of a United Nations employment letter	No information received from Member State	No	No information received from Member State	2 December 2019, 26 February 2020; response received from Member State acknowledging receipt of referral and/or seeking further information
199	2019	2019/20	MINURSO	Entitlement fraud	Alleged submission of fraudulent claims to the United Nations for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	2 December 2019, 26 February 2020
200	2019	2019/20	UNOPS	Corruption/fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	2 December 2019, 26 February 2020
201	2019	2019/20	MINUSMA	Entitlement fraud	Alleged submission of fraudulent claims to the United Nations for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	Response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
202	2019	2019/20	UNHCR	Corruption/fraud	Alleged solicitation and acceptance of bribery payments	No information received from Member State	No	No information received from Member State	2 December 2019, 26 February 2020
203	2019	2019/20	UNIOGBIS	Sexual exploitation and abuse	Alleged sexual abuse of an adult	Investigation initiated by Member State	No	No information received from Member State	31 December 2019, 31 March 2020; United Nations informed of action taken by Member State
204	2019	2019/20	UNOPS	Fraud	Alleged submission of fraudulent documents in support of a visa application	No information received from Member State	No	No information received from Member State	30 January 2020, 7 May 2020
205	2019	2019/20	UN-Women	Fraud	Alleged procurement fraud	No information received from Member State	No	No information received from Member State	26 February 2020, 29 May 2020; response received from Member State acknowledging receipt of referral and/or seeking further information
206	2019	2019/20	UNDP	Corruption/fraud/theft	Alleged embezzlement of United Nations funds through the fraudulent disbursement of payments	No information received from Member State	No	No information received from Member State	31 March 2020
207	2019	2019/20	UNHCR	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of an adult	No information received from Member State	No	Member State indicated that it could not initiate legal action in the absence of a complaint from the victim, and that the fact that the alleged crimes had occurred outside of its jurisdiction posed additional difficulties, both in terms of	31 March 2020; Member State informed United Nations that it was not in a position to initiate legal action at the current juncture

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
								gathering evidence and in having access to the victim	
208	2019	2019/20	UNHCR	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of an adult	Investigation initiated by Member State	No	No information received from Member State	31 March 2020; United Nations informed of action taken by Member State
209	2019	2019/20	UNHCR	Corruption/fraud/theft	Alleged embezzlement of UNHCR funds	Criminal proceedings initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
210	2019	2019/20	UNHCR	Corruption/fraud/theft	Alleged embezzlement of UNHCR funds	Criminal proceedings initiated by Member State	No	No information received from Member State	United Nations informed of action taken by Member State
211	2020	2019/20	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	Investigation initiated by Member State	No	No information received from Member State	7 May 2020; response received from Member State acknowledging receipt of referral and/or seeking further information
212	2020	2019/20	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	Investigation initiated by Member State	No	No information received from Member State	7 May 2020; response received from Member State acknowledging receipt of referral and/or seeking further information
213	2020	2019/20	MINUSMA	Fraud	Alleged fraud through the inducement of a United Nations fuel vendor to inflate fuel prices and diversion of the excess United Nations payments to private accounts	Investigation initiated by Member State	No	No information received from Member State	7 May 2020; response received from Member State acknowledging receipt of referral and/or seeking further information

<i>Case No.</i>	<i>Referral year</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime(s)</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation/prosecution</i>	<i>Request for waiver of immunity of the individual(s) concerned?</i>	<i>Information received on jurisdictional or evidentiary obstacles</i>	<i>Follow-up date(s)</i>
214	2020	2019/20	MONUSCO	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received from Member State	No	No information received from Member State	29 May 2020
215	2020	2019/20	UNFPA	Corruption/fraud	Alleged engagement in collusive and corrupt practices and making threats	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
216	2020	2019/20	UNSOM	Fraud	Alleged forgery of employment letter for the purpose of obtaining a mortgage	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
217	2020	2019/20	UNMISS	Fraud	Alleged submission of fraudulent claims to the United Nations for reimbursement of education expenses	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
218	2020	2019/20	UNISFA	Sexual exploitation and abuse	Alleged sexual exploitation of an adult	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed
219	2020	2019/20	MONUSCO	Sexual exploitation and abuse and physical assault	Alleged sexual exploitation and physical assault of an adult	No information received from Member State	No	No information received from Member State	3 months from referral date not yet elapsed

Abbreviations: MINURSO, United Nations Mission for the Referendum in Western Sahara; MINUSCA, United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic; MINUSMA, United Nations Multidimensional Integrated Stabilization in Mali; MINUSTAH, United Nations Stabilization Mission in Haiti; MONUC, United Nations Organization Mission in the Democratic Republic of the Congo; MONUSCO, United Nations Organization Stabilization Mission in the Democratic Republic of the Congo; OHCHR, Office of the United Nations High Commissioner for Human Rights; RSCE, Regional Service Centre in Entebbe; UNAMA, United Nations Assistance Mission in Afghanistan; UNAMI, United Nations Assistance Mission for Iraq; UNAMID, African Union-United Nations Hybrid Operation in Darfur; UNDP, United Nations Development Programme; UNEP, United Nations Environment Programme; UNFPA, United Nations Population Fund; UN-Habitat, United Nations Human Settlements Programme; UNHCR, Office of the United Nations High Commissioner for Refugees; UNICEF, United Nations Children's Fund; UNIFEM, United Nations Development Fund for Women; UNISFA, United Nations Interim Security Force for Abyei; UNJSPF, United Nations Joint Staff Pension Fund; UNIOGBIS, United Nations Integrated Peacebuilding Office in Guinea-Bissau; UNMIK, United Nations Interim Administration Mission in Kosovo; UNMIL, United Nations Mission in Liberia; UNMIS, United Nations Mission in the Sudan; UNMISS, United Nations Mission in South Sudan; UNMIT, United Nations Integrated Mission in Timor-Leste; UNOCI, United Nations Operation in Côte d'Ivoire; UNODC, United Nations Office on Drugs and Crime; UNON, United Nations Office at Nairobi; UNOPS, United Nations Office for Project Services; UNRWA, United Nations Relief and Works Agency for Palestine Refugees in the Near East; UNSOA, United Nations Support Office for the African Union Mission in Somalia; UNSOM, United Nations Assistance Mission in Somalia; UN-Women, United Nations Entity for Gender Equality and the Empowerment of Women; WFP, World Food Programme.

Annex II

Notifications received from States with respect to investigations or prosecutions of crimes allegedly committed by United Nations officials or experts on mission since 1 July 2016

<i>Number^a</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation or prosecutorial or disciplinary action</i>	<i>Request for waiver of immunity of the individual(s) concerned?^b</i>	<i>Information received on jurisdictional or evidentiary obstacles to prosecution</i>
1	2016/17	UN-Habitat	Sexual exploitation and abuse	Alleged sexual exploitation and abuse of minors	Investigation concluded with no charges	Yes	No information received
2	2016/17	ICTR	Death threat	Alleged written death threats	No information received; case considered inactive	No	No information received
3	2016/17	Secretariat	Entitlement fraud	Alleged submission of false claims for medical reimbursement to a United Nations health insurance provider	No information received; case considered inactive	No	No information received
4	2016/17	MINUSCA/UNIFIL	Unknown	Unknown	No information received; case considered inactive	No	No information received
5	2016/17	MINUSTAH	Theft/gang activities/firearms violation	Alleged association with gang involved in the theft of vehicles, and violation of a firearms possession law	Investigation and/or prosecution ongoing	Yes	No information received
6	2016/17	MONUSCO	Fraud	Alleged fraudulent sale of property and issuance of bounced cheques	Investigation and/or prosecution ongoing	Yes	No information received
7	2016/17	MONUSCO	Trafficking of endangered species	Alleged trafficking of endangered species (ivory)	Convicted and sentenced	Yes	No information received
8	2016/17	United Nations Mission in Colombia	Sexual exploitation and abuse	Alleged sexual harassment of adult who rejected solicitation of sexual favours	Investigation concluded with no charges	Yes	No information received
9	2016/17	United Nations Mission in Colombia	Threat of physical assault	Alleged threats made against adult upon termination of their relationship	Investigation concluded with no charges	Yes	No information received
10	2016/17	UNIOGBIS	Sexual exploitation and abuse	Alleged sexual assault and rape of minor	Investigation and/or prosecution ongoing	No	No information received
11	2016/17	UNMIL	Theft/fraud	Alleged theft of monies and fraud arising out of non-payment of debts	Investigation and/or prosecution ongoing	Yes	No information received
12	2016/17	UNMIL	Non-payment of debt	Alleged non-payment of maintenance and child support	Claim dropped by complainant	No	No information received
13	2016/17	UNMIL	Non-payment of debt	Alleged paternity dispute and non-payment of maintenance and child support	Investigation and/or prosecution ongoing	No	No information received

<i>Number^a</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation or prosecutorial or disciplinary action</i>	<i>Request for waiver of immunity of the individual(s) concerned?^b</i>	<i>Information received on jurisdictional or evidentiary obstacles to prosecution</i>
14	2016/17	UNMIL	Non-payment of debt	Alleged non-payment of maintenance and child support	Convicted	No	No information received
15	2016/17	UNMISS	Sedition	Alleged subversive activities involving the provision of support to opposition forces	Charges dropped	No	No information received
16	2016/17	UNMISS	Vehicular crime	Alleged road traffic accident	Charges dropped	No	No information received
17	2016/17	UNMISS	Adultery	Alleged extramarital affair	Fine paid	No	No information received
18	2016/17	UNAMA	Vehicular crime	Alleged road traffic accident resulting in vehicular manslaughter	Convicted and sentenced	No	No information received
19	2016/17	Department of Safety and Security	Sexual exploitation and abuse	Alleged sexual abuse of minor	Investigation ongoing	Yes	No information received
20	2016/17	IRMCT	Sexual exploitation and abuse	Alleged sexual abuse of minors	Investigations concluded with no charges	No	No information received
21	2016/17	UNFCCC	Sexual exploitation and abuse	Alleged rape of an adult	Convicted and sentenced	No	No information received
22	2016/17	Secretariat	Vehicular crime	Alleged speeding	Judgment delivered	Yes	No information received
23	2016/17	Secretariat	Vehicular crime	Alleged failure to respect pedestrian right of way and driving without a proper licence	Judgment delivered	Yes	No information received
24	2016/17	UNITAR	Vehicular crime	Alleged failure to respect right of way at intersection causing injury	Judgment delivered	Yes	No information received
25	2016/17	UNICEF	Vehicular crime	Alleged speeding	Judgment delivered	Yes	No information received
26	2016/17	UNIFIL	Drug crime	Alleged use of illegal drugs	Investigation closed	No	No information received
27	2016/17	UNIFIL	Fraud/forgery	Alleged fraudulent use of a forged government document	Charges dropped	No	No information received
28	2017/18	MONUSCO	Sexual exploitation and abuse	Alleged rape of minor	Investigation ongoing	Yes	No information received
29	2017/18	MONUSCO	Fraud	Alleged non-payment of debt	No information received	No	No information received

<i>Number^a</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation or prosecutorial or disciplinary action</i>	<i>Request for waiver of immunity of the individual(s) concerned?^b</i>	<i>Information received on jurisdictional or evidentiary obstacles to prosecution</i>
30	2017/18	MONUSCO	Accessory to murder	Alleged accessory to murder	Investigation and/or prosecution ongoing	No	No information received
31	2017/18	MONUSCO	Accessory to murder	Alleged accessory to murder	Investigation and/or prosecution ongoing	No	No information received
32	2017/18	MONUSCO	Death threat	Alleged death threats	Investigation and/or prosecution ongoing	No	No information received
33	2017/18	MONUSCO	Fraud	Alleged non-payment of debt	No information received	No	No information received
34	2017/18	MONUSCO	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
35	2017/18	UNIOGBIS	Vehicular crime	Alleged road traffic accident resulting in vehicular manslaughter	Investigation and/or prosecution ongoing	No	No information received
36	2017/18	UNAMA	Consumption of alcohol/physical assault	Alleged consumption of alcohol and physical assault of police officers	Convicted and sentenced	No	No information received
37	2017/18	UNAMID	Terrorism/threat to national security	Alleged terrorism and threatening national security	Investigation and/or prosecution ongoing	No	No information received
38	2017/18	UNMIL	Theft/fraud	Alleged property fraud	Convicted of contempt of court; prosecution for fraud case ongoing	No	No information received
39	2017/18	UNMIL	Theft/fraud	Alleged participation in sale of stolen property	Claim dropped by complainant	No	No information received
40	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
41	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
42	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
43	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made partial payment of debt	No	No information received
44	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made full payment of debt	No	No information received
45	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made full payment of debt	No	No information received

<i>Number^a</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation or prosecutorial or disciplinary action</i>	<i>Request for waiver of immunity of the individual(s) concerned?^b</i>	<i>Information received on jurisdictional or evidentiary obstacles to prosecution</i>
46	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
47	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made partial payment of debt	No	No information received
48	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made full payment of debt	No	No information received
49	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made partial payment of debt	No	No information received
50	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made partial payment of debt	No	No information received
51	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made partial payment of debt	No	No information received
52	2017/18	UNMIL	Fraud	Alleged non-payment of debt	Unknown; defendant has made partial payment of debt	No	No information received
53	2017/18	UNMISS	Sexual exploitation and abuse	Alleged sexual exploitation of an adult	Case considered inactive	No	No information received
54	2017/18	UNHCR	Vehicular crime	Alleged speeding	Judgment delivered	Yes	No information received
55	2017/18	Secretariat	Vehicular crime	Alleged speeding	Judgment delivered	Yes	No information received
56	2017/18	UNFPA	Sexual exploitation and abuse	Alleged sexual exploitation of an adult	Investigation ongoing	No	No information received
57	2017/18	OSASG-Cyprus	Vehicular crime	Use of a motor vehicle without a certificate and road tax licence	Fine paid	No	No information received
58	2017/18	UNMISS	Fraud	Alleged fraudulent sale of third-party property	Amicable settlement; case withdrawn	No	No information received
59	2017/18	UNMISS	Insult to modesty of a woman	Alleged circulation of false information about the status of a relationship with a woman	Investigation ongoing	Yes	No information received
60	2017/18	MONUSCO	Unknown	Unknown	Investigation ongoing	No	No information received
61	2017/18	MONUSCO	Child abandonment and failure to assist a person in danger	Alleged child abandonment and failure to assist a person in danger	Investigation ongoing	No	No information received

<i>Number^a</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation or prosecutorial or disciplinary action</i>	<i>Request for waiver of immunity of the individual(s) concerned?^b</i>	<i>Information received on jurisdictional or evidentiary obstacles to prosecution</i>
62	2017/18	MONUSCO	Violation of road safety regulations	Alleged alteration of road markings	Unknown	No	No information received
63	2017/18	MONUSCO	Disturbances to public order	Alleged participation in militia activities, arson and disturbances to public order	Investigation and/or prosecution ongoing	No	No information received
64	2017/18	MONUSCO	Fraud	Alleged fraudulent sale of property	Investigation and/or prosecution ongoing	Yes	No information received
65	2017/18	MONUSCO	Wrongful detention and imputation	Alleged wrongful detention and imputation	Investigation and/or prosecution ongoing	No	No information received
66	2017/18	MONUSCO	Arbitrary arrest and wrongful imputation	Alleged arbitrary arrest and wrongful imputation	Investigation and/or prosecution ongoing	No	No information received
67	2017/18	UNRWA	Fraud	Alleged forgery and use of forged documents	Investigation ongoing	No	No information received
68	2017/18	UNRWA	Drug crime	Alleged possession and use of illegal drugs	Unknown	No	No information received
69	2017/18	UNRWA	Counterfeiting	Alleged criminal counterfeiting	Convicted and sentenced	No	No information received
70	2017/18	UNRWA	Drug crime	Alleged possession and use of illegal drugs	Convicted and sentenced	No	No information received
71	2017/18	UNRWA	Vehicular crime	Driving of a motor vehicle resulting in injury to a person	Investigation ongoing	No	No information received
72	2017/18	MINURSO	Sexual exploitation and abuse	Alleged sexual assault of an adult	Investigation ongoing	No	No information received
73	2017/18	MONUSCO	Sexual harassment	Alleged sexual harassment of an adult	Investigation concluded with no charges	No	No information received
74	2017/18	Secretariat	Corruption	Alleged receipt of bribery payments	Investigation ongoing	No	No information received
75	2018/19	MONUSCO	Adultery	Alleged extramarital affair	Convicted and sentenced; pending appeal	No	No information received
76	2018/19	MONUSCO	Unknown	Unknown	Investigation and/or prosecution ongoing	No	No information received
77	2018/19	MONUSCO	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
78	2018/19	MONUSCO	Fraud	Alleged fraudulent property acquisition	Investigation and/or prosecution ongoing	No	No information received

<i>Number^a</i>	<i>Reporting period</i>	<i>United Nations entity</i>	<i>Type of crime</i>	<i>Summary of allegations</i>	<i>Information received on status of investigation or prosecutorial or disciplinary action</i>	<i>Request for waiver of immunity of the individual(s) concerned?^b</i>	<i>Information received on jurisdictional or evidentiary obstacles to prosecution</i>
79	2018/19	MONUSCO	Fraud	Alleged non-payment of debt	Unknown; defendant has made full payment of debt.	No	No information received
80	2018/19	MONUSCO	Fraud	Alleged issuance of cheque without sufficient funds	Investigation and/or prosecution ongoing	No	No information received
81	2018/19	MONUSCO	Sedition	Alleged illegal association with armed groups	Investigation and/or prosecution ongoing	Yes	No information received
82	2018/19	MONUSCO	Fraud	Alleged fraud associated with implementation of United Nations project	Investigation and/or prosecution ongoing	Yes	No information received
83	2018/19	MONUSCO	Fraud	Alleged fraud associated with implementation of United Nations project	Charges dropped	Yes	No information received
84	2018/19	MONUSCO	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	Yes	No information received
85	2018/19	MONUSCO	Illegal occupation of property	Alleged illegal occupation of property	Judgment delivered	No	No information received
86	2018/19	MONUSCO	Harassment	Alleged taking of unauthorized photographs of a person	Amicable settlement; case withdrawn	No	No information received
87	2018/19	MONUSCO	Fraud/forgery	Alleged fraudulent sale of property and use of forged documents	Investigation and/or prosecution ongoing	No	No information received
88	2018/19	MONUSCO	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
89	2018/19	MONUSCO	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
90	2018/19	MONUSCO	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
91	2018/19	MONUSCO	Physical assault/fraud	Alleged involuntary bodily harm and failure to pay the hospital bill of the victim	Amicable settlement; case withdrawn	Yes	No information received
92	2018/19	MONUSCO	Fraud	Alleged non-payment of debt	Investigation and/or prosecution ongoing	No	No information received
93	2018/19	MONUSCO	Physical assault	Alleged physical assault of an adult	Prosecution ongoing	No	No information received
94	2018/19	MONUSCO	Physical assault	Alleged physical assault of an adult	Prosecution ongoing	No	No information received
95	2018/19	MONUSCO	Unknown	Unknown	Investigation and/or prosecution ongoing	No	No information received

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96	2018/19	MONUSCO	Fraud	Alleged non-payment of debt	Charges dropped	Yes	No information received
97	2018/19	MINUSMA	Armed robbery	Alleged participation in an armed robbery at a local company	Investigation and/or prosecution ongoing	Yes	No information received
98	2018/19	UNAMID	Sexual exploitation and abuse	Alleged rape of a minor	Convicted and sentenced	Yes	No information received
99	2018/19	Secretariat	Firearms violation	Alleged violation of a firearms possession law and threats with a firearm	Convicted and sentenced	No	No information received
100	2018/19	Secretariat	Vehicular crime	Alleged driving while intoxicated resulting in accident	Convicted and sentenced	Yes	No information received
101	2018/19	UNRWA	Death threat	Alleged threats with a firearm	Convicted and sentenced	No	No information received
102	2018/19	Secretariat	Assault	Alleged physical assault of an adult	Investigation ongoing	Yes	No information received
103	2018/19	Secretariat	Fraud	Alleged fraud through forgery of non-United Nations documents to obtain local government grants	Convicted and sentenced	No	No information received
104	2018/19	UNICEF	Sexual exploitation and abuse	Alleged sexual abuse of a minor	Investigation concluded with no charges	Yes	No information received
105	2018/19	UNDP	Sexual exploitation and abuse	Alleged sexual abuse of a minor	Prosecution ongoing	No	No information received
106	2018/19	MONUSCO	Unknown	Alleged residential dispute	Investigation ongoing	No	No information received
107	2019/20	MONUSCO	Fraud	Alleged non-payment of debt	Investigation ongoing	No	No information received
108	2019/20	MONUSCO	Theft and fraud	Alleged theft and extortion/fraud	Investigation ongoing	No	No information received
109	2019/20	MONUSCO	Non-payment of debt	Alleged non-payment of alimony for children	Investigation ongoing	No	No information received
110	2019/20	MONUSCO	Fraud	Alleged non-payment of debt	Case closed; charges dropped	No	No information received
111	2019/20	MONUSCO	Procuring abortion	Alleged procuring of abortion	Case closed; charges dropped	Yes	No information received

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112	2019/20	MONUSCO	Fraud	Alleged debt-related offence	Investigation ongoing	No	No information received
113	2019/20	MONUSCO	Fraud	Alleged non-payment of debt	Investigation ongoing	No	No information received
114	2019/20	MONUSCO	Theft	Alleged debt-related offence	Investigation ongoing	No	No information received
115	2019/20	MONUSCO	Unknown	Unknown	Investigation ongoing	No	No information received
116	2019/20	MONUSCO	Unknown	Unknown	Investigation ongoing	Yes	No information received
117	2019/20	MONUSCO	Fraud	Alleged issuance of cheque without sufficient funds	Investigation ongoing	Yes	No information received
118	2019/20	MONUSCO	Fraud	Alleged non-payment of debt	Case closed; charges dropped	No	No information received
119	2019/20	MONUSCO	Attempted kidnapping, threat of attack and defamation	Alleged attempted kidnapping, threat of attack and defamation	Investigation ongoing	Yes	No information received
120	2019/20	MONUSCO	Unknown	Unknown	Investigation ongoing	Yes	No information received
121	2019/20	MONUSCO	Unknown	Unknown	Investigation ongoing	No	No information received
122	2019/20	Secretariat	Debt collection crime	Alleged failure to fulfil payment obligations pursuant to a judgement	Debt settled; case closed	Yes	No information received
123	2019/20	UNAMID	Sexual exploitation and abuse	Alleged rape of a minor	Convicted and sentenced	No	No information received
124	2019/20	UNAMID	Sexual exploitation and abuse	Alleged abduction and rape of an adult	Case closed; charges dropped	No	No information received
125	2019/20	MINUSMA	Assault, illegal restraint, torture	Alleged physical assault, illegal restraint, failure to assist a person in danger, abandonment and torture of a minor	Investigation ongoing	Yes	No information received
126	2019/20	UNMISS	Unknown	Unknown	Charges dropped	No	No information received
127	2019/20	UNMISS	Fraud	Alleged non-payment of debt	Amicable settlement; case withdrawn	No	No information received

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128	2019/20	UNMISS	Sexual exploitation and abuse	Alleged rape of a minor	Case closed; charges dropped	No	No information received
129	2019/20	UNMISS	Fraud	Alleged non-payment of debt	Amicable settlement; defendant has made partial payment of debt	No	No information received
130	2019/20	UNMISS	Fraud	Alleged non-payment of debt	Prosecution suspended	No	No information received
131	2019/20	UNIFIL	Assault	Alleged physical assault of domestic partner	Investigation ongoing	Yes	No information received
132	2019/20	Secretariat	Assault	Alleged physical assault of (former) domestic partner	Investigation ongoing	No	No information received
133	2019/20	MINUSTAH	Unknown	Alleged smuggling of persons	Investigation ongoing	No	No information received

Abbreviations: ICTR, International Criminal Tribunal for Rwanda; IRMCT, International Residual Mechanism for Criminal Tribunals; MINURSO, United Nations Mission for the Referendum in Western Sahara; MINUSCA, United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic; MINUSMA, United Nations Multidimensional Integrated Stabilization in Mali; MINUSTAH, United Nations Stabilization Mission in Haiti; MONUSCO, United Nations Organization Stabilization Mission in the Democratic Republic of the Congo; OSASG-Cyprus, Office of the Special Adviser to the Secretary-General on Cyprus; UNAMA, United Nations Assistance Mission in Afghanistan; UNAMID, African Union-United Nations Hybrid Operation in Darfur; UNDP, United Nations Development Programme; UNFCCC, United Nations Framework Convention on Climate Change; UNFPA, United Nations Population Fund; UN-Habitat, United Nations Human Settlements Programme; UNHCR, Office of the United Nations High Commissioner for Refugees; UNICEF, United Nations Children's Fund; UNIFIL, United Nations Interim Force in Lebanon; UNIOGBIS, United Nations Integrated Peacebuilding Office in Guinea-Bissau; UNITAR, United Nations Institute for Training and Research; UNMIL, United Nations Mission in Liberia; UNMISS, United Nations Mission in South Sudan; UNRWA, United Nations Relief and Works Agency for Palestine Refugees in the Near East.

^a Notifications were received with regard to additional cases that Member States did not wish to be included in the present report.

^b A formal determination of whether immunities apply in any given case is undertaken after a request for a waiver has been received from the Member State concerned. For information on United Nations practice in this regard, please refer to the report of the Secretary-General contained in document [A/63/331](#).