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Scale of assessments for the apportionment of the expenses of United Nations peacekeeping operations

Implementation of General Assembly resolutions 55/235 and 55/236

Report of the Secretary-General

Summary

In its resolution 55/235, the General Assembly reaffirmed the general principles underlying the financing of United Nations peacekeeping operations and adopted a new system of adjustments to the scale of assessments for the regular budget to be used in fixing rates of assessment applicable to peacekeeping operations. This system was based on the assignment of each Member State to 1 of 10 contribution levels using, among other criteria, its average per capita gross national product during the period 1993-1998. The Assembly requested the Secretary-General to update the composition of the contribution levels on a triennial basis, in conjunction with the reviews of the scale of assessments for the regular budget, in accordance with the criteria established in the resolution, and to report thereon to the Assembly.

At its sixty-fourth session, in its resolution 64/249, the General Assembly reaffirmed the principles set out in its resolutions 1874 (S-IV), 3101 (XXVIII) and 55/235. In addition, the Assembly recognized the concerns raised by Member States regarding the structure of the levels for the apportionment of the expenses of United Nations peacekeeping operations and decided to review the structure of the levels with a view to a decision, if agreed, no later than at its sixty-seventh session.

At its sixty-seventh session, the General Assembly, in its resolution 67/239, reaffirmed the principles set out in its resolutions 1874 (S-IV), 3101 (XXVIII) and 55/235 and endorsed the updated composition of levels to be applied in adjusting regular budget scale rates to establish Member States' rates of assessment for peacekeeping operations for the period from 2013 to 2015. The Assembly also

* A/70/150.



recognized the need to reform the current methodology for apportioning the expenses of peacekeeping operations and decided to review the structure of the levels during its seventieth session.

Also by its resolution 67/239, the General Assembly requested the Secretary-General to continue updating the composition of the levels on a triennial basis, in conjunction with the regular budget scale of assessment reviews, in accordance with the established criteria, and to report thereon to the Assembly. The present report is submitted in response to that request and provides information on the updating of the composition of contribution levels for peacekeeping operations for the period 2016-2018. Information is provided on changes in the peacekeeping levels of Member States based on average per capita gross national income during the period 2008-2013. These are based on the data used by the Committee on Contributions in reviewing the scale of assessments for the period 2016-2018, which will be considered by the Assembly at its seventieth session.

Until the General Assembly has adopted a new scale, it will not be possible to determine the corresponding rates of assessment for peacekeeping for the period 2016-2018. Furthermore, any adjustments to the structure of contribution levels for peacekeeping operations, as may be decided upon by the Assembly at its seventieth session, would also need to be taken into account in determining the rates of assessment for peacekeeping. For illustrative purposes, however, on the basis of the existing structure of contribution levels, annex III shows the peacekeeping rates of assessment corresponding to the scale of assessments for the period 2016-2018 included for information in the report of the Committee on Contributions.

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I. Introduction

1. By its resolution 1874 (S-IV), the General Assembly established certain principles to be applied to the financing of peacekeeping operations. Subsequently, by its resolution 3101 (XXVIII), the Assembly made ad hoc arrangements for the financing of the United Nations Emergency Force based on those principles. These arrangements involved basing each Member State's rate of assessment for the Force on its rate of assessment for the regular budget, as adjusted on the basis of its assignment to one of four groups. Member States in groups C and D had their regular budget rates reduced by 80 and 90 per cent, respectively; those in group B paid at the same rate; and the permanent members of the Security Council, assigned to group A, paid the difference pro rata to their rates of assessment for the regular budget. This ad hoc formula was applied subsequently, subject to a number of changes over the years to the membership of groups B, C and D.

2. In its resolution 55/235, the General Assembly reaffirmed the principles set out in its resolutions 1874 (S-IV) and 3101 (XXVIII). The Assembly also reaffirmed the following general principles underlying the financing of United Nations peacekeeping operations:

(a) The financing of such operations is the collective responsibility of all States Members of the United Nations and, accordingly, the costs of peacekeeping operations are expenses of the Organization to be borne by Member States in accordance with Article 17, paragraph 2, of the Charter of the United Nations;

(b) In order to meet the expenditures caused by such operations, a different procedure is required from that applied to meet expenditures under the regular budget of the United Nations;

(c) Whereas the economically more developed countries are in a position to make relatively larger contributions to peacekeeping operations, the economically less developed countries have a relatively limited capacity to contribute towards peacekeeping operations involving heavy expenditures;

(d) The special responsibilities of the permanent members of the Security Council for the maintenance of peace and security should be borne in mind in connection with their contributions to the financing of peace and security operations;

(e) Where circumstances warrant, the General Assembly should give special consideration to the situation of any Member States which are victims of, and those which are otherwise involved in, the events or actions leading to a peacekeeping operation.

3. By its resolution 55/235, the General Assembly established a new system of adjustments to regular budget scale rates in determining Member States' rates of assessment for peacekeeping operations. The system was based on a number of criteria, including a comparison of the average per capita gross national product (GNP) of each Member State during the six-year base period used for preparing the scale of assessments with the corresponding average for all Member States. These criteria, which were set out in paragraph 10 of resolution 55/235, were used to place each Member State in one of 10 levels, from A to J. In that regard, the Assembly decided the following:

(a) Assessment rates for the financing of peacekeeping operations should be based on the scale of assessments for the regular budget of the United Nations, with an appropriate and transparent system of adjustments based on levels of Member States, consistent with the principles outlined above;

(b) The permanent members of the Security Council should form a separate level and, consistent with their special responsibilities for the maintenance of peace and security, should be assessed at a higher rate than for the regular budget;

(c) All discounts resulting from adjustments to the regular budget assessment rates of Member States in levels C through J should be borne on a pro rata basis by the permanent members of the Security Council;

(d) The least developed countries should be placed in a separate level and receive the highest rate of discount available under the scale;

(e) The statistical data used for setting the rates of assessment for peacekeeping should be the same as the data used in preparing the regular budget scale of assessments, subject to the provisions of resolution 55/235;

(f) Levels of discount should be created to facilitate automatic, predictable movement between categories on the basis of the per capita gross national product of Member States.

4. In establishing the system, the General Assembly decided, also by its resolution 55/235, that Member States would be assigned to the lowest level of contribution with the highest discount for which they were eligible, unless they indicated a decision to move to a higher level. Transitions for 2001-2003 specified by the Assembly in its resolution 55/236 would occur in equal increments over the transition period and, after 2001-2003, transition periods of two years would apply to countries moving up by two levels and transition periods of three years would apply to countries moving up by three levels or more. The Assembly requested the Secretary-General to update the composition of the levels on a triennial basis, in conjunction with the regular budget scale of assessment reviews, in accordance with the criteria established above, and to report thereon to the Assembly. Lastly, the Assembly decided that the structure of contribution levels to be implemented from 1 July 2001 would be reviewed after nine years.

5. In its resolution 55/236, the General Assembly welcomed decisions by a number of Member States to move voluntarily to higher levels than required under the criteria set out in paragraph 10 of its resolution 55/235.

6. In its resolution 61/243, the General Assembly recalled its decision in resolution 55/235 to review the structure of levels of contribution for peacekeeping operations after nine years and decided to carry out the review at its sixty-fourth session. At that session, the Assembly, in its resolution 64/249, reaffirmed the principles set out in its resolutions 1874 (S-IV), 3101 (XXVIII) and 55/235. The Assembly also endorsed the updated composition of levels to be applied in adjusting regular budget scale rates to establish Member States' rates of assessment for peacekeeping operations for the period 2010-2012, subject to the provisions of that resolution. In addition, the Assembly recognized the concerns raised by Member States, including Bahrain and the Bahamas, regarding the structure of the levels for the apportionment of the expenses of United Nations peacekeeping operations and decided to review the structure of the levels with a view to a decision, if agreed, no later than at its sixty-seventh session.

7. At its sixty-seventh session, the General Assembly, in its resolution 67/239, reaffirmed the principles set out in its resolutions 1874 (S-IV), 3101 (XXVIII) and 55/235 and endorsed the updated composition of levels to be applied in adjusting regular budget scale rates to establish Member States' rates of assessment for peacekeeping operations for the period from 2013 to 2015. The Assembly also recognized the need to reform the current methodology for apportioning the expenses of peacekeeping operations and decided to review the structure of the levels during its seventieth session. By the same resolution, the Assembly requested the Secretary-General to continue updating the composition of the levels on a triennial basis, in conjunction with the regular budget scale of assessment reviews, in accordance with the established criteria, and to report thereon to the Assembly.

8. In previous reports on the implementation of resolutions 55/235 and 55/236,¹ the Secretary-General outlined his understanding of the provisions of the resolutions and how he intended to carry out his responsibilities under resolution 55/235. The present report reflects the understandings outlined in those earlier reports.

II. Composition of levels for the financing of peacekeeping operations

9. The initial composition of the levels used for establishing rates of assessment for peacekeeping operations for the period from 1 July 2001 to 31 December 2003 was listed in the annex to resolution 55/235. The permanent members of the Security Council were included in level A. Member States on the list of the least developed countries were included in level J. Specified Member States were included in level C. The placement of other Member States was based on their average per capita GNP during the six-year base period (data for 1993-1998) used for the scale of assessments for 2001-2003 in relation to the average for all Member States. The thresholds applied were set out in the table below paragraph 10 of resolution 55/235. The initial placement of Member States in levels B and D to I was based on their average per capita GNP (renamed gross national income (GNI)) for the six-year base period 1993-1998 and the related average of all Member States for that period, which was \$4,797.

10. Since 2001, the scale of assessments has been prepared using two base periods, of six and three years. On the basis of the provisions of resolution 55/235 and the practice adopted by the General Assembly for the composition of levels for the period 2001-2003, the Secretary-General has subsequently updated the composition of levels for the periods 2004-2006, 2007-2009, 2010-2012 and 2013-2015 using the average data on GNI for the six-year base period used by the Committee on Contributions in considering the scale of assessments for those periods.

11. The table below summarizes the base periods and the related average per capita GNI of all Member States that have been used in setting the composition of levels since 2001:

¹ See [A/C.5/55/38](#) and Add.1, [A/58/157](#) and Add.1, [A/61/139](#) and Corr.1 and Add.1, [A/64/220](#) and Add.1, and [A/67/224](#) and Add.1.

<i>Scale period</i>	<i>Six-year base period</i>	<i>Average per capita GNI for all Member states</i>
2001-2003	1993-1998	4 797
2004-2006	1996-2001	5 094
2007-2009	1999-2004	5 518
2010-2012	2002-2007	6 708
2013-2015	2005-2010	8 338

12. The General Assembly has not so far decided on the elements of the methodology to be used in preparing the scale of assessments for the period 2016-2018. In the absence of specific guidance from the Assembly with regard to the new scale, the Committee on Contributions, at its seventy-fifth session, in 2015, decided to review the scale of assessments for the period 2016-2018 on the basis of its general mandate under rule 160 of the rules of procedure of the Assembly and the provisions of resolutions 58/1 B, 61/237 and 67/238. In doing so, it agreed on certain conclusions and recommendations with regard to the methodology, reviewed the data provided by the Statistics Division for the period 2008-2013, decided that the market exchange rates of a number of Member States should be adjusted and provided, for information, machine scales showing the results of applying to the GNI data for 2008-2013 the methodology used in preparing the scale of assessments for the period 2013-2015.

13. In updating the composition of levels of contribution for peacekeeping operations for the period 2016-2018, the Secretary-General was guided by the provisions of General Assembly resolutions 55/235, 55/236 and 67/239, his understanding of the mandates set out therein as outlined in his earlier reports and the practice adopted by the Assembly in fixing the composition of levels for earlier periods. Accordingly, data for the six-year period 2008-2013 were used in updating the composition of levels for the period 2016-2018, and the corresponding thresholds are shown in annex I to the present report. These are based on the provisions of resolution 55/235 and each Member State's average per capita GNI for 2008-2013 in relation to the membership average of \$9,861.

14. In setting out his understanding of the implementation of resolutions 55/235 and 55/236 (see [A/C.5/55/38](#), paras. 13 and 16), the Secretary-General indicated that, since there were no criteria specified for inclusion in level C, the countries listed under level C in the annex to resolution 55/235 would remain in level C at least until the review of the structure of levels at the main part of the sixty-fourth session of the General Assembly. At that session, the Assembly adopted resolution 64/249. On the basis of the understanding at the time of the adoption of that resolution, as an exception, the Bahamas and Bahrain were treated as level C contributors for the period 2010-2012.² By its resolution 67/239, the Assembly endorsed the updated composition of levels to be applied in adjusting regular budget scale rates to establish Member States' rates of assessment for peacekeeping

² Before the adoption of resolution 64/249, the Chair of the Fifth Committee and the President of the General Assembly stated that it was the understanding of the Assembly that, as an exception, the Bahamas and Bahrain would be treated as level C contributors for the scale of assessments for the period 2010-2012. See [A/C.5/64/SR.22](#) and [A/64/PV.68](#).

operations for the period 2013-2015.³ For the period 2016-2018, the illustrative information set out in the present report reflects level C on the basis of the composition specified in the annex to resolution 55/235.

15. On the basis of the above, and prior to the application of any phasing or voluntary movements, Antigua and Barbuda would move down from level F to level G, Argentina would move up from level I to level G, Brazil would move up from level I to level H, Chile would move up from level H to level G, Croatia would move down from level F to level G, Hungary would move down from level F to level G, Latvia would move up from level G to level F, Mexico would move down from level H to level I, Nauru would move up from level I to level H, Saint Kitts and Nevis would move down from level F to level G, Samoa would move up from level J to level I (following its graduation from the group of least developed countries), Saudi Arabia would move up from level E to level B, Seychelles would move down from level G to level H, Trinidad and Tobago would move down from E to level F and Uruguay would move up from level I to level G.

16. Under the provisions of resolution 55/235, the upward movements of Argentina, Saudi Arabia and Uruguay are subject to the appropriate transitional periods. These have been applied in the manner outlined in the earlier report of the Secretary-General ([A/C.5/55/38](#)) and are reflected in annex II to the present report.

III. Rates of assessment for the financing of peacekeeping operations

17. As reflected in annex II to the present report, the composition of levels of contribution for peacekeeping operations for 2016-2018 has been updated in accordance with the provisions of resolution 55/235. The updated composition of levels, subject to adjustments arising from the General Assembly's review of the structure of contribution levels, would be used together with the scale of assessments for 2016-2018 to establish each Member State's peacekeeping rate of assessment. The Assembly will consider the scale of assessments for the period 2016-2018 at its seventieth session. Until it has adopted a new scale, it will not be possible to determine the corresponding rates of assessment for peacekeeping for the period 2016-2018.

18. For illustrative purposes, however, annex III to the present report shows the peacekeeping rates of assessment (correct to four decimal places) corresponding to the scale of assessments for the period 2016-2018 included for information in the report of the Committee on Contributions ([A/70/11](#), para. 98).

³ At the time of the adoption of General Assembly resolution 67/239, the Chair of the Fifth Committee and the President of the General Assembly stated that it was the understanding of the Assembly that, on an exceptional basis for the 2013-2015 scale period only, three countries in level B, the Bahamas, Bahrain and Oman, would be afforded discounts of 7.5 per cent to their assessment rates, that Oman would forgo its transition period and that those discounts would not cause the aggregate assessment rates for countries in level A over the scale period to exceed their aggregate effective rates as contained in annex III to the report of the Secretary-General on the implementation of General Assembly resolutions 55/235 and 55/236 ([A/67/224](#)). See [A/C.5/67/SR.22](#) and [A/67/PV.62](#).

IV. Conclusions

19. The General Assembly may wish to take note of the present report and decide on the structure of levels of contribution for peacekeeping operations and the composition for the period 2016-2018.

Annex I

Peacekeeping levels, based on average per capita gross national income of Member States and other factors

<i>Level</i>	<i>Criterion</i>	<i>Threshold in United States dollars (2016-2018)</i>	<i>Discount (percentage)</i>
A	Permanent members of the Security Council	Not applicable	Premium
B	All Member States, except those covered below and level A	Not applicable	0
C	As listed in the annex to General Assembly resolution 55/235	Not applicable	7.5
D	Member States with per capita GNI less than 2 times the average for all Member States (except level A, C and J contributors)	Under 19,722	20
E	Member States with per capita GNI less than 1.8 times the average for all Member States (except level A, C and J contributors)	Under 17,750	40
F	Member States with per capita GNI less than 1.6 times the average for all Member States (except level A, C and J contributors)	Under 15,778	60
G	Member States with per capita GNI less than 1.4 times the average for all Member States (except level A, C and J contributors)	Under 13,805	70
H	Member States with per capita GNI less than 1.2 times the average for all Member States (except level A, C and J contributors)	Under 11,833	80 (or 70 on a voluntary basis) ^a
I	Member States with per capita GNI less than the average for all Member States (except level A, C and J contributors)	Under 9,861	80
J	Least developed countries (except level A and C contributors)	Not applicable	90

^a Member States in level H* have a discount of 70 per cent.

Annex II

Implementation of General Assembly resolutions 55/235 and 55/236, 2016-2018

Member State	Level in 2015	Voluntary level during 2015 if different	Level in 2016-2018 based on data for 2008-2013	Assumed voluntary level in 2016-2018 if different	Percentage of regular budget rates payable		
					2016	2017	2018
Afghanistan	J		J		10	10	10
Albania	I		I		20	20	20
Algeria	I		I		20	20	20
Andorra	B		B		100	100	100
Angola	J		J		10	10	10
Antigua and Barbuda	F		G		30	30	30
Argentina	I		G ^c		25	30	30
Armenia	I		I		20	20	20
Australia	B		B		100	100	100
Austria	B		B		100	100	100
Azerbaijan	I		I		20	20	20
Bahamas	B ^a		B		100	100	100
Bahrain	B ^a		B		100	100	100
Bangladesh	J		J		10	10	10
Barbados	F		F		40	40	40
Belarus	I		I		20	20	20
Belgium	B		B		100	100	100
Belize	I		I		20	20	20
Benin	J		J		10	10	10
Bhutan	J		J		10	10	10
Bolivia (Plurinational State of)	I		I		20	20	20
Bosnia and Herzegovina	I		I		20	20	20
Botswana	I		I		20	20	20
Brazil	I		H		20	20	20
Brunei Darussalam	C		C		92.5	92.5	92.5
Bulgaria	I	H ^{*b}	I	H ^{*b}	30	30	30
Burkina Faso	J		J		10	10	10
Burundi	J		J		10	10	10
Cabo Verde	I		I		20	20	20
Cambodia	J		J		10	10	10
Cameroon	I		I		20	20	20
Canada	B		B		100	100	100
Central African Republic	J		J		10	10	10
Chad	J		J		10	10	10

Member State	Level in 2015	Voluntary level during 2015 if different	Level in 2016-2018 based on data for 2008-2013	Assumed voluntary level in 2016-2018 if different	Percentage of regular budget rates payable		
					2016	2017	2018
Chile	H		G		30	30	30
China	A		A		100+	100+	100+
Colombia	I		I		20	20	20
Comoros	J		J		10	10	10
Congo	I		I		20	20	20
Costa Rica	I		I		20	20	20
Côte d'Ivoire	I		I		20	20	20
Croatia	F		G		30	30	30
Cuba	I		I		20	20	20
Cyprus	B		B		100	100	100
Czech Republic	D		D		80	80	80
Democratic People's Republic of Korea	I		I		20	20	20
Democratic Republic of the Congo	J		J		10	10	10
Denmark	B		B		100	100	100
Djibouti	J		J		10	10	10
Dominica	I		I		20	20	20
Dominican Republic	I		I		20	20	20
Ecuador	I		I		20	20	20
Egypt	I		I		20	20	20
El Salvador	I		I		20	20	20
Equatorial Guinea	J		J		10	10	10
Eritrea	J		J		10	10	10
Estonia	E	B	E	B	100	100	100
Ethiopia	J		J		10	10	10
Fiji	I		I		20	20	20
Finland	B		B		100	100	100
France	A		A		100+	100+	100+
Gabon	I		I		20	20	20
Gambia	J		J		10	10	10
Georgia	I		I		20	20	20
Germany	B		B		100	100	100
Ghana	I		I		20	20	20
Greece	B		B		100	100	100
Grenada	I		I		20	20	20
Guatemala	I		I		20	20	20
Guinea	J		J		10	10	10
Guinea-Bissau	J		J		10	10	10
Guyana	I		I		20	20	20

Member State	Level in 2015	Voluntary level during 2015 if different	Level in 2016-2018 based on data for 2008-2013	Assumed voluntary level in 2016-2018 if different	Percentage of regular budget rates payable		
					2016	2017	2018
Haiti	J		J		10	10	10
Honduras	I		I		20	20	20
Hungary	F		G		30	30	30
Iceland	B		B		100	100	100
India	I		I		20	20	20
Indonesia	I		I		20	20	20
Iran (Islamic Republic of)	I		I		20	20	20
Iraq	I		I		20	20	20
Ireland	B		B		100	100	100
Israel	B		B		100	100	100
Italy	B		B		100	100	100
Jamaica	I		I		20	20	20
Japan	B		B		100	100	100
Jordan	I		I		20	20	20
Kazakhstan	I		I		20	20	20
Kenya	I		I		20	20	20
Kiribati	J		J		10	10	10
Kuwait	C		C		92.5	92.5	92.5
Kyrgyzstan	I		I		20	20	20
Lao People's Democratic Republic	J		J		10	10	10
Latvia	G		F		40	40	40
Lebanon	I		I		20	20	20
Lesotho	J		J		10	10	10
Liberia	J		J		10	10	10
Libya	G		G		30	30	30
Liechtenstein	B		B		100	100	100
Lithuania	G		G		30	30	30
Luxembourg	B		B		100	100	100
Madagascar	J		J		10	10	10
Malawi	J		J		10	10	10
Malaysia	I		I		20	20	20
Maldives	I		I		20	20	20
Mali	J		J		10	10	10
Malta	B		B		100	100	100
Marshall Islands	I		I		20	20	20
Mauritania	J		J		10	10	10
Mauritius	I		I		20	20	20
Mexico	H		I		20	20	20

Member State	Level in 2015	Voluntary level during 2015 if different	Level in 2016-2018 based on data for 2008-2013	Assumed voluntary level in 2016-2018 if different	Percentage of regular budget rates payable		
					2016	2017	2018
Micronesia (Federated States of)	I		I		20	20	20
Monaco	B		B		100	100	100
Mongolia	I		I		20	20	20
Montenegro	I		I		20	20	20
Morocco	I		I		20	20	20
Mozambique	J		J		10	10	10
Myanmar	J		J		10	10	10
Namibia	I		I		20	20	20
Nauru	I		H		20	20	20
Nepal	J		J		10	10	10
Netherlands	B		B		100	100	100
New Zealand	B		B		100	100	100
Nicaragua	I		I		20	20	20
Niger	J		J		10	10	10
Nigeria	I		I		20	20	20
Norway	B		B		100	100	100
Oman	B ^a		B		100	100	100
Pakistan	I		I		20	20	20
Palau	I		I		20	20	20
Panama	I		I		20	20	20
Papua New Guinea	I		I		20	20	20
Paraguay	I		I		20	20	20
Peru	I		I		20	20	20
Philippines	I		I		20	20	20
Poland	G		G		30	30	30
Portugal	B		B		100	100	100
Qatar	C		C		92.5	92.5	92.5
Republic of Korea	B		B		100	100	100
Republic of Moldova	I		I		20	20	20
Romania	I	H ^{*b}	I	H ^{*b}	30	30	30
Russian Federation	A		A		100+	100+	100+
Rwanda	J		J		10	10	10
Saint Kitts and Nevis	F		G		30	30	30
Saint Lucia	I		I		20	20	20
Saint Vincent and the Grenadines	I		I		20	20	20
Samoa	J		I		20	20	20
San Marino	B		B		100	100	100
Sao Tome and Principe	J		J		10	10	10

Member State	Level in 2015	Voluntary level during 2015 if different	Level in 2016-2018 based on data for 2008-2013	Assumed voluntary level in 2016-2018 if different	Percentage of regular budget rates payable		
					2016	2017	2018
Saudi Arabia	E		B ^d		73.33	86.66	100
Senegal	J		J		10	10	10
Serbia	I		I		20	20	20
Seychelles	G		H		20	20	20
Sierra Leone	J		J		10	10	10
Singapore	C		C		92.5	92.5	92.5
Slovakia	E		E		60	60	60
Slovenia	B		B		100	100	100
Solomon Islands	J		J		10	10	10
Somalia	J		J		10	10	10
South Africa	I		I		20	20	20
South Sudan	J		J		10	10	10
Spain	B		B		100	100	100
Sri Lanka	I		I		20	20	20
Sudan	J		J		10	10	10
Suriname	I		I		20	20	20
Swaziland	I		I		20	20	20
Sweden	B		B		100	100	100
Switzerland	B		B		100	100	100
Syrian Arab Republic	I		I		20	20	20
Tajikistan	I		I		20	20	20
Thailand	I		I		20	20	20
The former Yugoslav Republic of Macedonia	I		I		20	20	20
Timor-Leste	J		J		10	10	10
Togo	J		J		10	10	10
Tonga	I		I		20	20	20
Trinidad and Tobago	E		F		40	40	40
Tunisia	I		I		20	20	20
Turkey	H		H		20	20	20
Turkmenistan	I		I		20	20	20
Tuvalu	J		J		10	10	10
Uganda	J		J		10	10	10
Ukraine	I		I		20	20	20
United Arab Emirates	C		C		92.5	92.5	92.5
United Kingdom of Great Britain and Northern Ireland	A		A		100+	100+	100+
United Republic of Tanzania	J		J		10	10	10
United States of America	A		A		100+	100+	100+

Member State	Level in 2015	Voluntary level during 2015 if different	Level in 2016-2018 based on data for 2008-2013	Assumed voluntary level in 2016-2018 if different	Percentage of regular budget rates payable		
					2016	2017	2018
Uruguay	I		G ^c		25	30	30
Uzbekistan	I		I		20	20	20
Vanuatu	J		J		10	10	10
Venezuela (Bolivarian Republic of)	H		H		20	20	20
Viet Nam	I		I		20	20	20
Yemen	J		J		10	10	10
Zambia	J		J		10	10	10
Zimbabwe	I		I		20	20	20

^a At the time of the adoption of General Assembly resolution 67/239, the Chair of the Fifth Committee and the President of the General Assembly stated that it was the understanding of the Assembly that, on an exceptional basis for the 2013-2015 scale period only, three countries in level B, the Bahamas, Bahrain and Oman, would be afforded discounts of 7.5 per cent to their assessment rates, that Oman would forgo its transition period and that those discounts would not cause the aggregate assessment rates for countries in level A over the scale period to exceed their aggregate effective rates as contained in annex III to the report of the Secretary-General on the implementation of General Assembly resolutions 55/235 and 55/236 (A/67/224). See A/C.5/67/SR.22 and A/67/PV.62.

^b Member States that moved voluntarily up to level H paid at 30 per cent of their regular budget, and it is assumed that this will continue.

^c Two-year phasing for Member States moving up.

^d Three-year phasing for Member States moving up.

Annex III

**Effective rates of assessment for peacekeeping operations,
1 January 2016 to 31 December 2018, based on the results
of the application of the methodology used in preparing
the scale of assessments for the period 2013-2015 to gross
national income data for the period 2008-2013^a**

Member State	Effective rate in 2015	Regular budget 2016-2018	Effective rates		
			2016	2017	2018
Level A					
China	6.6368	7.921	10.2855	10.2478	10.2192
France	7.2105	4.859	6.3094	6.2863	6.2688
Russian Federation	3.1431	3.088	4.0098	3.9951	3.9839
United Kingdom of Great Britain and Northern Ireland	6.6768	4.463	5.7952	5.7740	5.7579
United States of America	28.3626	22.000	28.5671	28.4625	28.3830
Total A	52.0299	42.331	54.9670	54.7657	54.6129
Level B					
Andorra	0.0080	0.006	0.0060	0.0060	0.0060
Australia	2.0740	2.337	2.3370	2.3370	2.3370
Austria	0.7980	0.720	0.7200	0.7200	0.7200
Bahamas	0.0157	0.014	0.0140	0.0140	0.0140
Bahrain	0.0361	0.044	0.0440	0.0440	0.0440
Belgium	0.9980	0.885	0.8850	0.8850	0.8850
Canada	2.9840	2.921	2.9210	2.9210	2.9210
Cyprus	0.0470	0.043	0.0430	0.0430	0.0430
Denmark	0.6750	0.584	0.5840	0.5840	0.5840
Estonia	0.0400	0.038	0.0380	0.0380	0.0380
Finland	0.5190	0.456	0.4560	0.4560	0.4560
Germany	7.1410	6.389	6.3890	6.3890	6.3890
Greece	0.6380	0.471	0.4710	0.4710	0.4710
Iceland	0.0270	0.023	0.0230	0.0230	0.0230
Ireland	0.4180	0.335	0.3350	0.3350	0.3350
Israel	0.3960	0.430	0.4300	0.4300	0.4300
Italy	4.4480	3.748	3.7480	3.7480	3.7480
Japan	10.8330	9.680	9.6800	9.6800	9.6800
Liechtenstein	0.0090	0.007	0.0070	0.0070	0.0070
Luxembourg	0.0810	0.064	0.0640	0.0640	0.0640
Malta	0.0160	0.016	0.0160	0.0160	0.0160
Monaco	0.0120	0.010	0.0100	0.0100	0.0100

Member State	Effective rate in 2015	Regular budget 2016-2018	Effective rates		
			2016	2017	2018
Netherlands	1.6540	1.482	1.4820	1.4820	1.4820
New Zealand	0.2530	0.268	0.2680	0.2680	0.2680
Norway	0.8510	0.849	0.8490	0.8490	0.8490
Oman	0.0944	0.113	0.1130	0.1130	0.1130
Portugal	0.4740	0.392	0.3920	0.3920	0.3920
Republic of Korea	1.9940	2.039	2.0390	2.0390	2.0390
San Marino	0.0030	0.003	0.0030	0.0030	0.0030
Slovenia	0.1000	0.084	0.0840	0.0840	0.0840
Spain	2.9730	2.443	2.4430	2.4430	2.4430
Sweden	0.9600	0.956	0.9560	0.9560	0.9560
Switzerland	1.0470	1.140	1.1400	1.1400	1.1400
Total B	42.6172	38.990	38.9900	38.9900	38.9900
Transition to B					
Saudi Arabia	0.5184	1.146	0.8404	0.9932	1.1460
Total Transition to B	0.5184	1.146	0.8404	0.9932	1.1460
Level C					
Brunei Darussalam	0.0241	0.029	0.0268	0.0268	0.0268
Kuwait	0.2525	0.285	0.2636	0.2636	0.2636
Qatar	0.1933	0.269	0.2488	0.2488	0.2488
Singapore	0.3552	0.447	0.4135	0.4135	0.4135
United Arab Emirates	0.5504	0.604	0.5587	0.5587	0.5587
Total C	1.3755	1.634	1.5115	1.5115	1.5115
Level D					
Czech Republic	0.3088	0.344	0.2752	0.2752	0.2752
Total D	0.3088	0.344	0.2752	0.2752	0.2752
Level E					
Slovakia	0.1026	0.160	0.0960	0.0960	0.0960
Total E	0.1026	0.160	0.0960	0.0960	0.0960
Level F					
Barbados	0.0032	0.007	0.0028	0.0028	0.0028
Latvia	0.0141	0.050	0.0200	0.0200	0.0200
Trinidad and Tobago	0.0264	0.034	0.0136	0.0136	0.0136
Total F	0.0437	0.091	0.0364	0.0364	0.0364

Member State	Effective rate in 2015	Regular budget 2016-2018	Effective rates		
			2016	2017	2018
Level G					
Antigua and Barbuda	0.0008	0.002	0.0006	0.0006	0.0006
Chile	0.0668	0.399	0.1197	0.1197	0.1197
Croatia	0.0504	0.099	0.0297	0.0297	0.0297
Hungary	0.1064	0.161	0.0483	0.0483	0.0483
Libya	0.0426	0.125	0.0375	0.0375	0.0375
Lithuania	0.0219	0.072	0.0216	0.0216	0.0216
Poland	0.2763	0.841	0.2523	0.2523	0.2523
Saint Kitts and Nevis	0.0004	0.001	0.0003	0.0003	0.0003
Total G	0.5656	1.700	0.5100	0.5100	0.5100
Transition to G					
Argentina	0.0864	0.892	0.2230	0.2676	0.2676
Uruguay	0.0104	0.079	0.0198	0.0237	0.0237
Total Transition to G	0.0968	0.9710	0.2428	0.2913	0.2913
Level H*					
Bulgaria	0.0141	0.045	0.0135	0.0135	0.0135
Romania	0.0678	0.184	0.0552	0.0552	0.0552
Total H*	0.0819	0.229	0.0687	0.0687	0.0687
Level H					
Brazil	0.5868	3.823	0.7646	0.7646	0.7646
Nauru	0.0002	0.001	0.0002	0.0002	0.0002
Seychelles	0.0003	0.001	0.0002	0.0002	0.0002
Turkey	0.2656	1.018	0.2036	0.2036	0.2036
Venezuela (Bolivarian Republic of)	0.1254	0.571	0.1142	0.1142	0.1142
Total H	0.9783	5.414	1.0828	1.0828	1.0828
Level I					
Albania	0.0020	0.008	0.0016	0.0016	0.0016
Algeria	0.0274	0.161	0.0322	0.0322	0.0322
Armenia	0.0014	0.006	0.0012	0.0012	0.0012
Azerbaijan	0.0080	0.060	0.0120	0.0120	0.0120
Belarus	0.0112	0.056	0.0112	0.0112	0.0112
Belize	0.0002	0.001	0.0002	0.0002	0.0002
Bolivia (Plurinational State of)	0.0018	0.012	0.0024	0.0024	0.0024
Bosnia and Herzegovina	0.0034	0.013	0.0026	0.0026	0.0026
Botswana	0.0034	0.014	0.0028	0.0028	0.0028
Cabo Verde	0.0002	0.001	0.0002	0.0002	0.0002

Member State	Effective rate in 2015	Regular budget 2016-2018	Effective rates		
			2016	2017	2018
Cameroon	0.0024	0.010	0.0020	0.0020	0.0020
Colombia	0.0518	0.322	0.0644	0.0644	0.0644
Congo	0.0010	0.006	0.0012	0.0012	0.0012
Costa Rica	0.0076	0.047	0.0094	0.0094	0.0094
Côte d'Ivoire	0.0022	0.009	0.0018	0.0018	0.0018
Cuba	0.0138	0.065	0.0130	0.0130	0.0130
Democratic People's Republic of Korea	0.0012	0.005	0.0010	0.0010	0.0010
Dominica	0.0002	0.001	0.0002	0.0002	0.0002
Dominican Republic	0.0090	0.046	0.0092	0.0092	0.0092
Ecuador	0.0088	0.067	0.0134	0.0134	0.0134
Egypt	0.0268	0.152	0.0304	0.0304	0.0304
El Salvador	0.0032	0.014	0.0028	0.0028	0.0028
Fiji	0.0006	0.003	0.0006	0.0006	0.0006
Gabon	0.0040	0.017	0.0034	0.0034	0.0034
Georgia	0.0014	0.008	0.0016	0.0016	0.0016
Ghana	0.0028	0.016	0.0032	0.0032	0.0032
Grenada	0.0002	0.001	0.0002	0.0002	0.0002
Guatemala	0.0054	0.028	0.0056	0.0056	0.0056
Guyana	0.0002	0.002	0.0004	0.0004	0.0004
Honduras	0.0016	0.008	0.0016	0.0016	0.0016
India	0.1332	0.737	0.1474	0.1474	0.1474
Indonesia	0.0692	0.504	0.1008	0.1008	0.1008
Iran (Islamic Republic of)	0.0712	0.471	0.0942	0.0942	0.0942
Iraq	0.0136	0.129	0.0258	0.0258	0.0258
Jamaica	0.0022	0.009	0.0018	0.0018	0.0018
Jordan	0.0044	0.020	0.0040	0.0040	0.0040
Kazakhstan	0.0242	0.191	0.0382	0.0382	0.0382
Kenya	0.0026	0.018	0.0036	0.0036	0.0036
Kyrgyzstan	0.0004	0.002	0.0004	0.0004	0.0004
Lebanon	0.0084	0.046	0.0092	0.0092	0.0092
Malaysia	0.0562	0.322	0.0644	0.0644	0.0644
Maldives	0.0002	0.002	0.0004	0.0004	0.0004
Marshall Islands	0.0002	0.001	0.0002	0.0002	0.0002
Mauritius	0.0026	0.012	0.0024	0.0024	0.0024
Mexico	0.3684	1.435	0.2870	0.2870	0.2870
Micronesia (Federated States of)	0.0002	0.001	0.0002	0.0002	0.0002
Mongolia	0.0006	0.005	0.0010	0.0010	0.0010
Montenegro	0.0010	0.004	0.0008	0.0008	0.0008
Morocco	0.0124	0.054	0.0108	0.0108	0.0108

Member State	Effective rate in 2015	Regular budget 2016-2018	Effective rates		
			2016	2017	2018
Namibia	0.0020	0.010	0.0020	0.0020	0.0020
Nicaragua	0.0006	0.004	0.0008	0.0008	0.0008
Nigeria	0.0180	0.209	0.0418	0.0418	0.0418
Pakistan	0.0170	0.093	0.0186	0.0186	0.0186
Palau	0.0002	0.001	0.0002	0.0002	0.0002
Panama	0.0052	0.034	0.0068	0.0068	0.0068
Papua New Guinea	0.0008	0.004	0.0008	0.0008	0.0008
Paraguay	0.0020	0.014	0.0028	0.0028	0.0028
Peru	0.0234	0.136	0.0272	0.0272	0.0272
Philippines	0.0308	0.165	0.0330	0.0330	0.0330
Republic of Moldova	0.0006	0.004	0.0008	0.0008	0.0008
Saint Lucia	0.0002	0.001	0.0002	0.0002	0.0002
Saint Vincent and the Grenadines	0.0002	0.001	0.0002	0.0002	0.0002
Samoa	0.0001	0.001	0.0002	0.0002	0.0002
Serbia	0.0080	0.032	0.0064	0.0064	0.0064
South Africa	0.0744	0.364	0.0728	0.0728	0.0728
Sri Lanka	0.0050	0.031	0.0062	0.0062	0.0062
Suriname	0.0008	0.006	0.0012	0.0012	0.0012
Swaziland	0.0006	0.002	0.0004	0.0004	0.0004
Syrian Arab Republic	0.0072	0.024	0.0048	0.0048	0.0048
Tajikistan	0.0006	0.004	0.0008	0.0008	0.0008
Thailand	0.0478	0.291	0.0582	0.0582	0.0582
The former Yugoslav Republic of Macedonia	0.0016	0.007	0.0014	0.0014	0.0014
Tonga	0.0002	0.001	0.0002	0.0002	0.0002
Tunisia	0.0072	0.028	0.0056	0.0056	0.0056
Turkmenistan	0.0038	0.026	0.0052	0.0052	0.0052
Ukraine	0.0198	0.103	0.0206	0.0206	0.0206
Uzbekistan	0.0030	0.023	0.0046	0.0046	0.0046
Viet Nam	0.0084	0.058	0.0116	0.0116	0.0116
Zimbabwe	0.0004	0.004	0.0008	0.0008	0.0008
Total I	1.2643	6.803	1.3606	1.3606	1.3606
Level J					
Afghanistan	0.0005	0.006	0.0006	0.0006	0.0006
Angola	0.0010	0.010	0.0010	0.0010	0.0010
Bangladesh	0.0010	0.010	0.0010	0.0010	0.0010
Benin	0.0003	0.003	0.0003	0.0003	0.0003
Bhutan	0.0001	0.001	0.0001	0.0001	0.0001
Burkina Faso	0.0003	0.004	0.0004	0.0004	0.0004

Member State	Effective rate in 2015	Regular budget 2016-2018	Effective rates		
			2016	2017	2018
Burundi	0.0001	0.001	0.0001	0.0001	0.0001
Cambodia	0.0004	0.004	0.0004	0.0004	0.0004
Central African Republic	0.0001	0.001	0.0001	0.0001	0.0001
Chad	0.0002	0.005	0.0005	0.0005	0.0005
Comoros	0.0001	0.001	0.0001	0.0001	0.0001
Democratic Republic of the Congo	0.0003	0.008	0.0008	0.0008	0.0008
Djibouti	0.0001	0.001	0.0001	0.0001	0.0001
Equatorial Guinea	0.0010	0.010	0.0010	0.0010	0.0010
Eritrea	0.0001	0.001	0.0001	0.0001	0.0001
Ethiopia	0.0010	0.010	0.0010	0.0010	0.0010
Gambia	0.0001	0.001	0.0001	0.0001	0.0001
Guinea	0.0001	0.002	0.0002	0.0002	0.0002
Guinea-Bissau	0.0001	0.001	0.0001	0.0001	0.0001
Haiti	0.0003	0.003	0.0003	0.0003	0.0003
Kiribati	0.0001	0.001	0.0001	0.0001	0.0001
Lao People's Democratic Republic	0.0002	0.003	0.0003	0.0003	0.0003
Lesotho	0.0001	0.001	0.0001	0.0001	0.0001
Liberia	0.0001	0.001	0.0001	0.0001	0.0001
Madagascar	0.0003	0.003	0.0003	0.0003	0.0003
Malawi	0.0002	0.002	0.0002	0.0002	0.0002
Mali	0.0004	0.003	0.0003	0.0003	0.0003
Mauritania	0.0002	0.002	0.0002	0.0002	0.0002
Mozambique	0.0003	0.004	0.0004	0.0004	0.0004
Myanmar	0.0010	0.010	0.0010	0.0010	0.0010
Nepal	0.0006	0.006	0.0006	0.0006	0.0006
Niger	0.0002	0.002	0.0002	0.0002	0.0002
Rwanda	0.0002	0.002	0.0002	0.0002	0.0002
Sao Tome and Principe	0.0001	0.001	0.0001	0.0001	0.0001
Senegal	0.0006	0.005	0.0005	0.0005	0.0005
Sierra Leone	0.0001	0.001	0.0001	0.0001	0.0001
Solomon Islands	0.0001	0.001	0.0001	0.0001	0.0001
Somalia	0.0001	0.001	0.0001	0.0001	0.0001
South Sudan	0.0004	0.003	0.0003	0.0003	0.0003
Sudan	0.0010	0.010	0.0010	0.0010	0.0010
Timor-Leste	0.0002	0.003	0.0003	0.0003	0.0003
Togo	0.0001	0.001	0.0001	0.0001	0.0001
Tuvalu	0.0001	0.001	0.0001	0.0001	0.0001
Uganda	0.0006	0.009	0.0009	0.0009	0.0009
United Republic of Tanzania	0.0009	0.010	0.0010	0.0010	0.0010

Member State	Effective rate in 2015	Regular budget 2016-2018	Effective rates		
			2016	2017	2018
Vanuatu	0.0001	0.001	0.0001	0.0001	0.0001
Yemen	0.0010	0.010	0.0010	0.0010	0.0010
Zambia	0.0006	0.007	0.0007	0.0007	0.0007
Total J	0.0171	0.187	0.0187	0.0187	0.0187
Grand total	100.0000	100.000	100.0000	100.0000	100.0000

Note: The effective rates of assessment for peacekeeping operations shown above are calculated according to the system of adjustments adopted in General Assembly resolution 55/235 (see annex I) and are displayed at 4 decimal places.

^a Included in the report of the Committee on Contributions ([A/70/11](#), para. 98) for information.