



# Conference of the Parties to the United Nations Convention against Transnational Organized Crime

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## **Eighth session**

Vienna, 17-21 October 2016

Item 6 of the provisional agenda\*

## **Financial and budgetary matters**

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#### **Note by the Secretariat**

1. The present note has been prepared pursuant to rule 72, entitled “Preparation of a budget”, of the rules of procedure for the Conference of the Parties to the United Nations Convention against Transnational Organized Crime, in which the secretariat is requested to prepare a budget for the financing of activities of the Conference related to technical cooperation undertaken in accordance with articles 29 to 32 of the United Nations Convention against Transnational Organized Crime, article 10 of the Protocol to Prevent, Suppress and Punish Trafficking in Persons, Especially Women and Children, supplementing the Organized Crime Convention, article 14 of the Protocol against the Smuggling of Migrants by Land, Sea and Air, supplementing the Organized Crime Convention, and article 14 of the Protocol against the Illicit Manufacturing of and Trafficking in Firearms, Their Parts and Components and Ammunition, supplementing the Organized Crime Convention.

2. Pursuant to article 30, paragraph 2 (c), of the Organized Crime Convention, States parties to the Convention should make concrete efforts to provide technical assistance to developing countries and countries with economies in transition to assist them in meeting their needs for the implementation of the Convention and, to that end, endeavour to make adequate and regular voluntary contributions to an account specifically designated for that purpose in a United Nations funding mechanism.

3. In its resolution 55/25 of 15 November 2000, the General Assembly adopted the Convention and decided that, until the Conference of the Parties to the Convention decided otherwise, the above-mentioned account would be operated within the United Nations Crime Prevention and Criminal Justice Fund. The Assembly encouraged Member States to make adequate voluntary contributions to that account for the provision to developing countries and countries with economies

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\* CTOC/COP/2016/1.



in transition of the technical assistance that they might require for implementation of the Convention and the Protocols thereto, including for the preparatory measures needed for that implementation.

4. In order to comply with General Assembly resolution 55/25, an account was opened within the United Nations Crime Prevention and Criminal Justice Fund for the provision of the above-mentioned activities.

5. In its decision 2/6, entitled “Technical assistance activities”, the Conference of the Parties decided to establish an open-ended interim working group to advise and assist it in the implementation of its mandate on technical assistance. The first meeting of the Open-ended Interim Working Group of Government Experts on Technical Assistance was held in Vienna on 16 and 17 October 2006, during the third session of the Conference. At that meeting, the Working Group identified priority areas and adopted recommendations on technical assistance activities. Those recommendations were endorsed by the Conference in its decision 3/4.

6. The Working Group held its second meeting in Vienna from 3 to 5 October 2007. On the basis of the priority areas identified by the Working Group, the Conference set out a number of proposals for technical assistance activities. The third meeting of the Working Group was held in Vienna on 15 and 16 October 2008, during the fourth session of the Conference of the Parties. The recommendations made by the Working Group at that meeting are contained in Conference decision 4/3, entitled “Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime”. Pursuant to that decision, the fourth meeting of the Working Group was held in Vienna on 1 and 2 October 2009. The fifth meeting of the Working Group was held in Vienna on 19 and 20 October 2010 and its recommendations were annexed to Conference resolution 5/6, entitled “Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime”. The sixth meeting of the Working Group was held in Vienna on 17 and 18 October 2012, during the sixth session of the Conference, and the recommendations adopted at that meeting were endorsed by the Conference in its resolution 6/4, entitled “Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime”. The seventh meeting of the Working Group was held in Vienna from 28 to 30 October 2013 and the eighth meeting was held in Vienna on 6 and 7 October 2014, in parallel with the seventh session of the Conference. The recommendations emanating from the seventh and eighth meetings of the Working Group were endorsed by the Conference in its resolution 7/3, entitled “Implementation of the provisions on technical assistance of the United Nations Convention against Transnational Organized Crime”.

7. Contributions for the technical assistance activities required to implement the Convention and the Protocols thereto have also been made to various accounts and projects, in addition to the account opened within the United Nations Crime Prevention and Criminal Justice Fund. In line with article 30 of the Convention and pursuant to General Assembly resolution 55/25, the Secretariat invites Member States to contribute directly to the account specifically created to assist in the implementation of the Convention. With a view to enhancing transparency and reporting, information on the current status of the account (United Nations Office on Drugs and Crime (UNODC) projects GLOT60, FSA 6022 and FSA 9941) is

contained in annex I to the present note. Annex II contains information on all the projects implemented by UNODC that contribute to countering transnational organized crime and trafficking.

## Annex I

**Unaudited financial report on the use of contributions to the United Nations Crime Prevention and Criminal Justice Fund, United Nations Convention against Transnational Organized Crime components**

Table 1

**Status of pledges to the United Nations Crime Prevention and Criminal Justice Fund, United Nations Convention against Transnational Organized Crime components FSA 6022, FSA 9941 and GLOT60, received since March 2000, based on information available as at 31 July 2016**

(United States dollars)

<i>Donor</i>	<i>Amount pledged</i>	<i>Pledge collected</i>	<i>Unpaid pledge</i>
Australia	10 420	10 420	-
Austria	35 956	35 956	-
Canada	269 062	269 062	-
France	1 137 144	1 137 144	-
Greece	30 000	30 000	-
Italy	902 788	902 788	-
Japan	857 410	857 410	-
Monaco	18 996	18 996	-
Norway	455 030	455 030	-
Poland	19 726	19 726	-
Russian Federation	50 000	50 000	-
United Kingdom of Great Britain and Northern Ireland	98 011	98 011	-
United States of America	2 071 209	2 071 209	-
	5 955 752	5 955 752	-
Asia Crime Prevention Foundation	55 243	55 243	-
NHN Corporation	500 000	500 000	-
<b>Total</b>	<b>6 510 995</b>	<b>6 510 995</b>	<b>-</b>

Table 2  
**Projected fund requirement, as at 31 July 2016**  
 (United States dollars)

<b>I. Income</b>	
(a) Contributions collected as at 31 July 2016	6 510 995
(b) Interest available	76 702
(c) Prior-period savings	74 665
(d) Miscellaneous income	5 122 <sup>a</sup>
(e) Net loss	(18 458) <sup>a</sup>
Amount available for expenditure (a+b+c+d+e)	<b>6 649 026</b>
<b>II. Requirements</b>	
(f) Total expenditure for the period 1 January 2000-31 December 2015 (including programme support costs)	5 711 244
(g) Estimated expenditure 2016-2017 (net of programme support costs)	1 375 000
(h) Estimated programme support costs 2016-2017 (13 per cent)	178 750
	<b>7 264 994</b>
<b>Estimated additional fund requirement (I-II)</b>	<b>(615 968)</b>

<sup>a</sup> Including prior-year adjustments.

## Annex II

**Expenditure and estimates for projects implemented by the United Nations Office on Drugs and Crime that contribute to countering transnational organized crime and trafficking, based on information available as at 31 July 2016 (all amounts net of programme support costs)**

<i>Thematic area</i>	<i>Project title</i>	<i>Expenditure 2014-2015 (thousands of United States dollars)</i>	<i>Estimates 2016-2017 (thousands of United States dollars)</i>
<b>Countering trafficking in persons</b>			
	Technical assistance provided to the Government of Bangladesh for strengthening responses to human trafficking (BGDX79)	329.1	–
	Enhance government and civil society responses to countering trafficking in persons in Bhutan (BHUX13)	189.2	144.5
	Global Initiative to Fight Human Trafficking (UN.GIFT) joint programme to fight human trafficking on the border between Argentina and Bolivia (Plurinational State of) (BOLX52)	28.7	–
	Support to the National Secretariat of Justice for improving the implementation of the National Policy to Fight Human Trafficking (BRAX63)	584.5	–
	Combating trafficking in human beings in Colombia (COLR52)	1 290.8	890.0
	Global Initiative to Fight Human Trafficking (GLOS83)	79.5	–
	Global Programme against Trafficking (GLOT59)	3 848.2	6 178.7
	Management of the United Nations Voluntary Trust Fund for Victims of Trafficking in Persons, Especially Women and Children (GLOX42-FSH)	534.6	1 029.5
	Global action to prevent and address trafficking in persons and the smuggling of migrants (GLOZ67)	159.9	4 988.6
	Strengthening criminal justice responses to human trafficking in the Lao People's Democratic Republic (LAOX26)	363.9	117.6
	National assessment on trafficking in persons (MEXX48)	203.4	–
	Promoting better management of migration in Nigeria by combating and reducing irregular migration that occurs, inter alia, through trafficking in persons and smuggling of migrants (NGAX41)	1 302.9	217.2
	Support to the fight against trafficking in persons in Nigeria (NGAZ52)	30.9	–
	Support and capacity-building to the National Agency for the Prohibition of Traffic In Persons and Other Related Matters on strengthening access to justice and victim support (NGAZ54)	86.0	137.4
	Building capacity to reduce trafficking in persons in Senegal (SENZ20)	191.0	–
	Strengthening criminal justice responses in Viet Nam to human trafficking and migrant smuggling through enhanced border control capacities and international cooperation (VNMS79)	1.7	–
	Strengthening the capacity of the Central Asian republics to protect and assist victims of human trafficking and smuggled migrants, especially women and children, in partnership with non-governmental organizations (XACX44)	238.4	–

<i>Thematic area</i>	<i>Project title</i>	<i>Expenditure 2014-2015 (thousands of United States dollars)</i>	<i>Estimates 2016-2017 (thousands of United States dollars)</i>
	Arab Initiative to Build National Capacities to Combat Human Trafficking in the Arab countries (XAMX59)	978.2	1 020.0
	Capacity-building for Member States of the Southern African Development Community (SADC) in the ratification and implementation of the United Nations Convention against Transnational Organized Crime and its two first additional Protocols (XASS69)	567.1	554.9
	Building support structures and enhancing cooperation to assist victims of human trafficking in West Africa (XAWX22)	204.1	169.0
	Regional project against trafficking in persons and smuggling of migrants (XCAS26)	84.3	116.0
	Strengthening the framework of the Arab region to prevent and combat human trafficking (XMEX19)	508.4	801.0
	Strengthening service provision for protection and assistance to victims of cross-border trafficking between Bangladesh, India and Nepal (XSAZ12)	245.6	131.8
	International Labour Organization — Department for International Development (United Kingdom of Great Britain and Northern Ireland) partnership programme on human trafficking: strengthening law enforcement capacities (India) (XSAZ19)	99.9	235.9
	Project Childhood: protection pillar (“Enhancing law enforcement capacity for national and transnational action to identify and effectively act upon travelling child-sex offenders in the Mekong”) (XSPT33)	320.8	—
	<b>Subtotal: countering trafficking in persons</b>	<b>12 471.0</b>	<b>16 732.1</b>
<b>Countering money-laundering</b>			
	Strengthening the National Secretariat of Justice (Brazil) with respect to international legal cooperation, extradition and combating money-laundering (BRAX66)	439.5	14.0
	Global Programme against Money-Laundering, Proceeds of Crime and the Financing of Terrorism (GLOU40)	6 926.6	7 684.2
	Global Programme against Money-Laundering, Proceeds of Crime and the Financing of Terrorism projects to counter illicit financial flows from piracy off the coast of Somalia (XEAZ08)	296.7	—
	<b>Subtotal: countering money-laundering</b>	<b>7 662.9</b>	<b>7 698.2</b>
<b>Countering organized crime</b>			
	Subprogramme 2: law enforcement (country programme for Afghanistan) (AFGK61)	8 075.9	8 003.8
	Strengthening public security policies in Colombia (COLU99)	3 683.1	617.4
	Countering of organized crime and counter-narcotics enforcement in Cabo Verde (CPVS28)	714.9	525.1
	Illicit trafficking, organized crime and border management: national integrated programme for Ethiopia (ETHX88)	205.3	730.1
	Container control pilot programme (GLOG80)	14 574.9	16 399.4
	Global Programme for Strengthening the Capacities of Member States to Prevent and Combat Organized and Serious Crimes (GLOT32)	2 931.0	3 873.4

<i>Thematic area</i>	<i>Project title</i>	<i>Expenditure 2014-2015 (thousands of United States dollars)</i>	<i>Estimates 2016-2017 (thousands of United States dollars)</i>
	Support to the Conference of the Parties to the United Nations Convention against Transnational Organized Crime (GLOT60)	829.7	1 032.9
	UNODC Global e-learning: making the world safer from drugs, crime and terrorism (GLOU61)	1 292.5	269.0
	Countering transnational arms trafficking through the implementation of the Organized Crime Convention and its Firearms Protocol (GLOX34)	1 020.1	874.2
	Comprehensive study of cybercrime, pursuant to General Assembly resolution 65/230 (GLOX46)	98.6	–
	Global Programme on Cybercrime (GLOX76)	1 272.2	2 928.4
	Global Programme for Combating Wildlife and Forest Crime (GLOZ31)	4 954.2	4 800.0
	Training centre for the security forces of Guinea-Bissau: partnership with UNODC Brazil for the promotion of South-South cooperation (GNBU70)	126.8	–
	Assistance relating to the implementation of the West Africa Coast Initiative in Guinea-Bissau (GNBV13)	2.9	–
	Strengthening of the justice and security sectors in Guatemala (GTMV19)	1 125.3	–
	Indonesia country programme: subprogramme 1 (transnational organized crime and illicit trafficking) (INDA02)	632.6	1 300.0
	Supporting border management capacities in Lebanon (LBNZ11)	483.7	684.1
	Assistance for the implementation of the West Africa Coast Initiative in Liberia (phase 1) (LBRU97)	193.4	–
	Response to drugs and related organized crime (NGAV16)	7 087.2	13 978.5
	Strengthening national response to security threats through enhanced border control in Nigeria (NGAZ57)	1.9	–
	Support to Niger in the fight against organized crime and terrorism (NGRZ03)	90.4	–
	Subprogramme 1 of the Pakistan country programme: illicit trafficking and border management (PAKU83)	8 719.3	4 988.0
	Strengthening the process of institutional development with focus on intelligence and analysis of the National Office of Police Information of the Panama National Police (PANX81)	221.4	575.0
	European Union support to the security sector in Panama (PANZ24)	2 322.9	2 692.0
	Consolidation of the criminal procedure reform in Panama (PANZ41)	1 681.0	23 974.4
	Strengthening capacities to identify, seize and recover illicit assets (PERU08)	47.8	44.1
	Organized crime and illicit trafficking: regional programme for East Africa (new project)	–	4 071.4
	Law enforcement advisory services and capacity-building in Africa (RAFD21)	17.7	–
	Establishment of a Central Asian regional information and coordination centre (RERH22)	2 607.8	4 148.4
	Subprogramme 1 of the regional programme for Afghanistan and neighbouring countries: regional law enforcement cooperation (RERV07)	2 935.1	3 105.6
	Strengthening control along the border between Afghanistan and Tajikistan (TAJE24)	416.0	501.0



<i>Thematic area</i>	<i>Project title</i>	<i>Expenditure 2014-2015 (thousands of United States dollars)</i>	<i>Estimates 2016-2017 (thousands of United States dollars)</i>
	Assistance with regard to the implementation of the National Integrated Programme of Togo against Illicit Drug Trafficking and Organized Crime (TGOV01)	67.6	1 171.3
	Development of specialist investigative capacity in the Thai Department of Special Investigation (DSI) (THAX96)	278.0	–
	Strengthening land border control in Timor-Leste (TILX78)	581.2	–
	Strengthening the capacity of customs service and other law enforcement agencies in implementing border and trade control, in particular, strategic export/import control regimes under international instruments related to countering terrorism (TKMX57)	456.7	584.0
	Supporting capacity in Tunisia to prevent and combat cybercrime (TUNZ66)	–	1 169.0
	Assistance to the Economic Community of West African States Commission for development and implementation of a drug control and related organized crime strategy for West Africa: phase I (XAMU50)	150.5	–
	Strengthening criminal justice systems in the Sahel in order to effectively combat drug trafficking, illicit trafficking, organized crime, terrorism and corruption in the region (XAMZ17)	6 670.3	9 838.4
	Strengthening regional cooperation and border control against illicit trafficking (XAMZ53)	102.5	1 399.6
	South-East Asia regional programme subprogramme 1: transnational organized crime and illicit trafficking (XAPA07)	3 016.2	10 200.7
	Partnership Against Transnational crime through Regional Organized Law enforcement (PATROL) (XAPU59)	902.5	–
	Support project for the SADC-UNODC regional programme on making the SADC region safer from drugs and crime (XASV23)	309.3	627.9
	Establishment of real-time operational communication between selected airports in West Africa (XAWU72)	2 739.3	2 339.4
	Support to the Economic Community of West African States regional action plan on illicit drug trafficking, organized crime related to it and drug abuse in West Africa (XAWZ28)	1 003.5	6 914.7
	Support for AB1: inter-institutional and regional coordination for border security in Central America (XCAX75)	362.8	631.0
	Regional programme for South-Eastern Europe (XCEU60)	611.1	2 445.8
	Organized crime and illicit trafficking: regional programme for East Africa (XEAU75)	1 396.0	1 056.2
	UNODC-European Union programme for the implementation of a regional maritime strategy (MASE) start-up project (XEAX67)	9.7	–
	Support to the transnational crime units under the West Africa Coast Initiative (XWSV33)	3 111.2	5 284.0
	<b>Subtotal: countering organized crime</b>	<b>90 134.0</b>	<b>143 778.2</b>

<i>Thematic area</i>	<i>Project title</i>	<i>Expenditure 2014-2015 (thousands of United States dollars)</i>	<i>Estimates 2016-2017 (thousands of United States dollars)</i>
<b>Counter-narcotics enforcement</b>			
	Subprogramme 1.3: counter-narcotics capacity-building (country programme for Afghanistan) (AFGK65)	644.7	1 660.0
	Strengthening the fight against drug trafficking and related crimes in the Plurinational State of Bolivia (BOLJ52)	272.6	—
	Strengthening the capacity of the regional office in support of the implementation of the programme portfolio (BRAK07)	239.1	—
	Paris Pact Initiative phase IV: a partnership to combat illicit trafficking in opiates originating in Afghanistan (GLOY09)	2 149.3	1 586.0
	Subprogramme 1 of the Islamic Republic of Iran country programme: illicit trafficking and border management (IRNV03)	951.4	—
	Islamic Republic of Iran country programme: illicit trafficking and border management (new project)	—	2 118.9
	Strengthening the State Service on Drug Control of Kyrgyzstan: phase I (KGZK50)	1 561.7	2 691.0
	Fortalecimiento a la Intendencia Nacional de Insumos Químicos y Bienes Fiscalizados — Superintendencia Nacional de Aduanas y de Administración Tributaria (PERZ23)	177.7	109.1
	Precursors control in Central Asia (Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Uzbekistan) (RERE29)	263.6	—
	Strengthening drug law enforcement for the collection, analysis and exchange of criminal intelligence (RERF23)	326.4	540.0
	Building institutional capacity to respond to the threat posed by illicit drug trafficking and organized crime in Sierra Leone (SLEU74)	128.5	—
	Tajikistan drug control agency: phase II (TAJH03)	1 219.8	854.0
	Project on counter-narcotics training of law enforcement personnel in Afghanistan, Pakistan and Central Asia (XACI97)	747.0	—
	Countering the trafficking of Afghan opiates via the northern route by enhancing the capacity of key border crossing points and through the establishment of border liaison offices (XACK22)	1 569.6	2 048.5
	North Atlantic Treaty Organization — UNODC partnership for counter-narcotics training (XACZ47)	—	2 000.0
	Prevention of the diversion of drugs precursors in the Latin American and Caribbean region (XLAK04)	1 523.1	55.6
	Strengthening drug law enforcement capacities in South Asia (XSAJ81)	418.7	340.0
	<b>Subtotal: counter-narcotics enforcement</b>	<b>12 193.2</b>	<b>14 003.1</b>
<b>Countering smuggling of migrants</b>			
	Reinforcing the fight against trafficking in persons in Côte d'Ivoire through a multi-stakeholder approach (CIVZ01)	369.1	—
	Strengthening criminal justice responses to trafficking in persons and smuggling of migrants in Djibouti and Ethiopia (ETHX95)	378.9	1 047.3

<i>Thematic area</i>	<i>Project title</i>	<i>Expenditure 2014-2015 (thousands of United States dollars)</i>	<i>Estimates 2016-2017 (thousands of United States dollars)</i>
	Global programme against the smuggling of migrants (GLOT92)	512.8	1 731.9
	Promotion of cooperation between Mexico and Central America to prevent and combat the illicit trafficking of migrants (MEXX89)	696.8	387.9
	Strengthening of public institutions and the capacity of civil society to improve protection of migrants in transit in Mexico in situations of vulnerability (MEXX90)	137.0	–
	Smuggling of migrants: establishment of a coordination and analysis unit for East Asia and the Pacific (XSPT78)	964.2	–
<b>Subtotal: countering smuggling of migrants</b>		<b>3 058.7</b>	<b>3 167.1</b>
<b>Total</b>		<b>125 519.9</b>	<b>185 378.7</b>